

Sieving for shortest lattice vectors using nearest neighbor techniques

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Tools for Asymmetric Cryptanalysis, Bochum, Germany
(October 8, 2015)

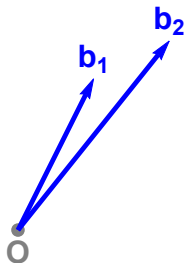
Lattices

What is a lattice?



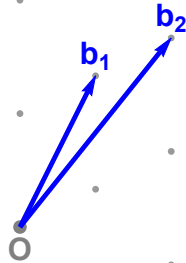
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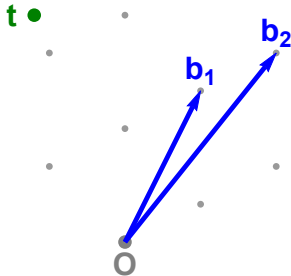
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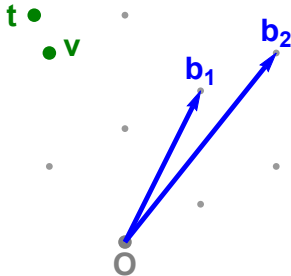
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Closest Vector Problem (CVP)



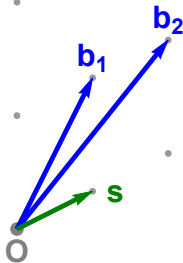
Lattices

Closest Vector Problem (CVP)



Lattices

Shortest Vector Problem (SVP)



Lattices

Applications

- “Constructive cryptography”: Lattice-based cryptosystems
 - ▶ Based on hard lattice problems (SVP, CVP, LWE, SIS)
 - ▶ NTRU cryptosystem [HPS98, . . . , HPSSWZ15]
 - ▶ HIMMO key pre-distribution scheme [GMGPGRST14]
 - ▶ Fully Homomorphic Encryption [Gen09, . . . , CM15]
 - ▶ Candidate for “post-quantum cryptography”

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- “Destructive cryptography”: Lattice cryptanalysis
 - ▶ Attack knapsack-based cryptosystems [Sha82, LO85, ...]
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How hard are hard lattice problems such as SVP?

Lattices

Exact SVP algorithms

	Algorithm	$\log_2(\text{Time})$	$\log_2(\text{Space})$
Provable SVP	Enumeration [Poh81, Kan83, . . . , MW15]	$\Omega(n \log n)$	$O(\log n)$
	AKS-sieve [AKS01, NV08, MV10, HPS11]	$3.398n$	$1.985n$
	ListSieve [MV10, MDB14]	$3.199n$	$1.327n$
	AKS-sieve-birthday [PS09, HPS11]	$2.648n$	$1.324n$
	ListSieve-birthday [PS09]	$2.465n$	$1.233n$
	Voronoi cell algorithm [AEVZ02, MV10b]	$2.000n$	$1.000n$
	Discrete Gaussians [ADRS15, ADS15, Ste16]	$1.000n$	$1.000n$
Heuristic SVP	Nguyen-Vidick sieve [NV08]	$0.415n$	$0.208n$
	GaussSieve [MV10, . . . , IKMT14, BNvdP14]	$0.415n?$	$0.208n$
	Two-level sieve [WLTB11]	$0.384n$	$0.256n$
	Three-level sieve [ZPH13]	$0.3778n$	$0.283n$
	Overlattice sieve [BGJ14]	$0.3774n$	$0.293n$
	Hyperplane LSH [Laa15, MLB15]	$0.337n$	$0.208n$
	May and Ozerov's NNS method [BGJ15]	$0.311n$	$0.208n$
	Spherical LSH [LdW15]	$0.298n$	$0.208n$
	Cross-polytope LSH [BL15]	$0.298n$	$0.208n$
	Spherical filtering [BDGL16]	$0.293n$	$0.208n$

Nguyen-Vidick sieve

0

Nguyen-Vidick sieve

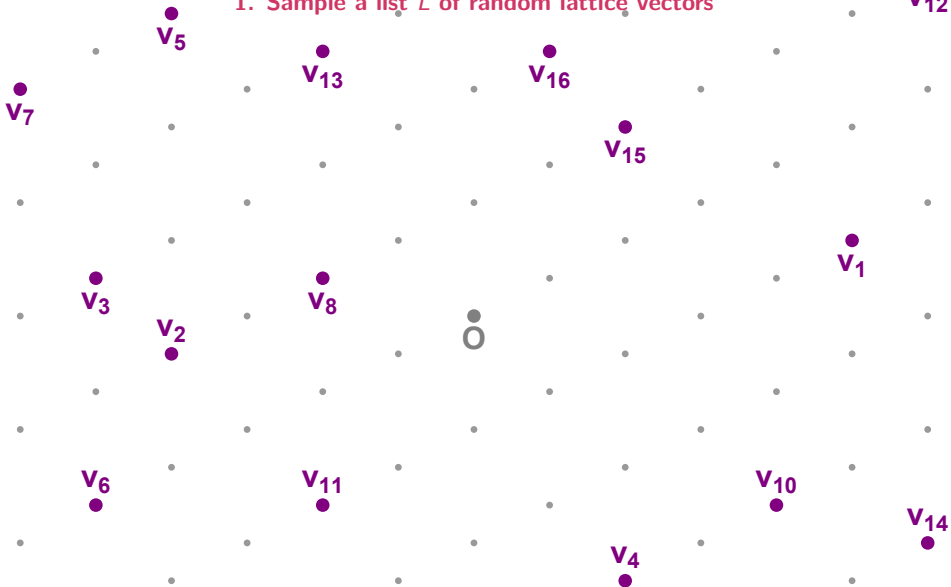
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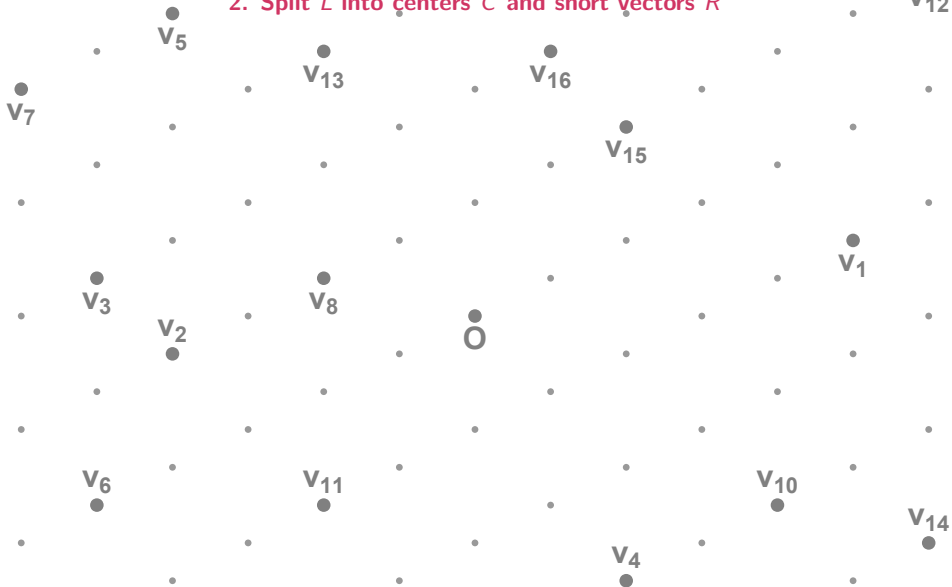
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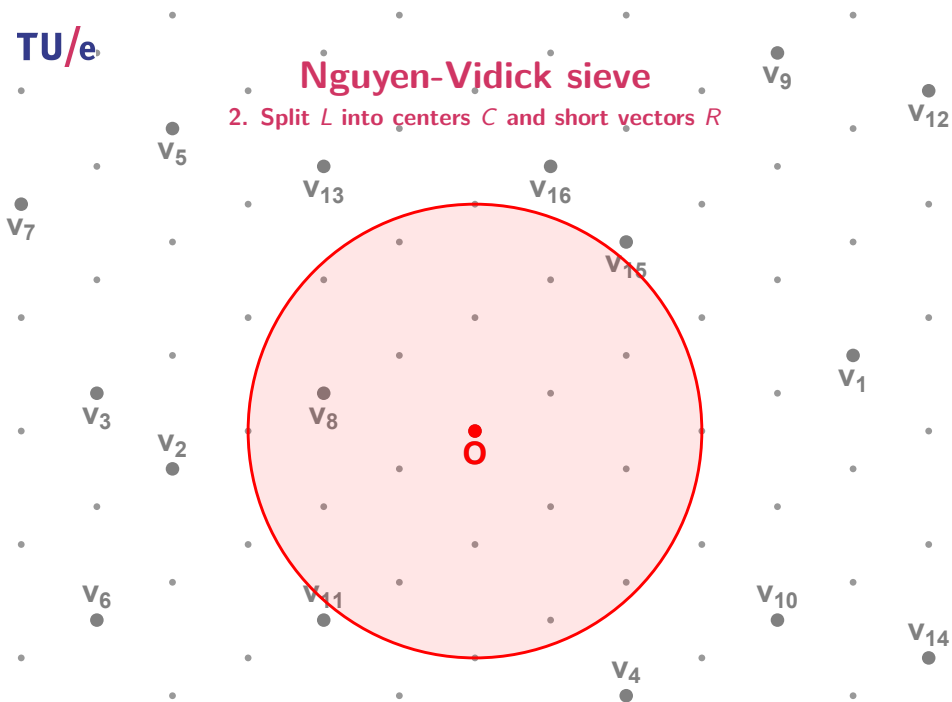
Nguyen-Vidick sieve

2. Split L into centers C and short vectors R



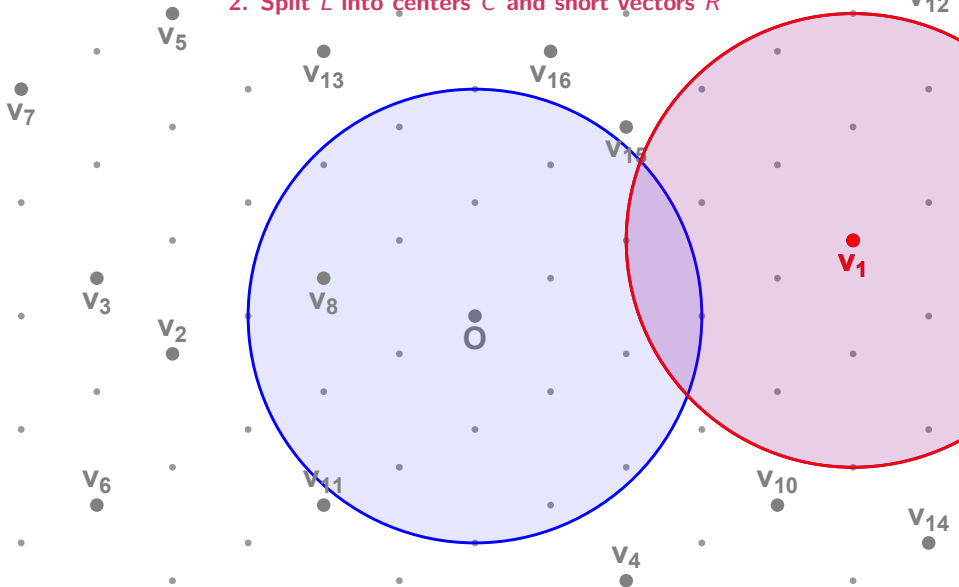
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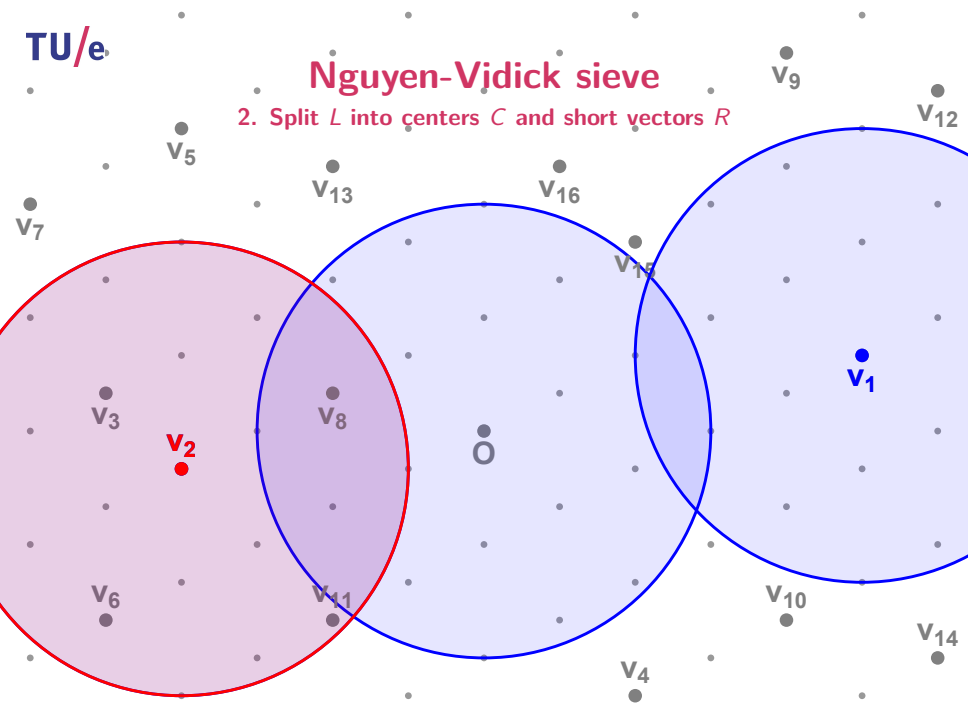
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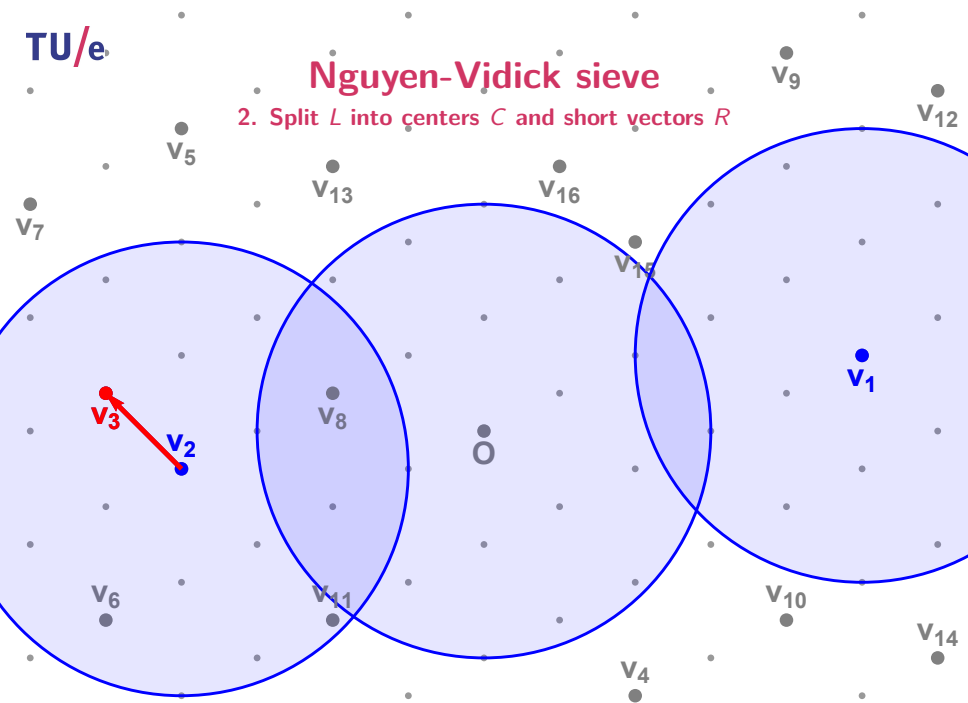
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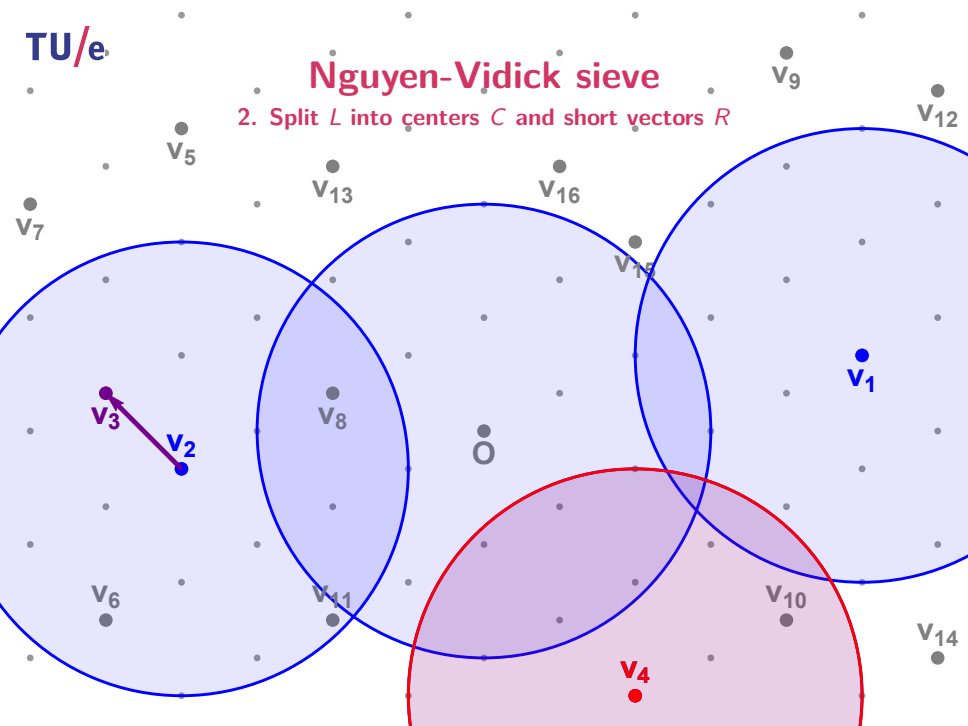
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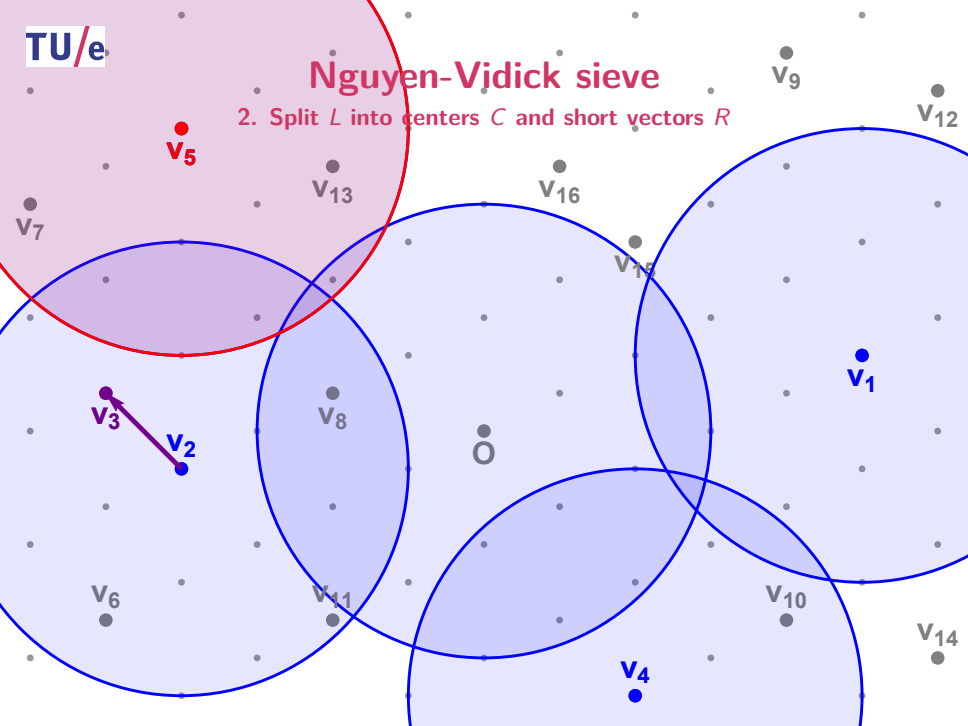
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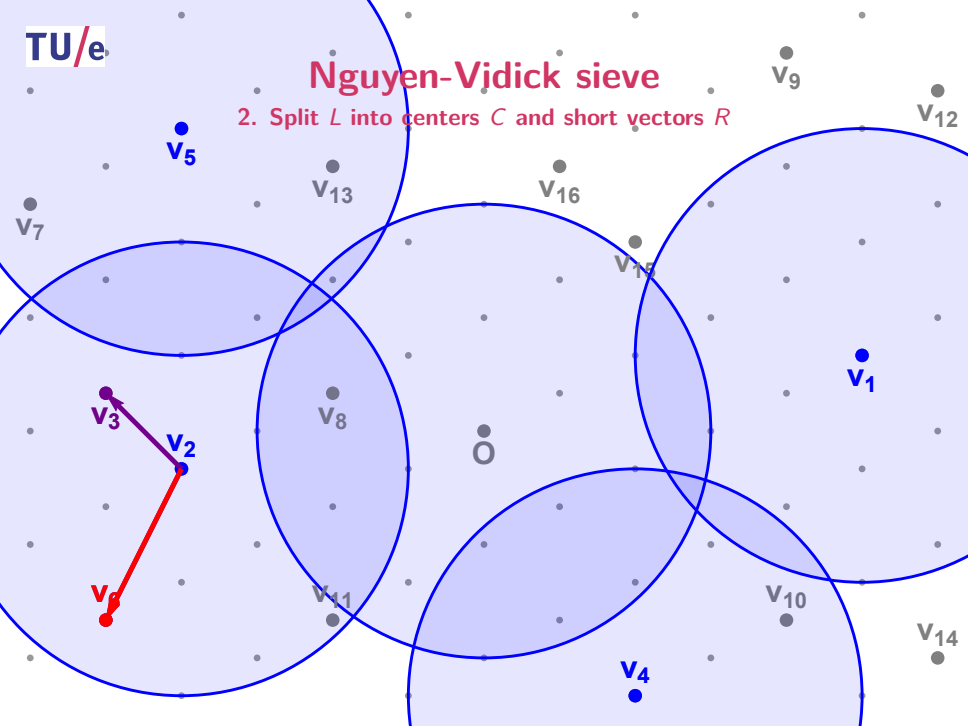
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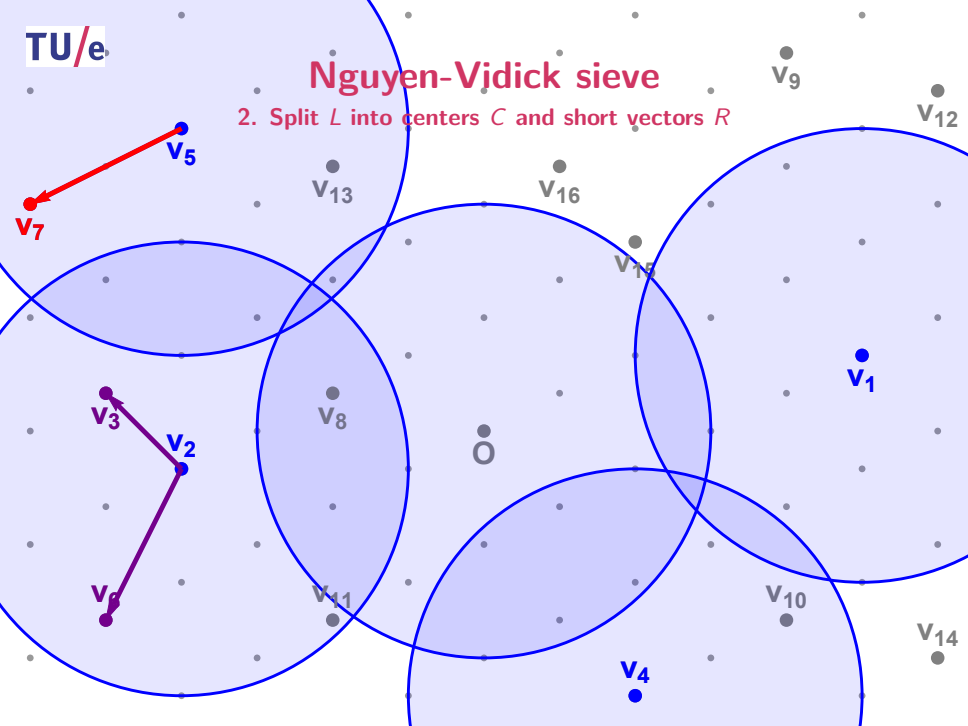
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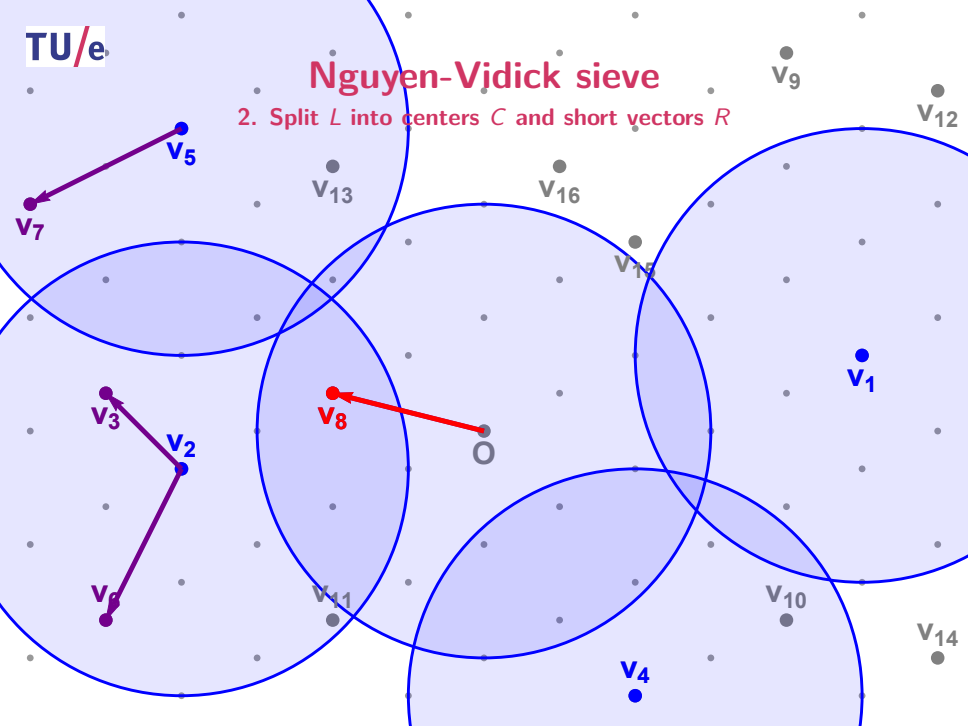
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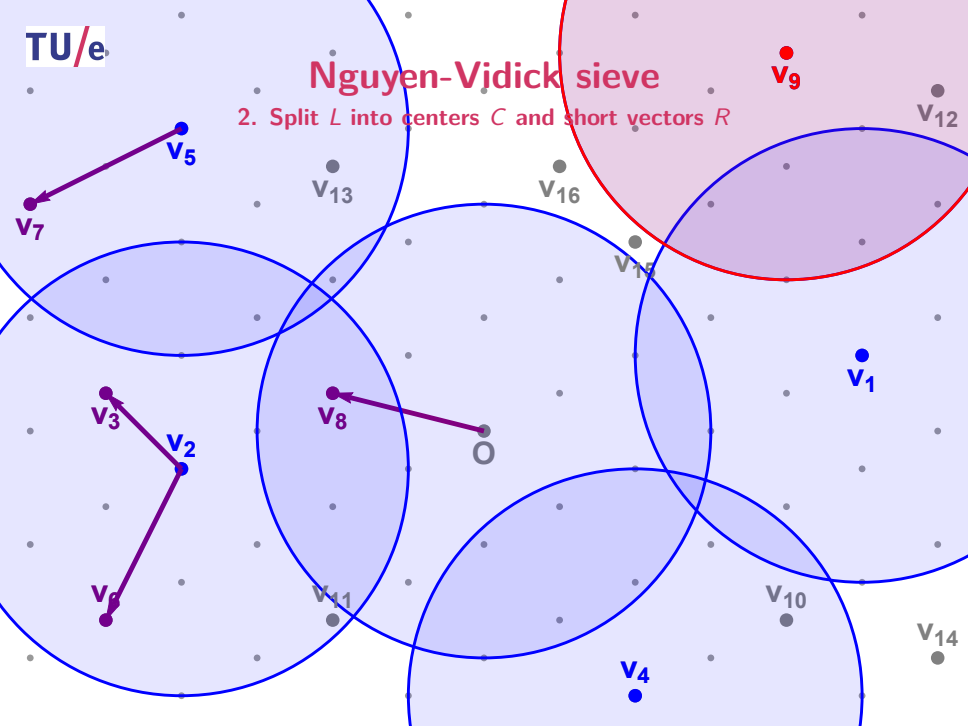
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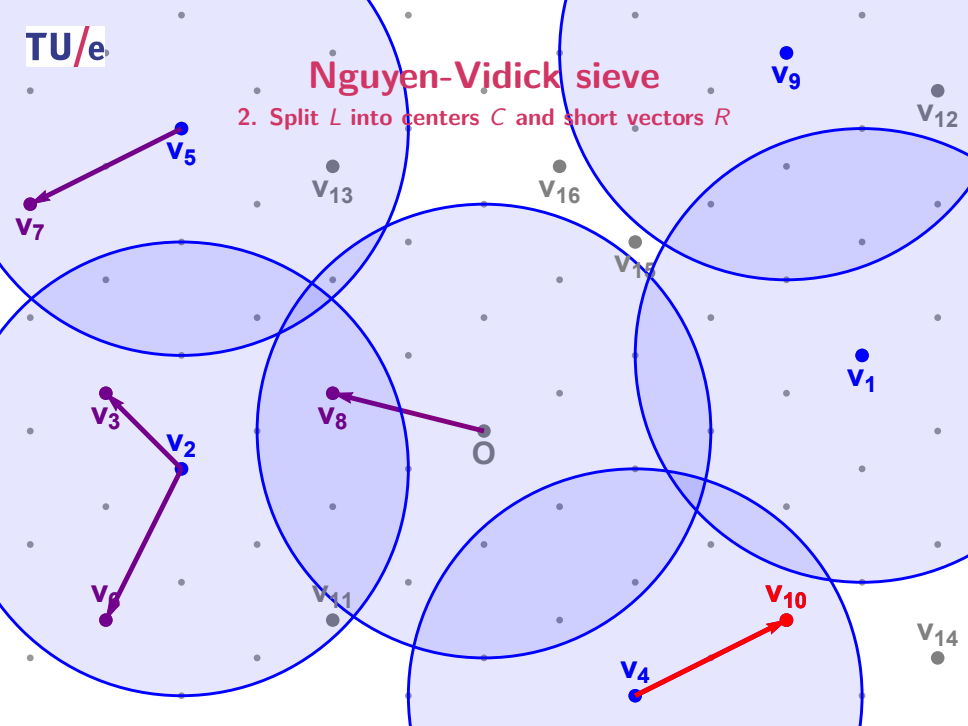
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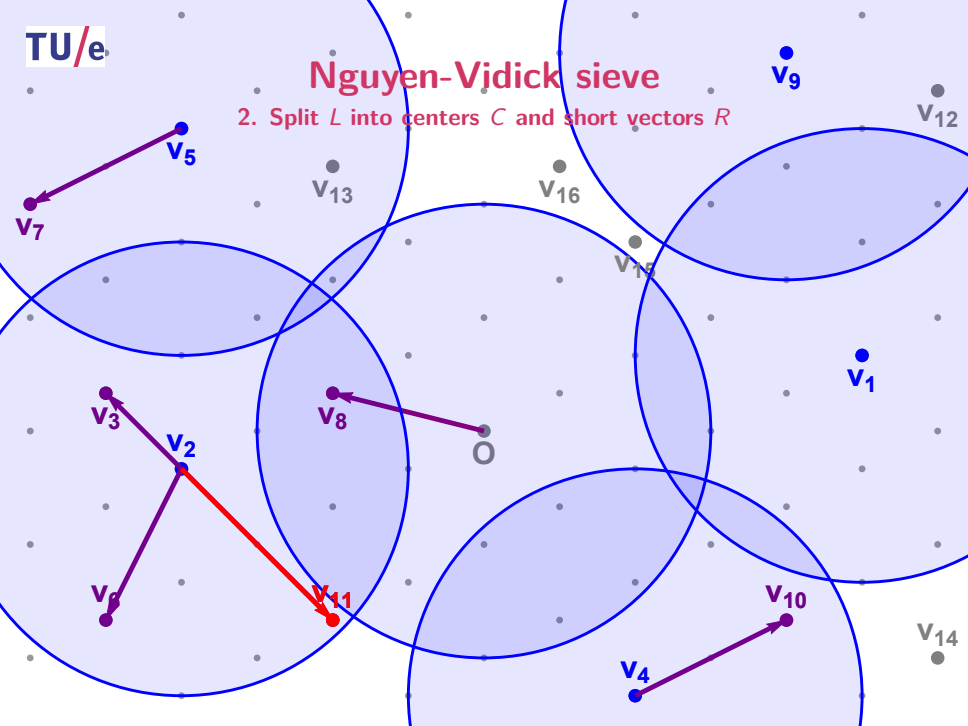
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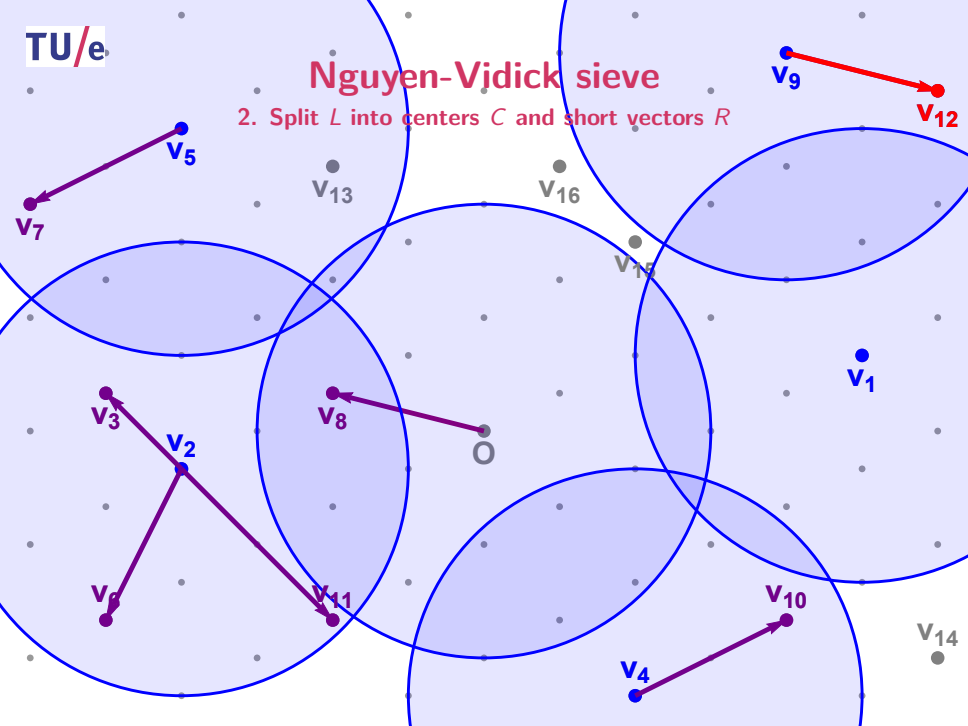
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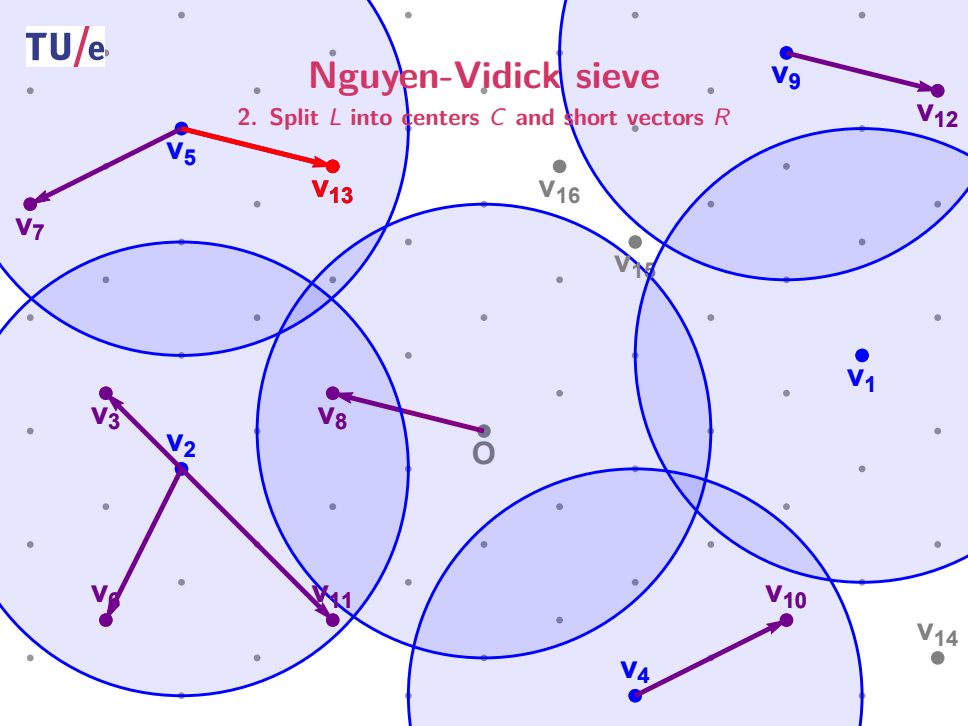
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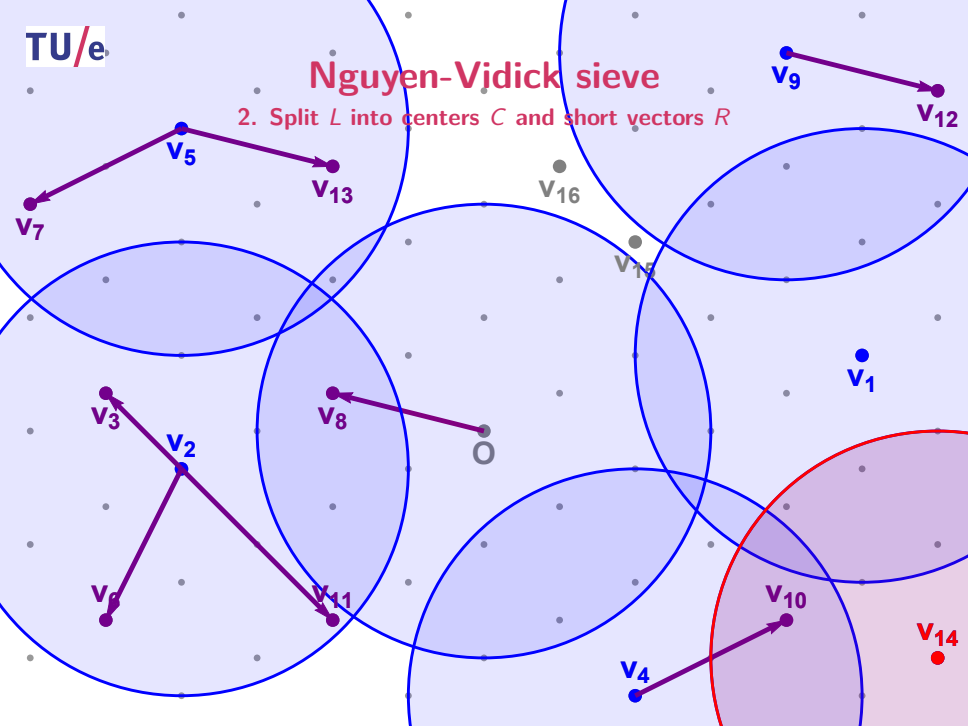
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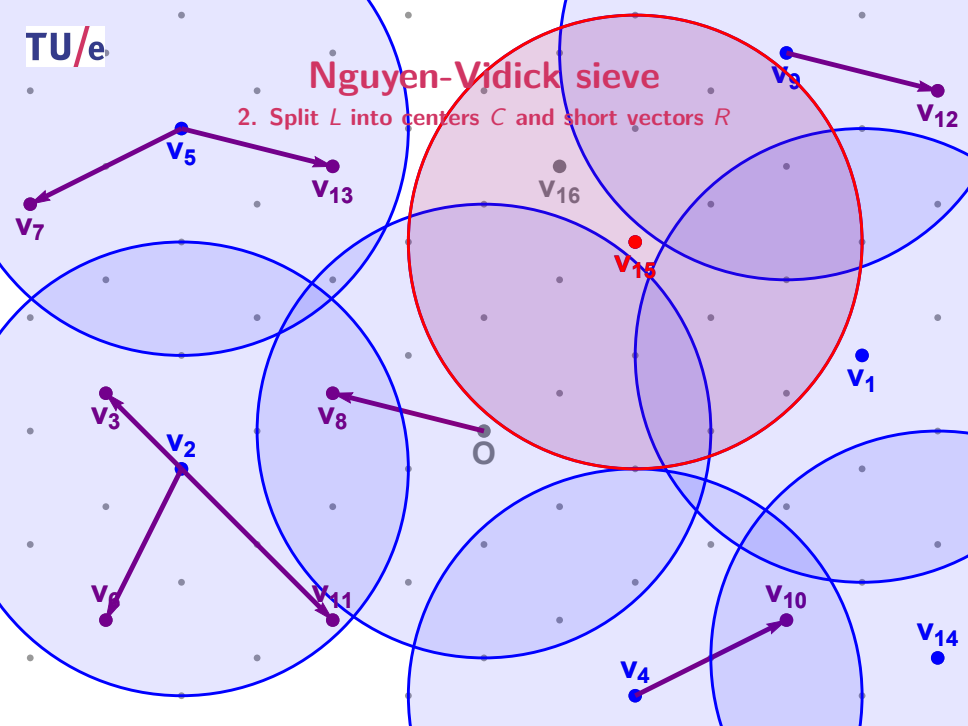
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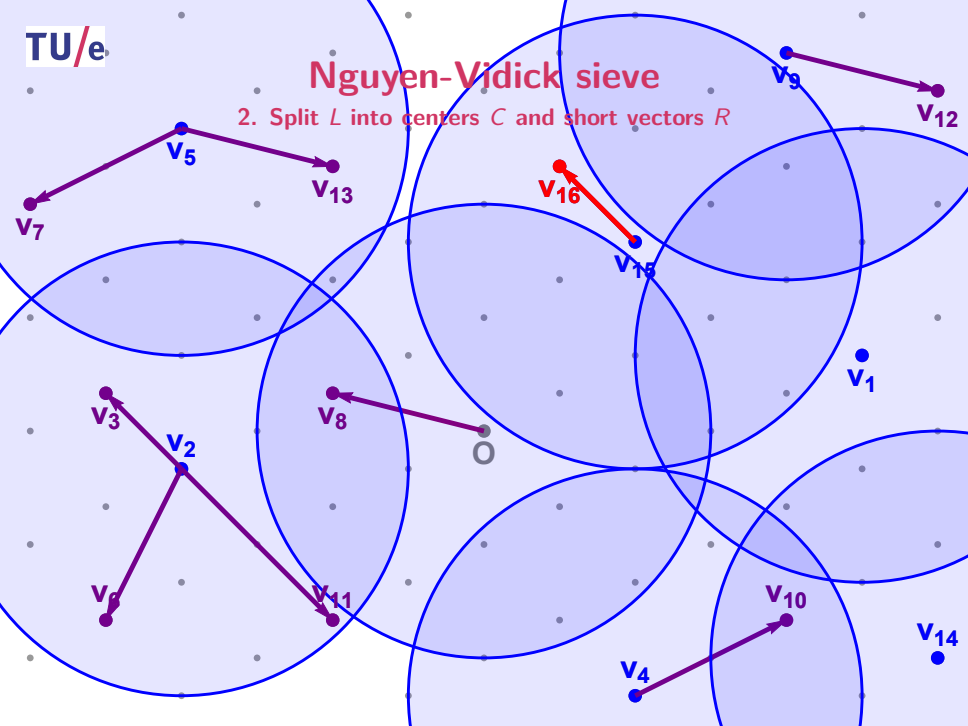
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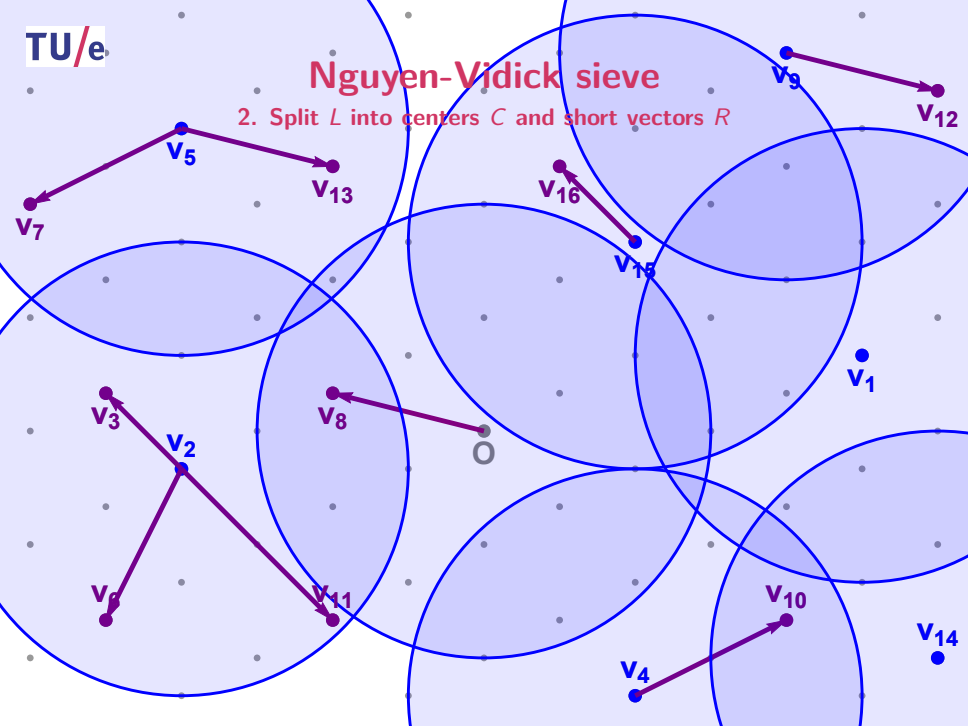
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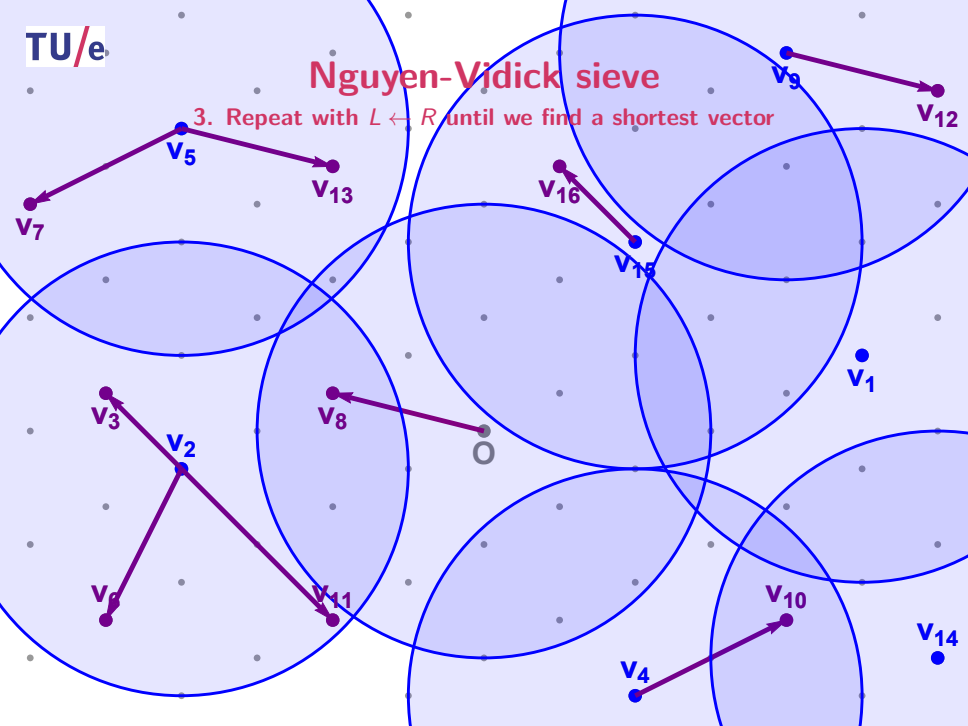
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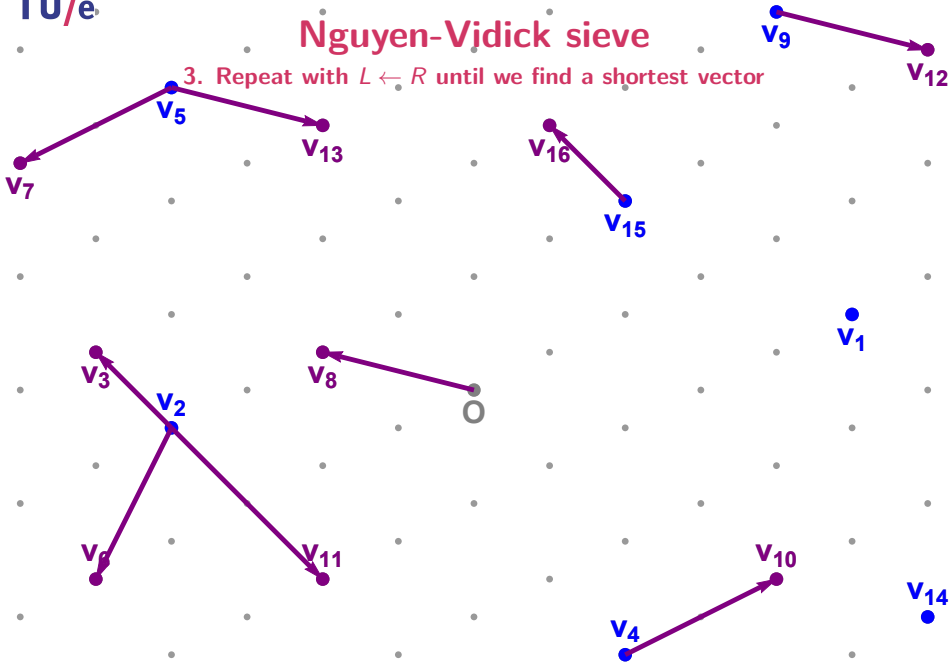
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3. Repeat with $L \leftarrow R$ until we find a shortest vector



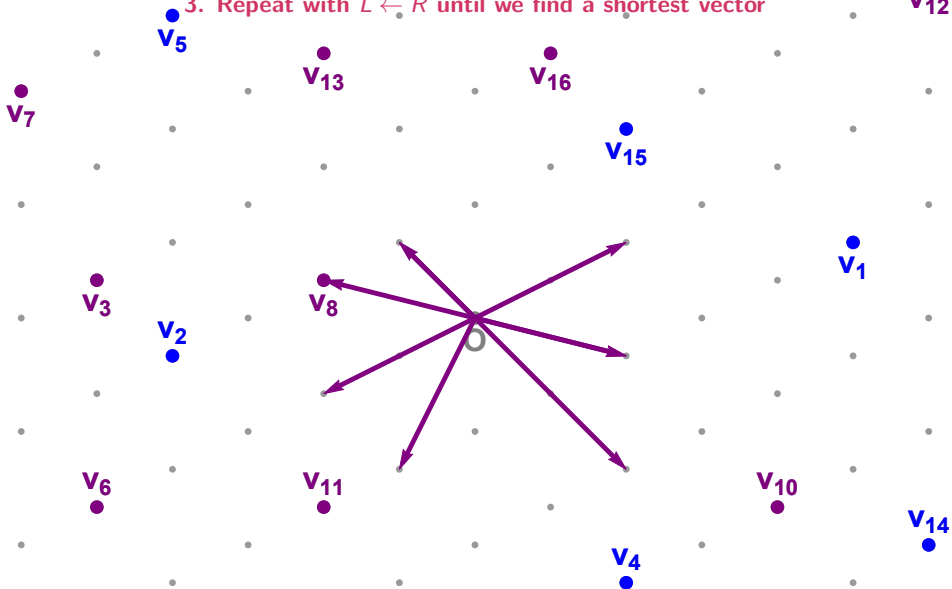
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Nguyen-Vidick sieve

Overview



Nguyen-Vidick sieve

Overview

- Space complexity: $\sqrt{4/3}^n \approx 2^{0.21n+o(n)}$ vectors
 - ▶ Need $\sqrt{4/3}^n$ vectors to cover all corners of $\mathbb{R}^n$



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 - ▶ Need $\sqrt{4/3}^n$ vectors to cover all corners of $\mathbb{R}^n$
- Time complexity: $(4/3)^n \approx 2^{0.42n+o(n)}$
 - ▶ Comparing a target vector to all centers: $2^{0.21n+o(n)}$
 - ▶ Repeating this for each list vector: $2^{0.21n+o(n)}$
 - ▶ Repeating the whole sieving procedure: $\text{poly}(n)$

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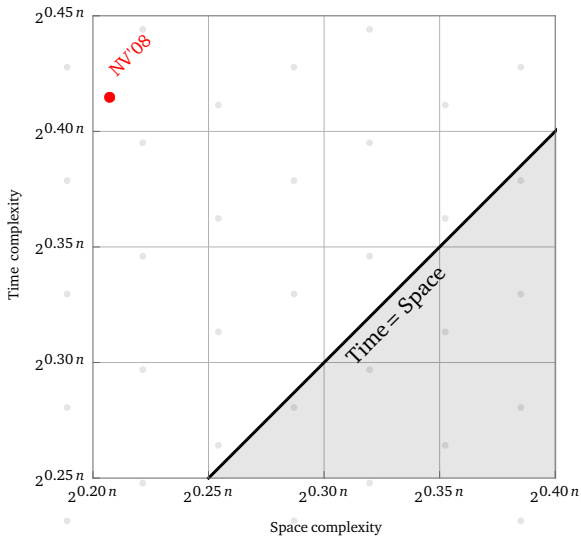
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Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The NV-sieve runs in time $2^{0.42n+o(n)}$ and space $2^{0.21n+o(n)}$.

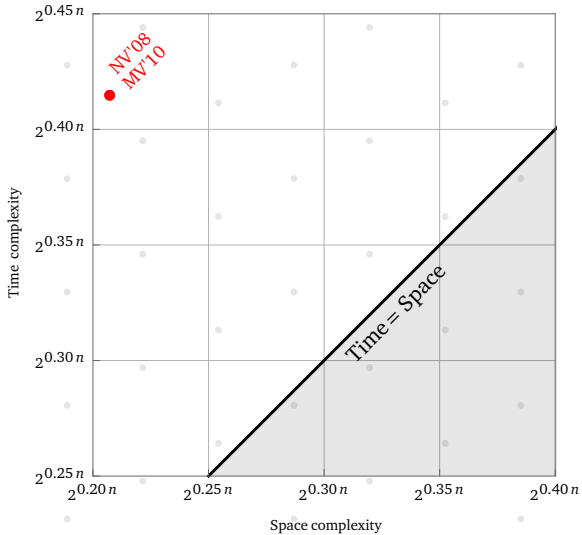
Nguyen-Vidick sieve

Space/time trade-off



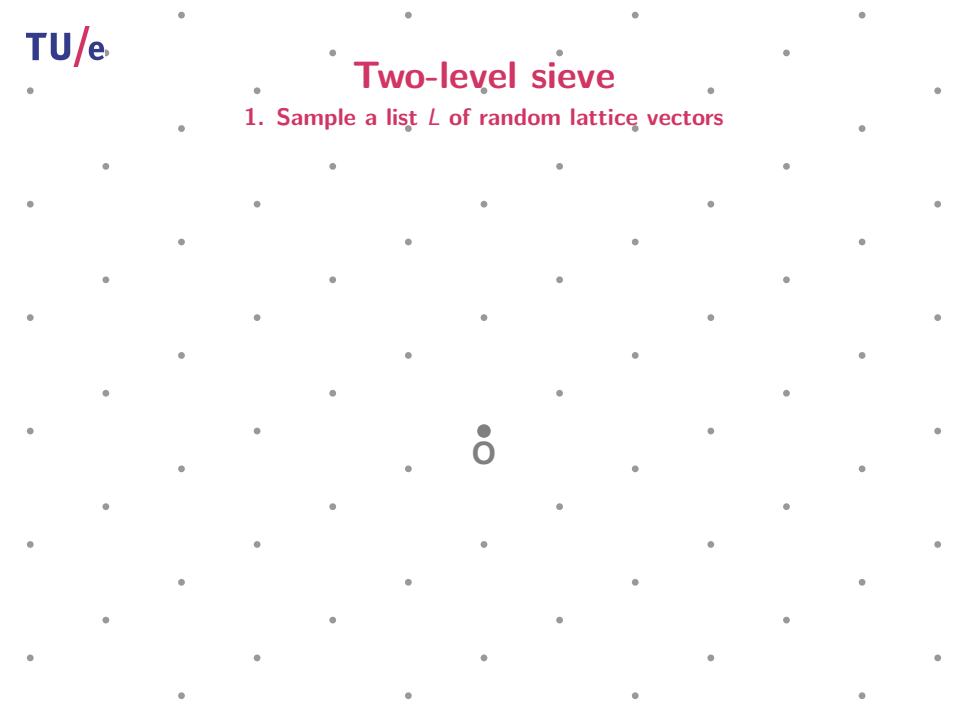
GaussSieve

Space/time trade-off



Two-level sieve

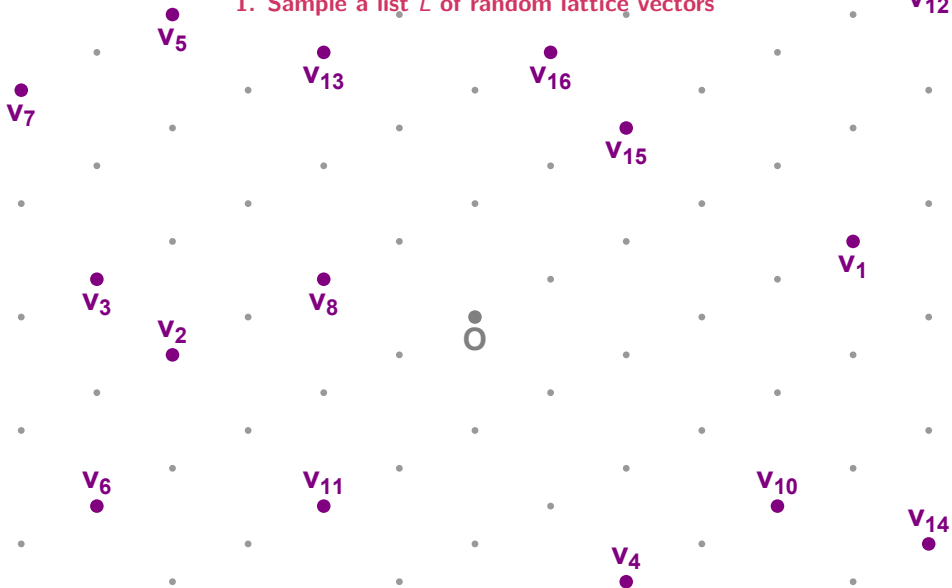
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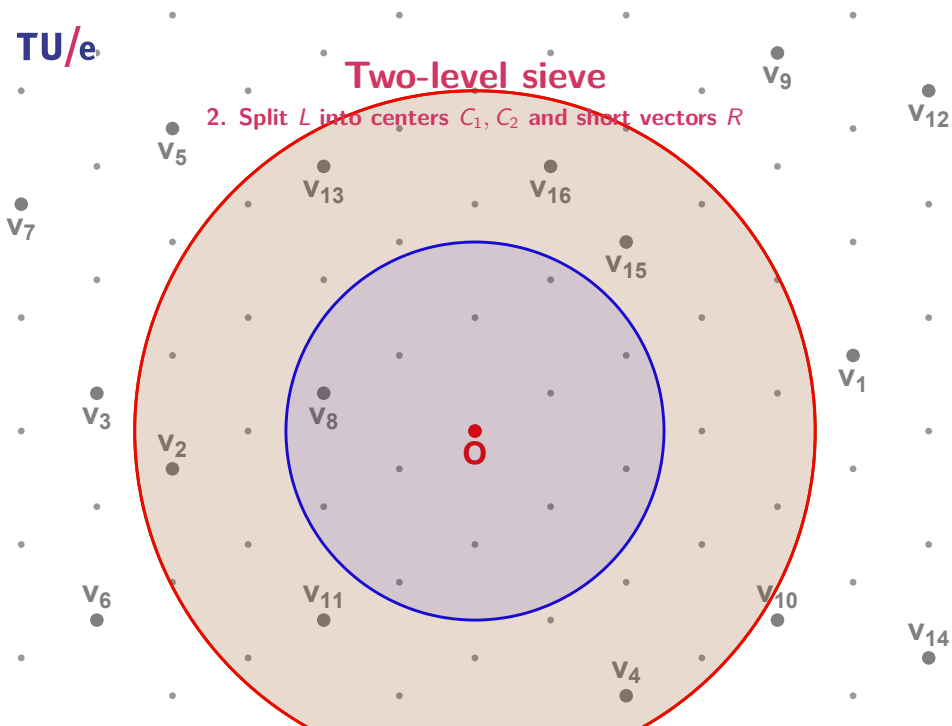
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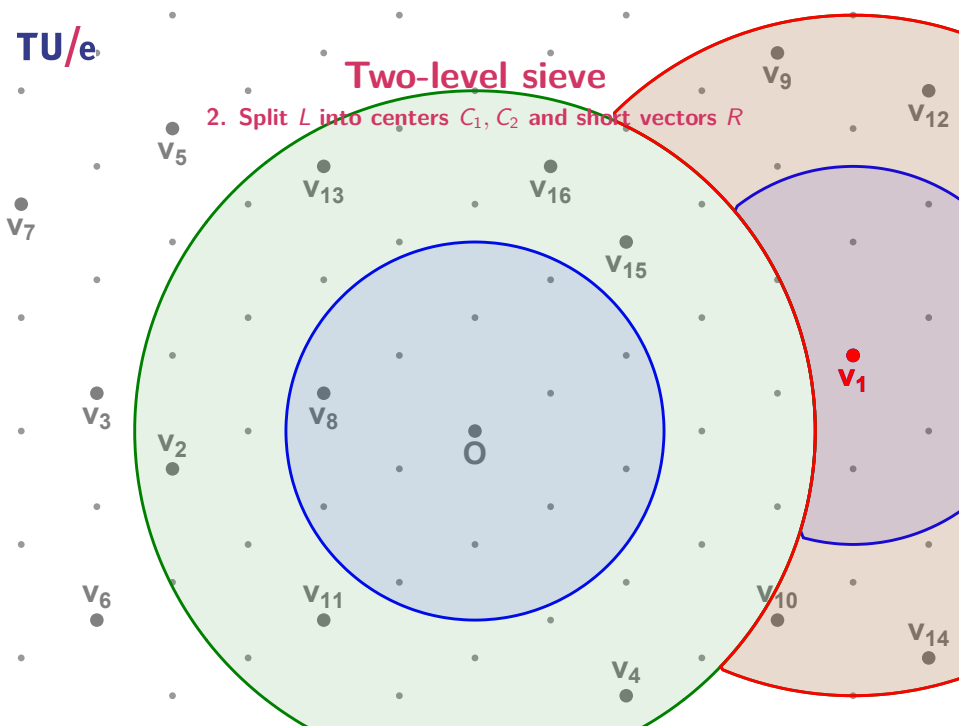
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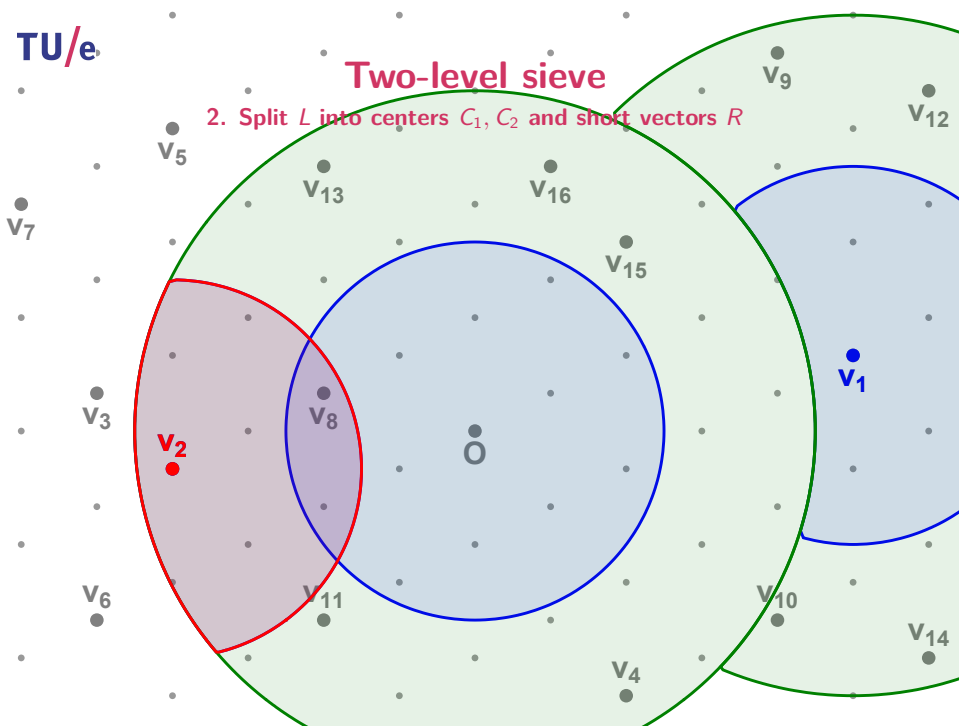
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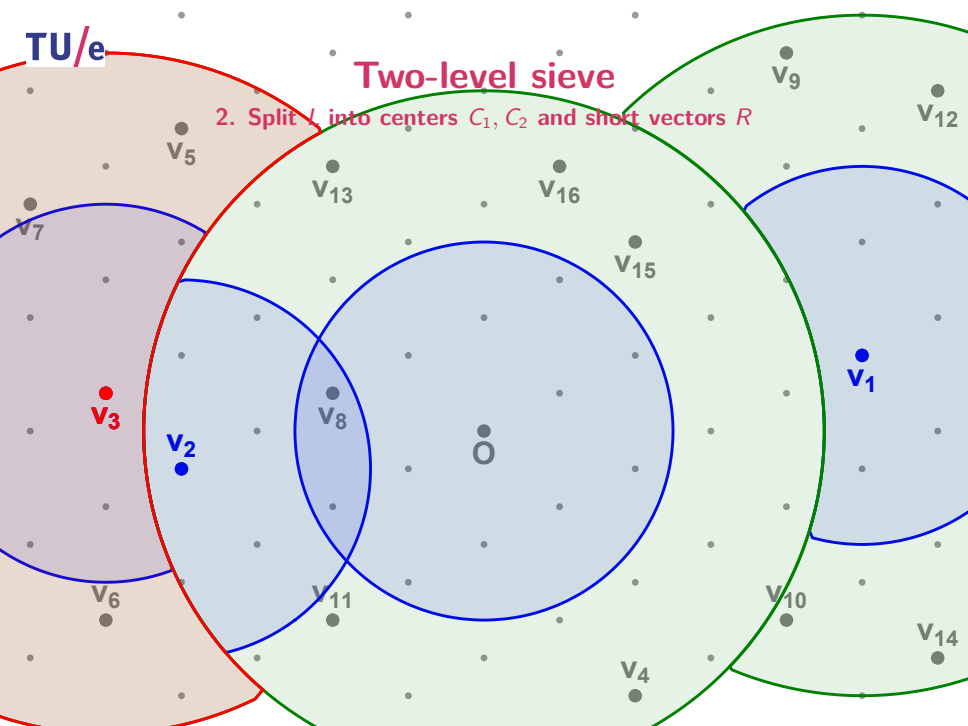
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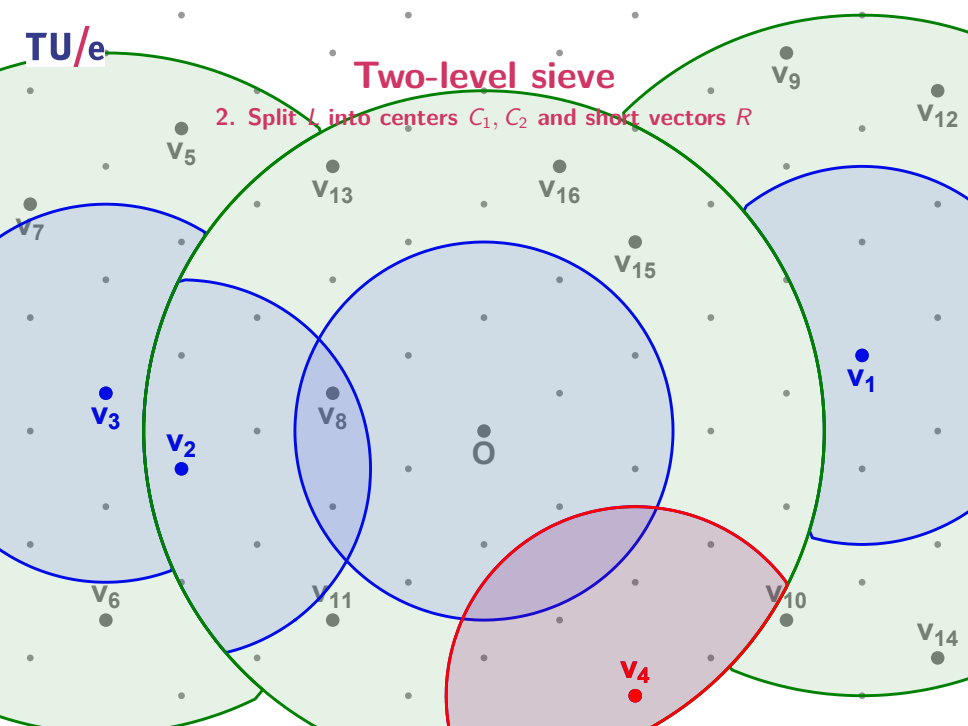
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TU/e

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The diagram shows a central point O surrounded by several overlapping circles. A red circle labeled v_5 is highlighted, and a green circle labeled v_9 is also highlighted. Other circles are labeled $v_1, v_2, v_3, v_4, v_6, v_7, v_8, v_{10}, v_{11}, v_{12}, v_{13}, v_{14}, v_{15}, v_{16}$. The circles are colored in shades of blue and green.

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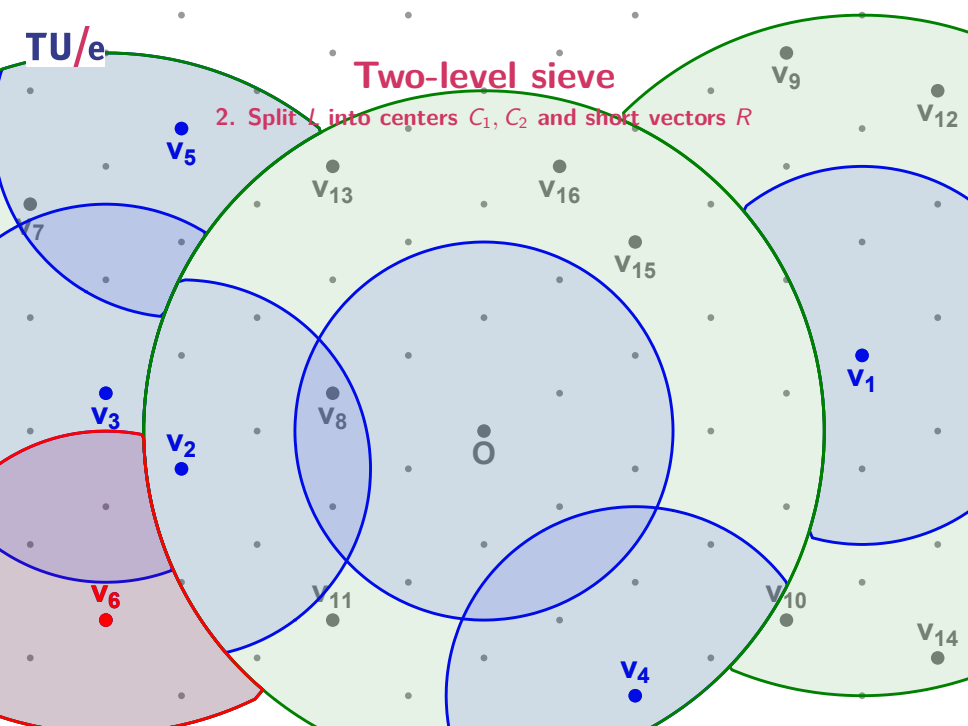
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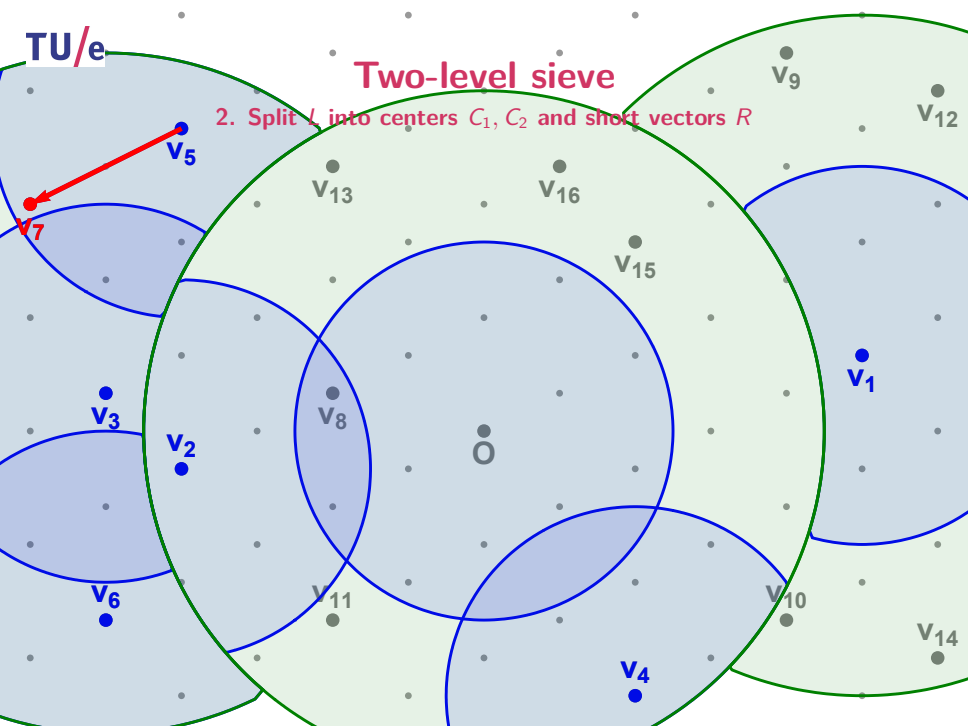
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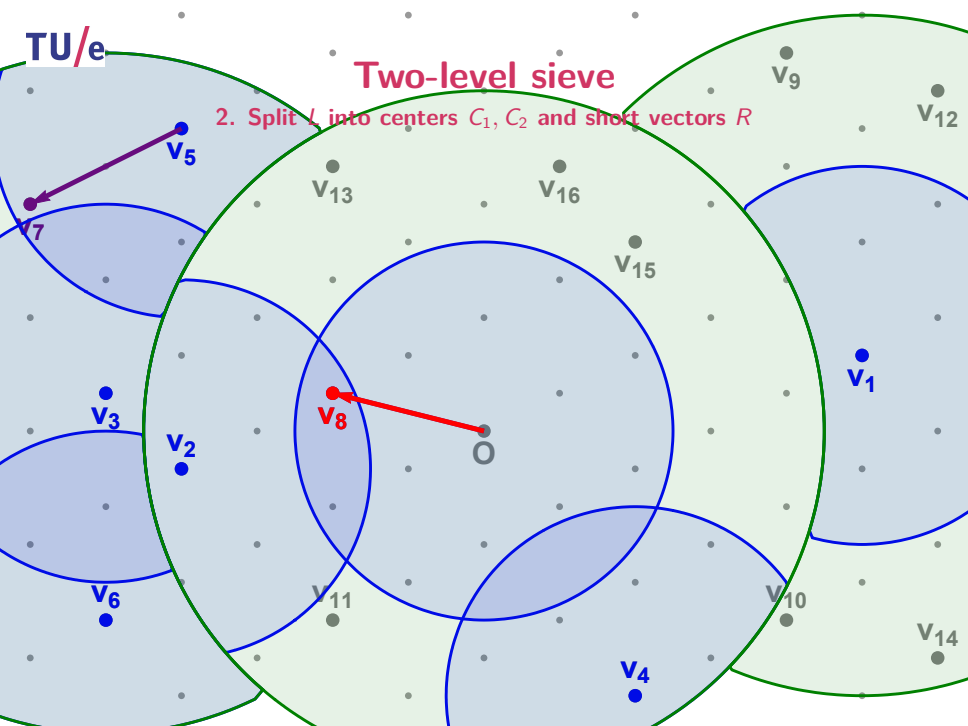
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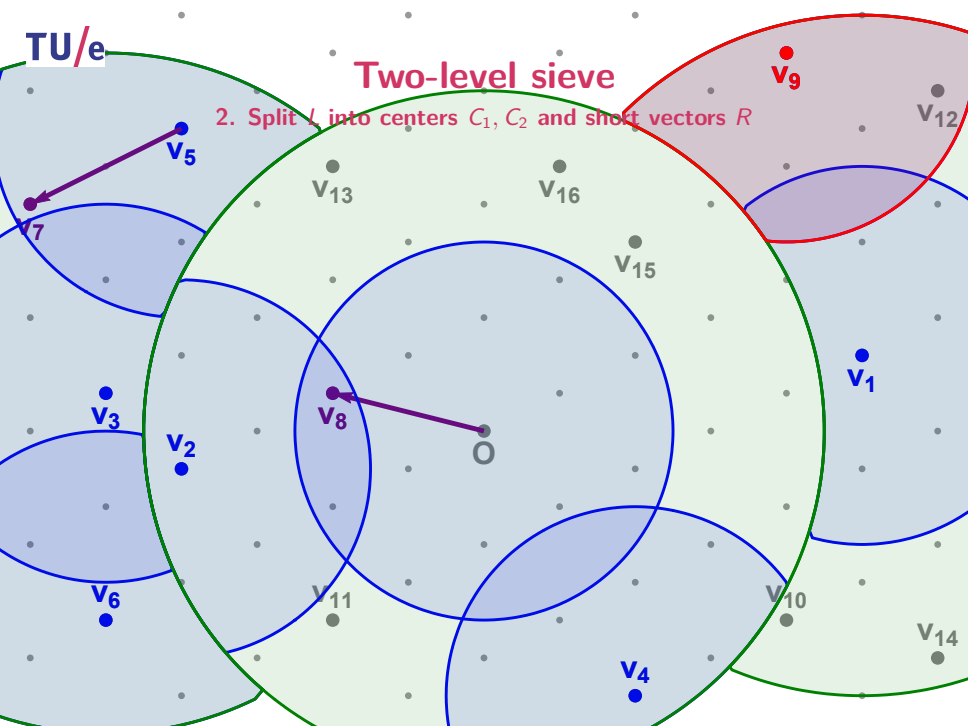
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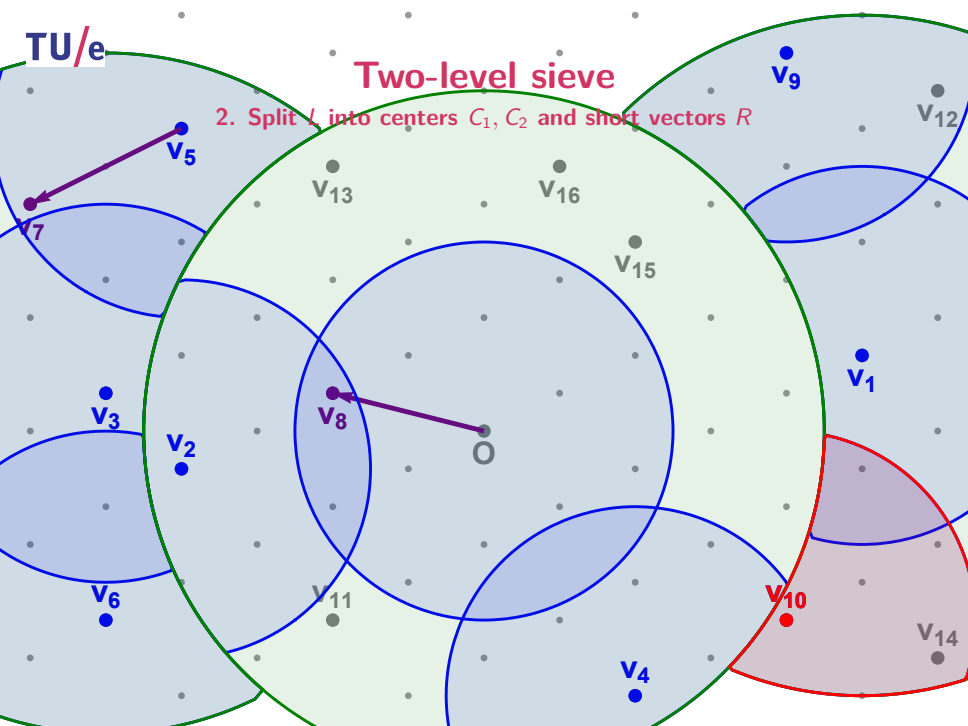
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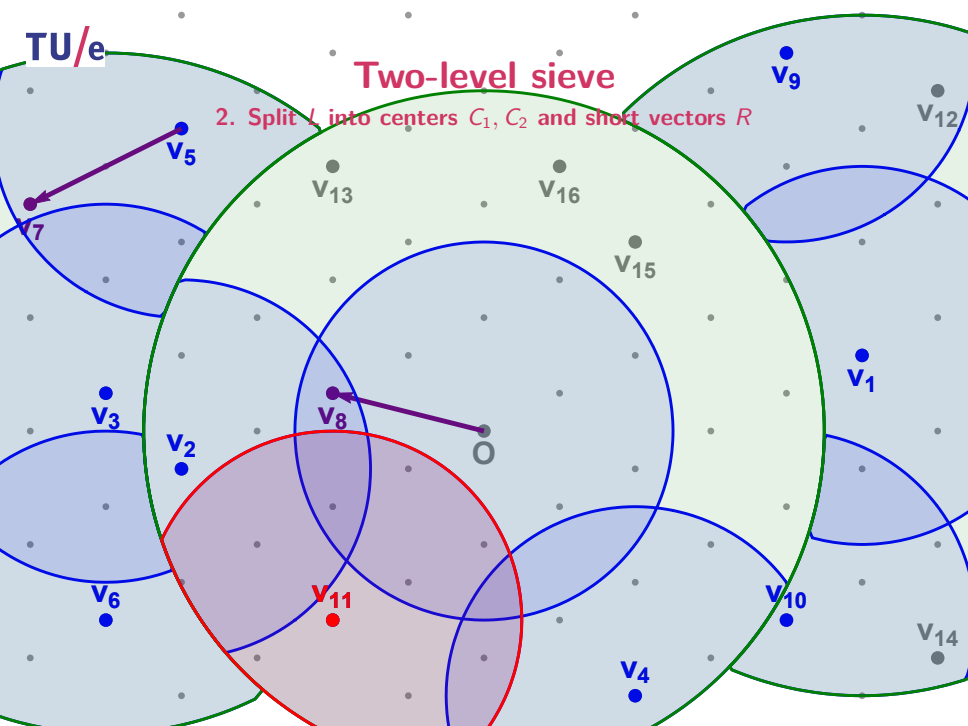
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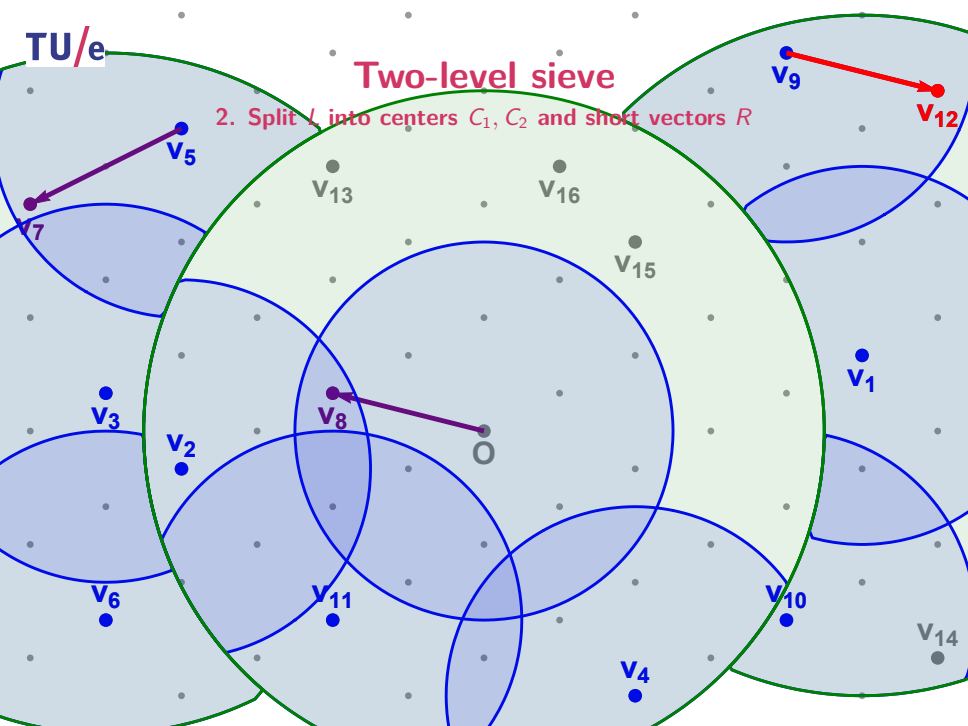
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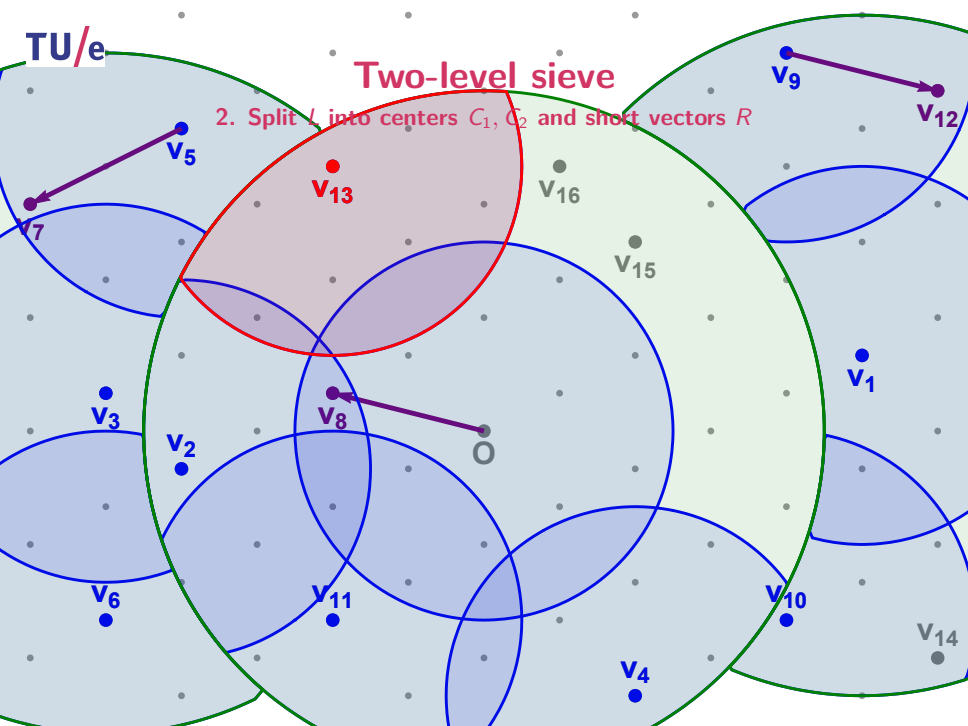
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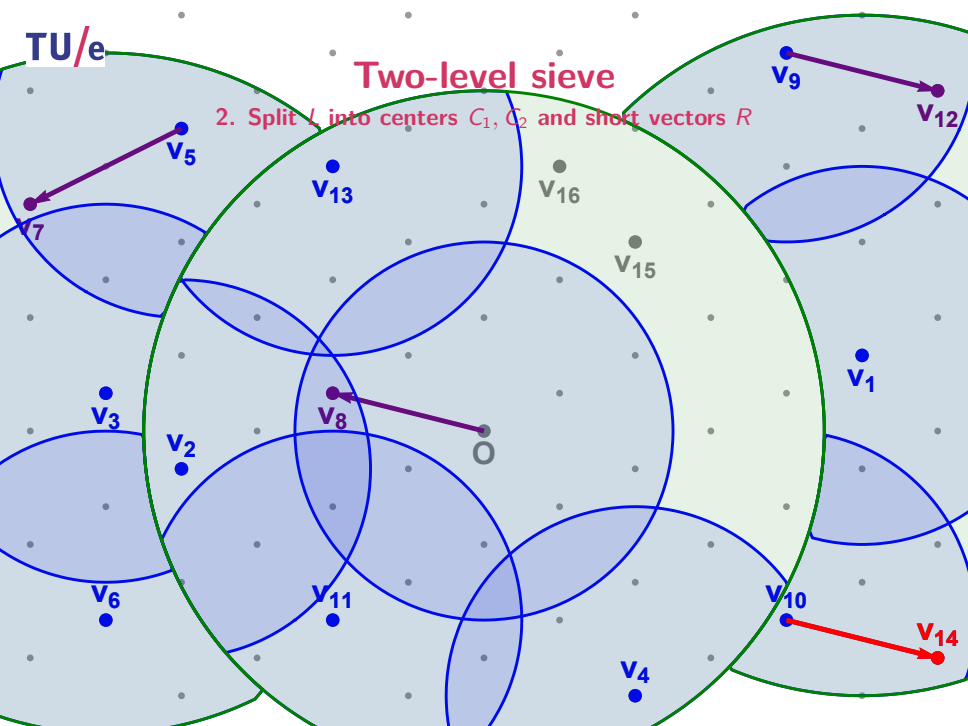
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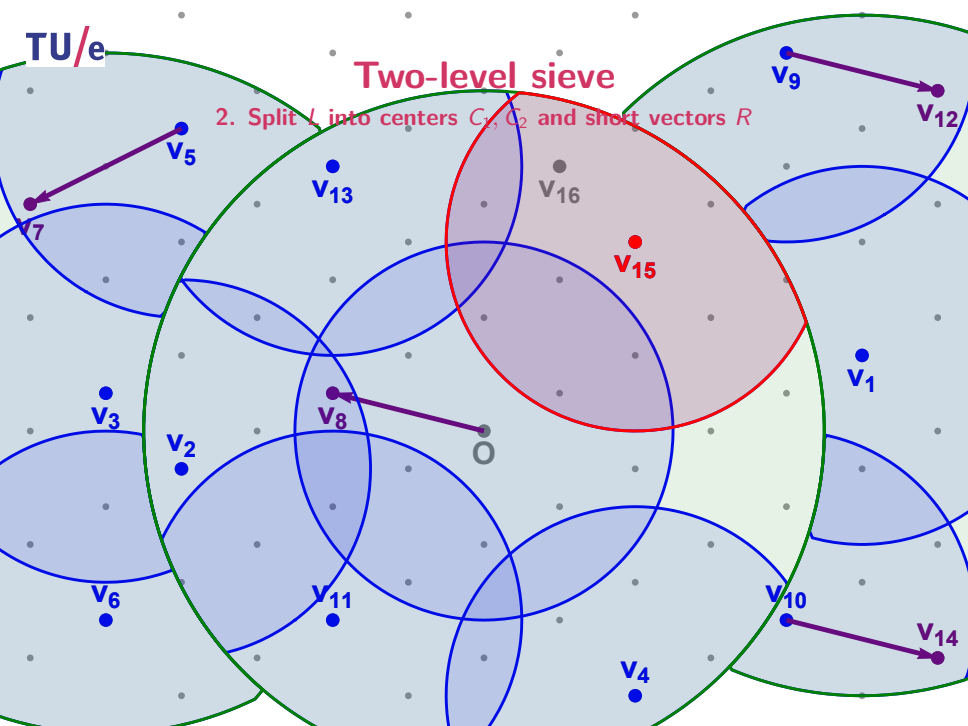
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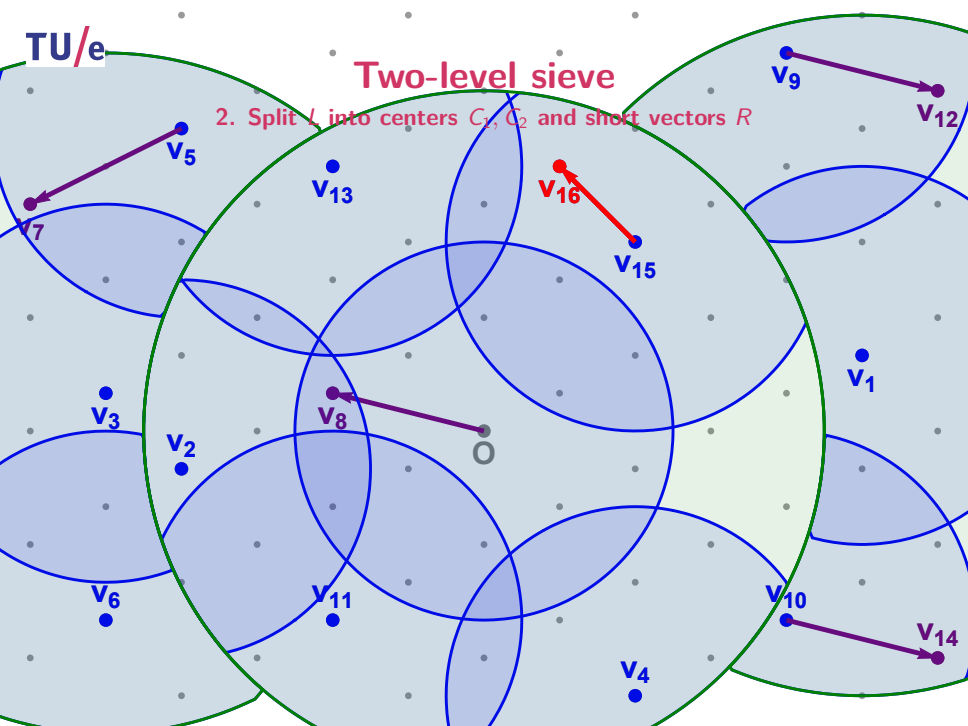
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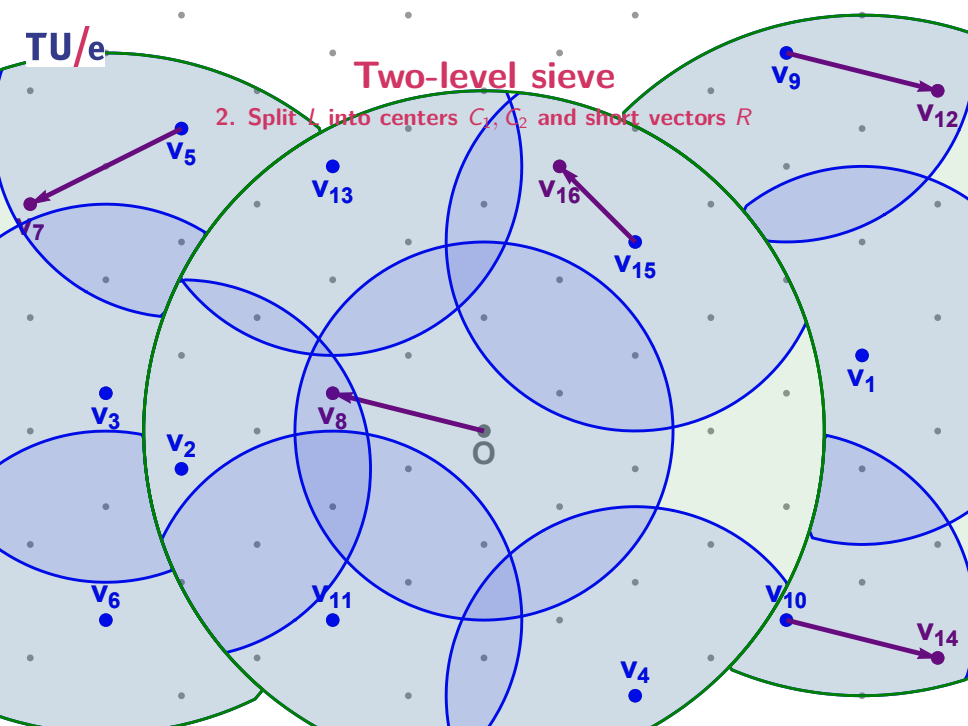
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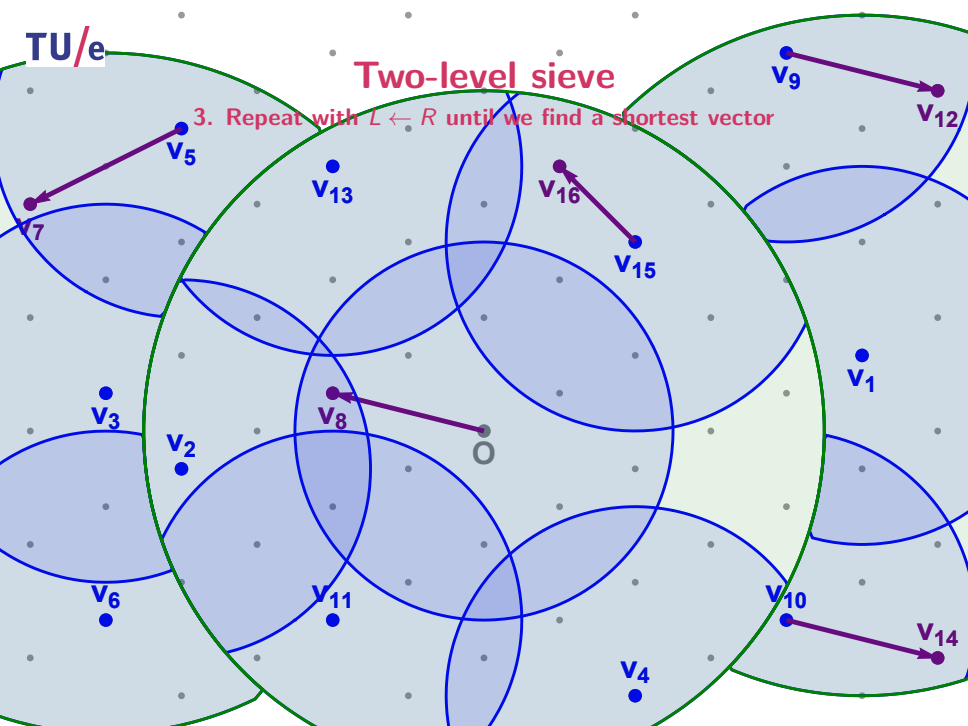
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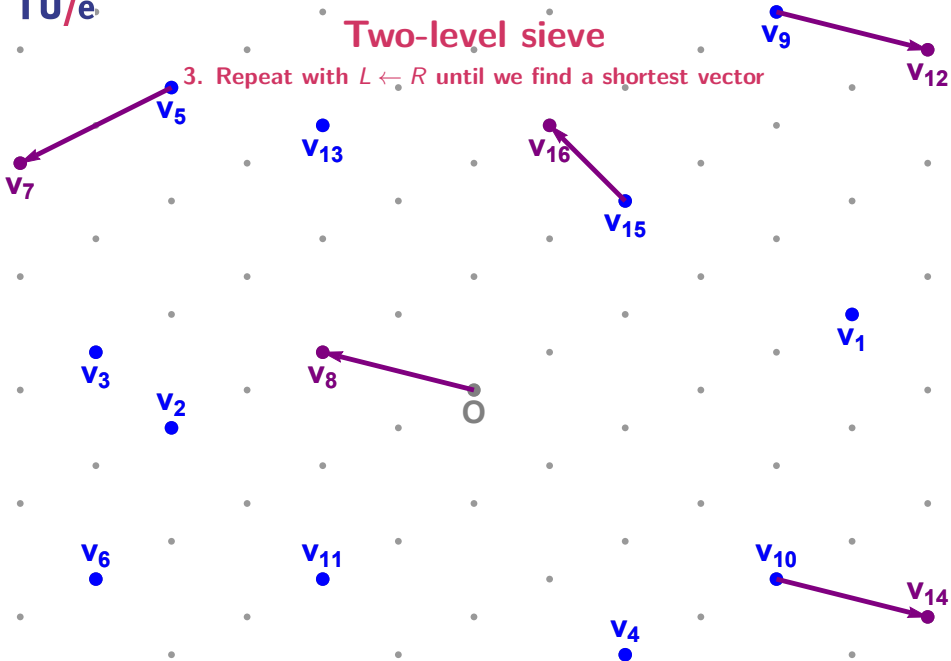
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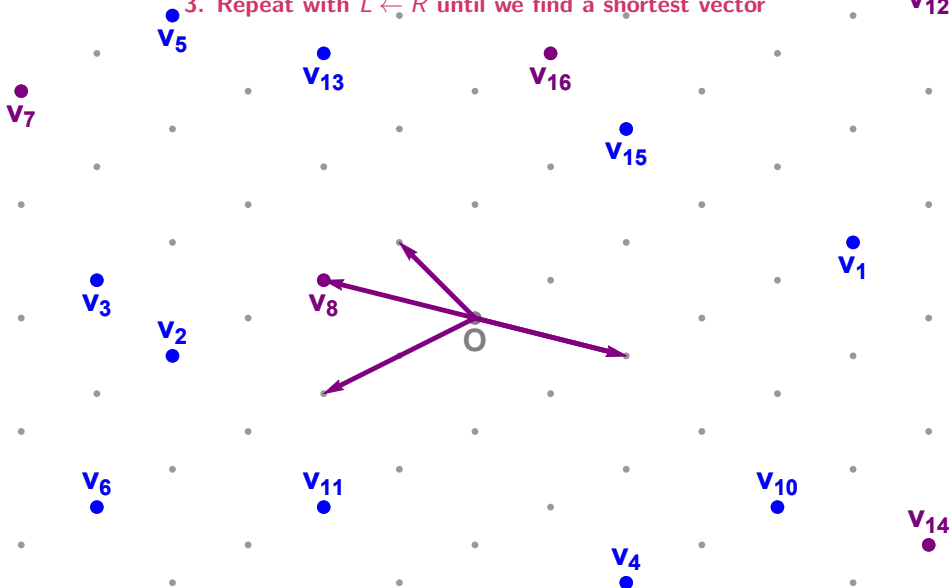
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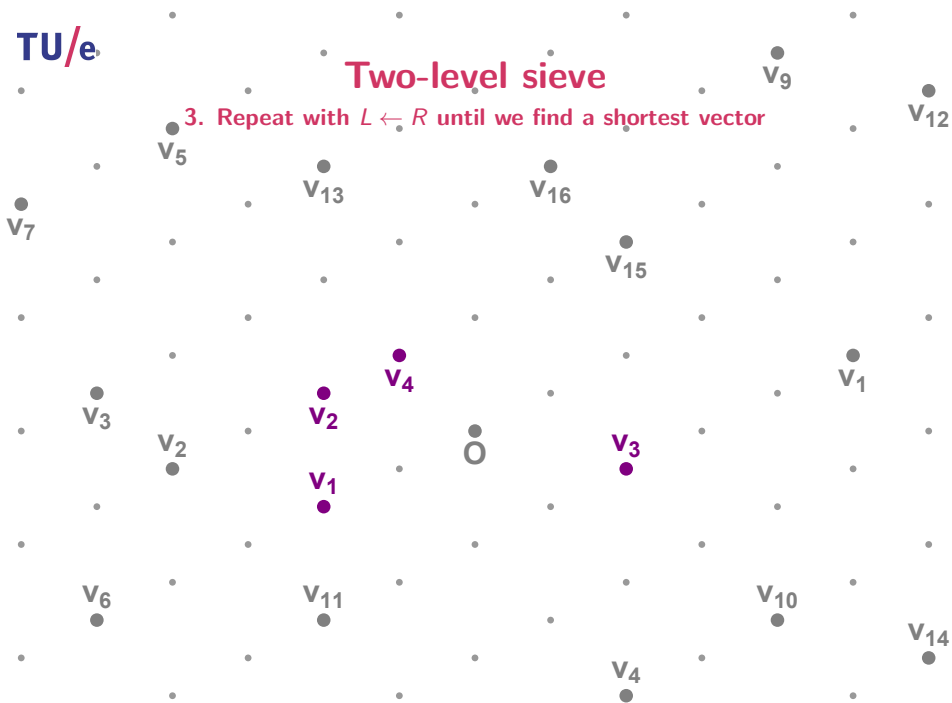
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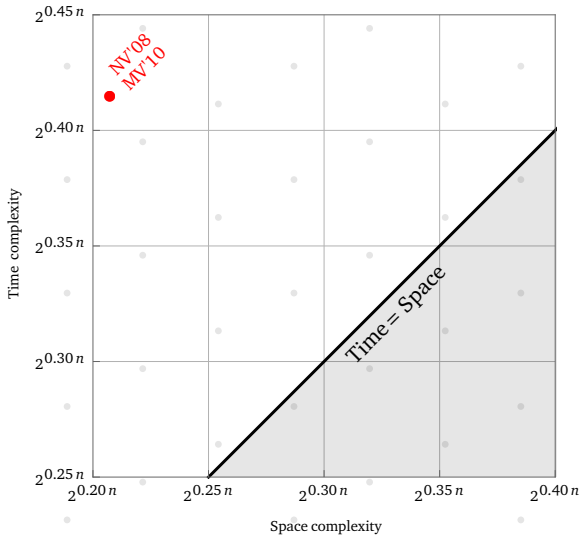
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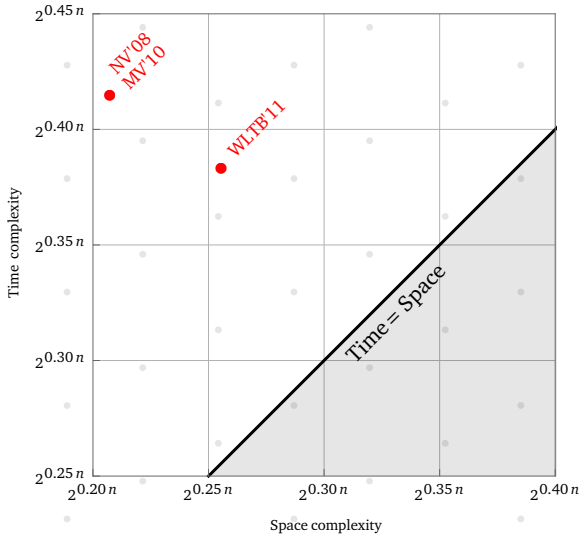
Two-level sieve

Space/time trade-off



Two-level sieve

Space/time trade-off



Three-level sieve

Overview

Heuristic (Nguyen and Vidick, J. Math. Crypt. '08)

The one-level sieve runs in time $2^{0.4150n}$ and space $2^{0.2075n}$.

Three-level sieve

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Heuristic (Wang et al., ASIACCS'11)

The two-level sieve runs in time $2^{0.3836n}$ and space $2^{0.2557n}$.

Three-level sieve

Overview

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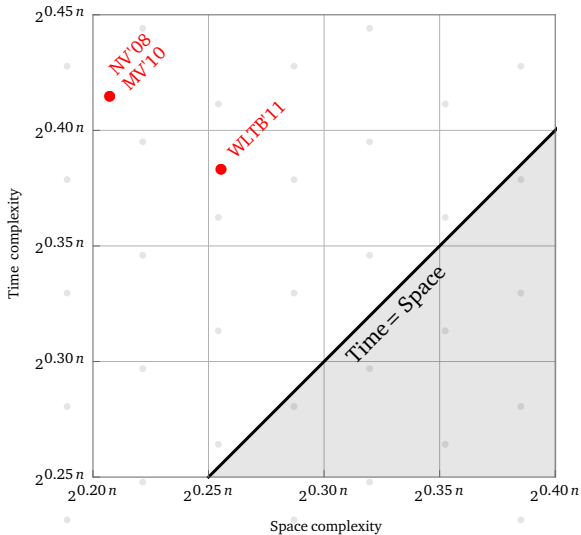
The three-level sieve runs in time $2^{0.3778n}$ and space $2^{0.2833n}$.

Conjecture

The four-level sieve runs in time $2^{0.3774n}$ and space $2^{0.2925n}$, and higher-level sieves are not faster than this.

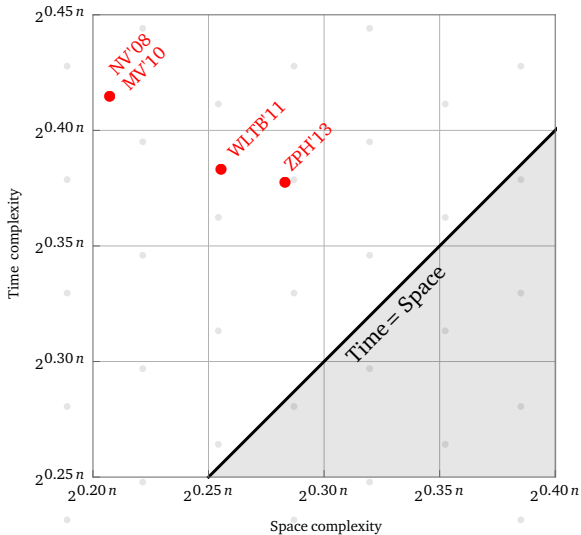
Three-level sieve

Space/time trade-off



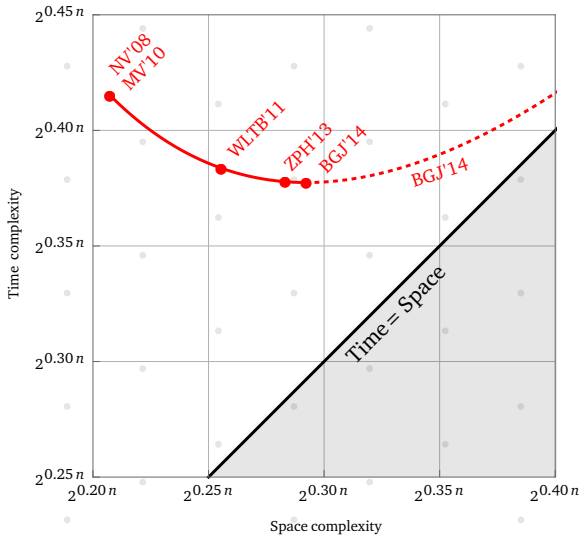
Three-level sieve

Space/time trade-off



Decomposition approach

Space/time trade-off



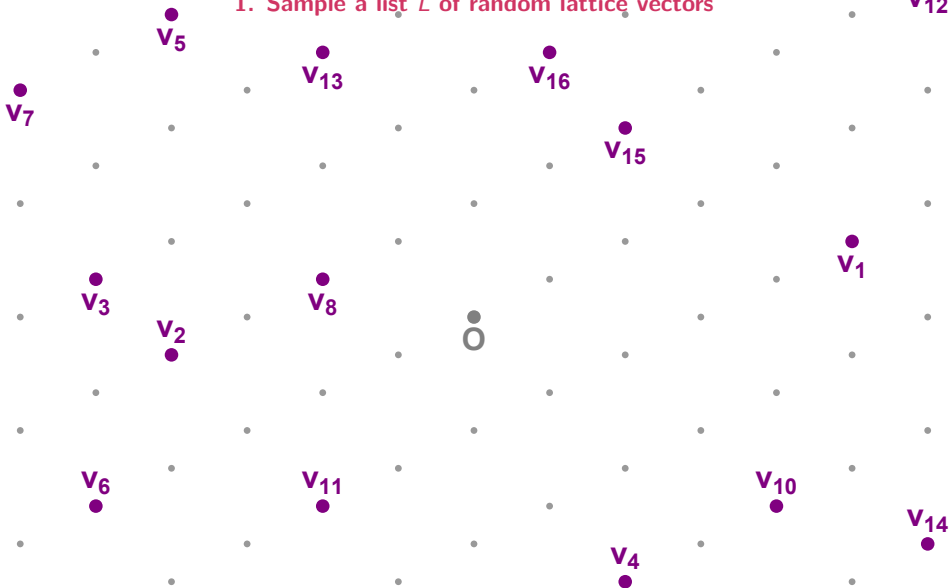
Hyperplane LSH

1. Sample a list L of random lattice vectors



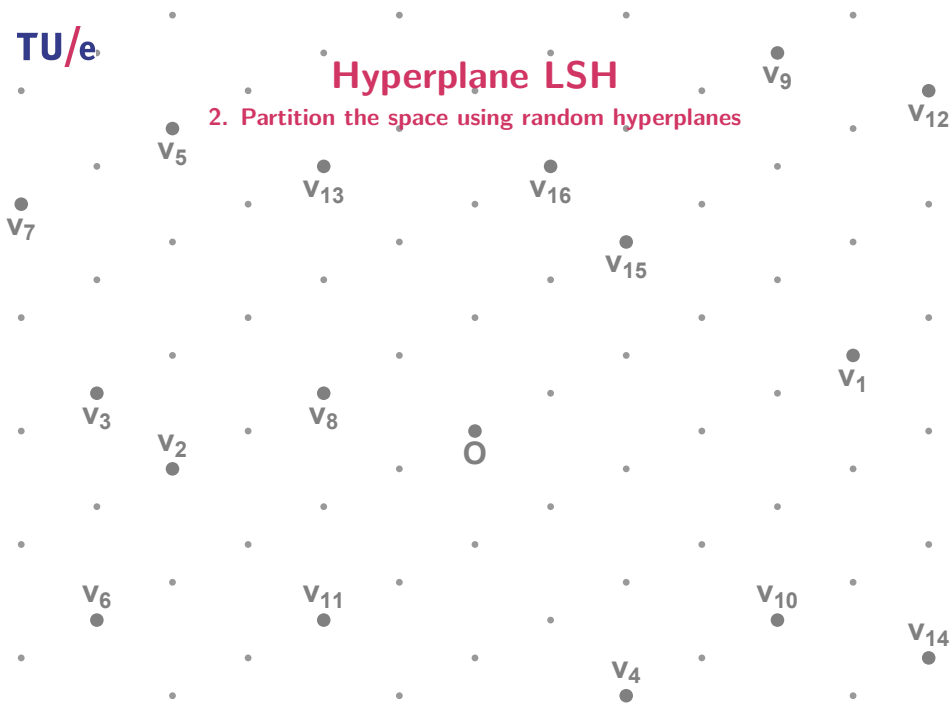
Hyperplane LSH

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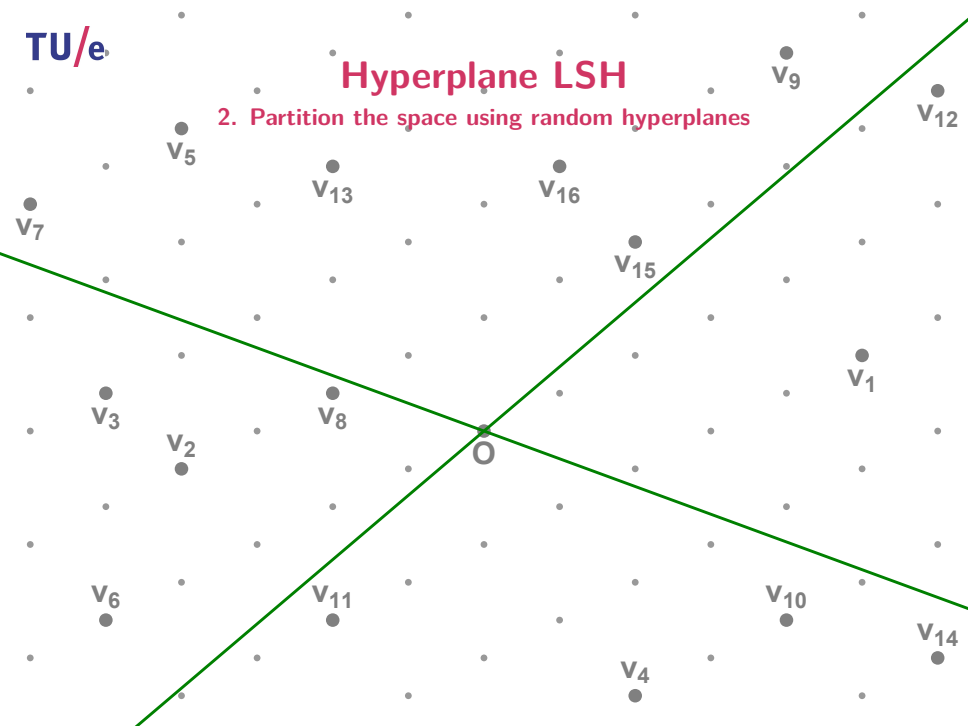
Hyperplane LSH

2. Partition the space using random hyperplanes



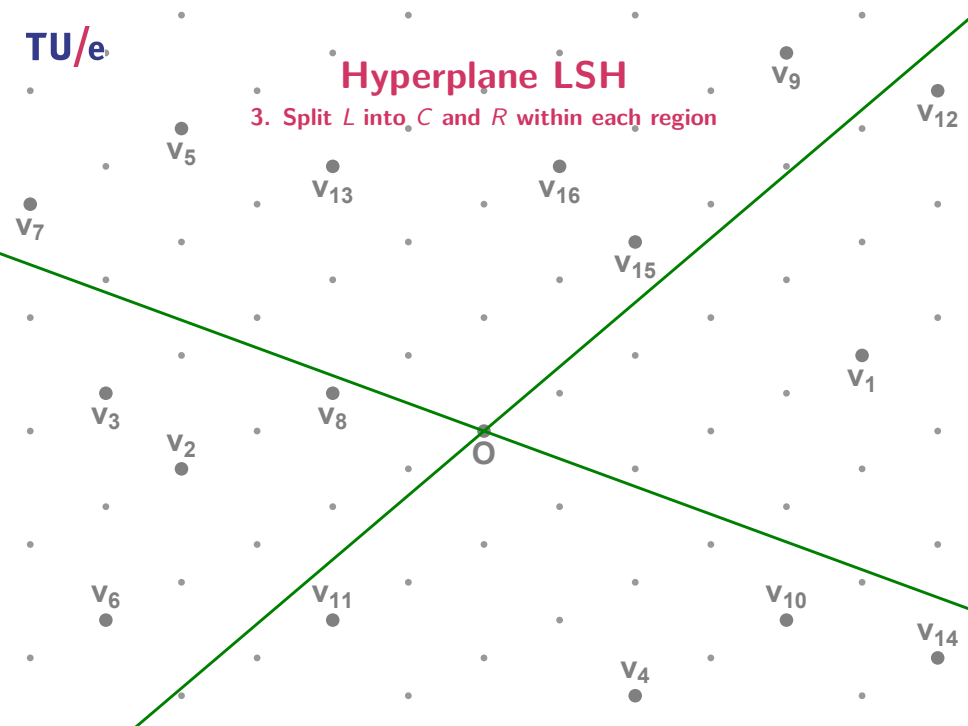
Hyperplane LSH

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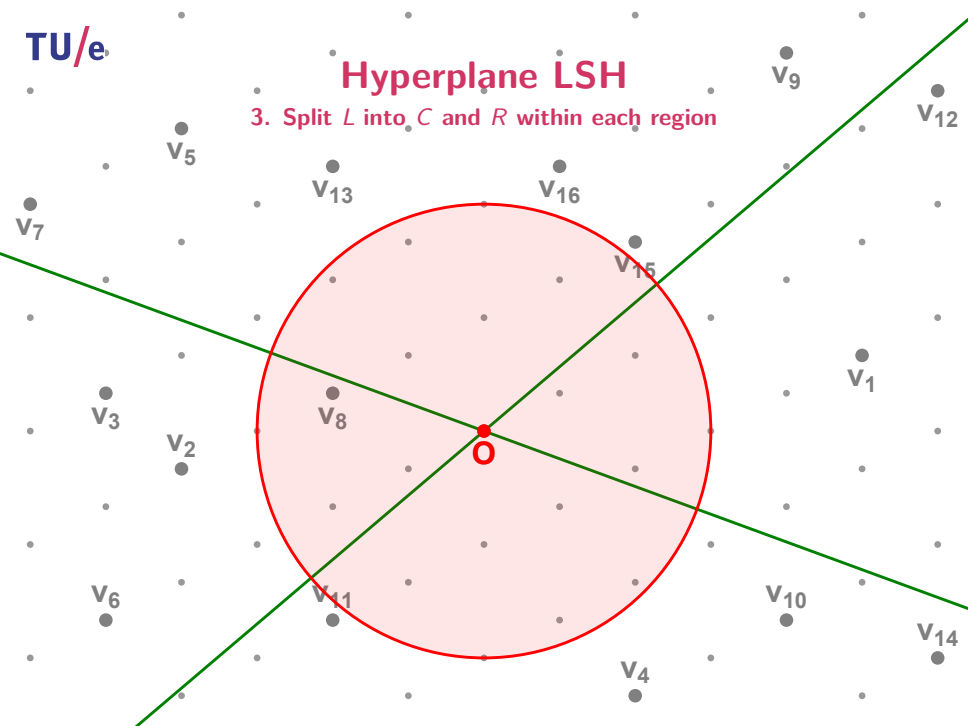
Hyperplane LSH

3. Split L into C and R within each region



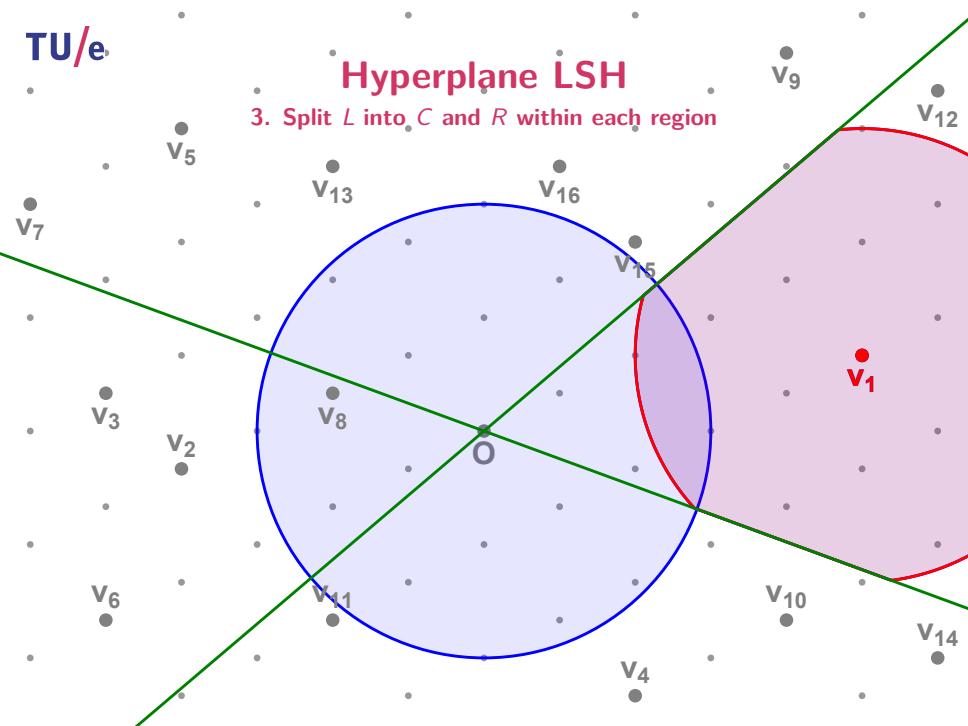
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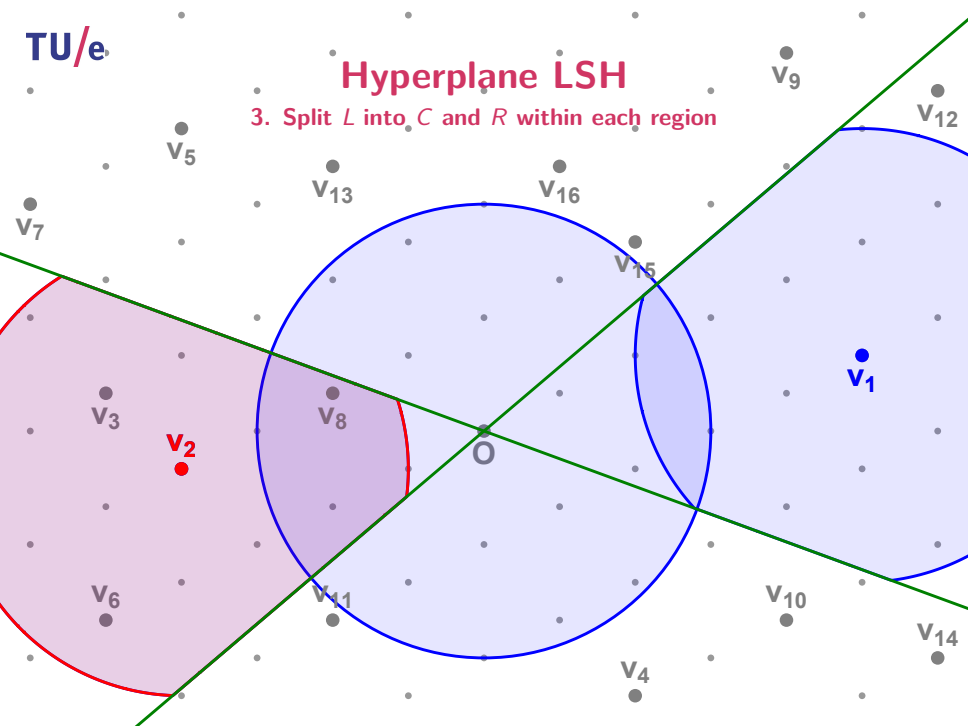
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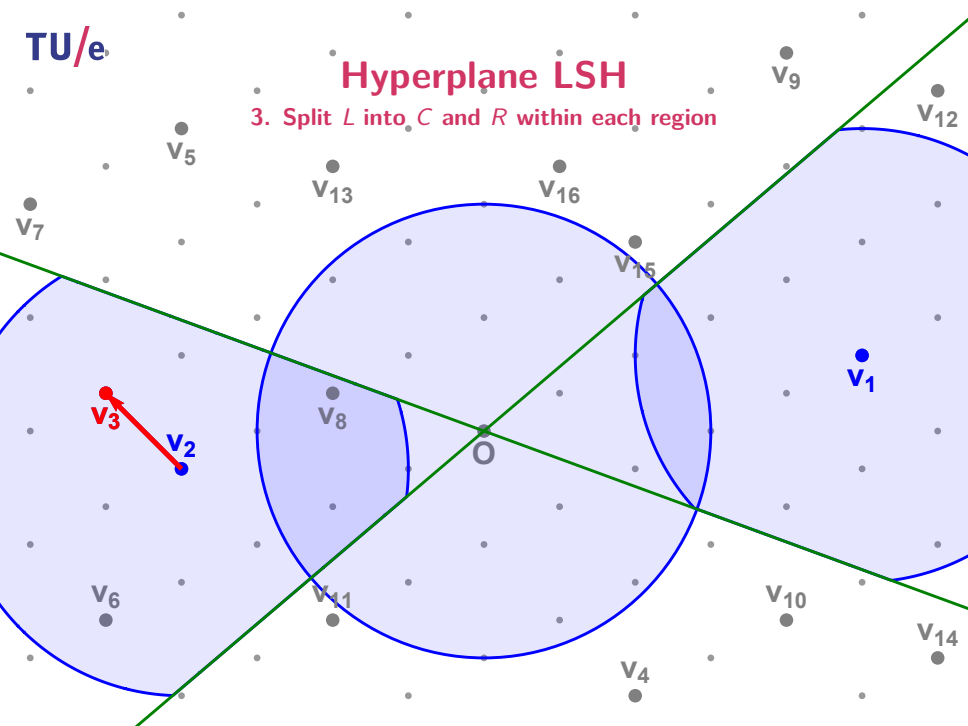
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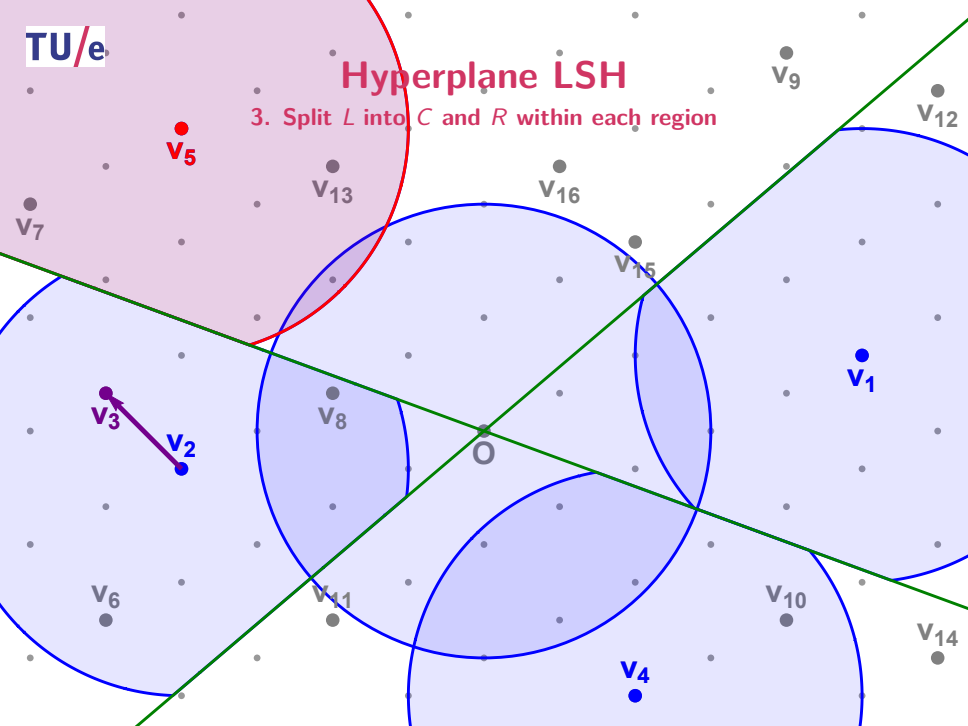
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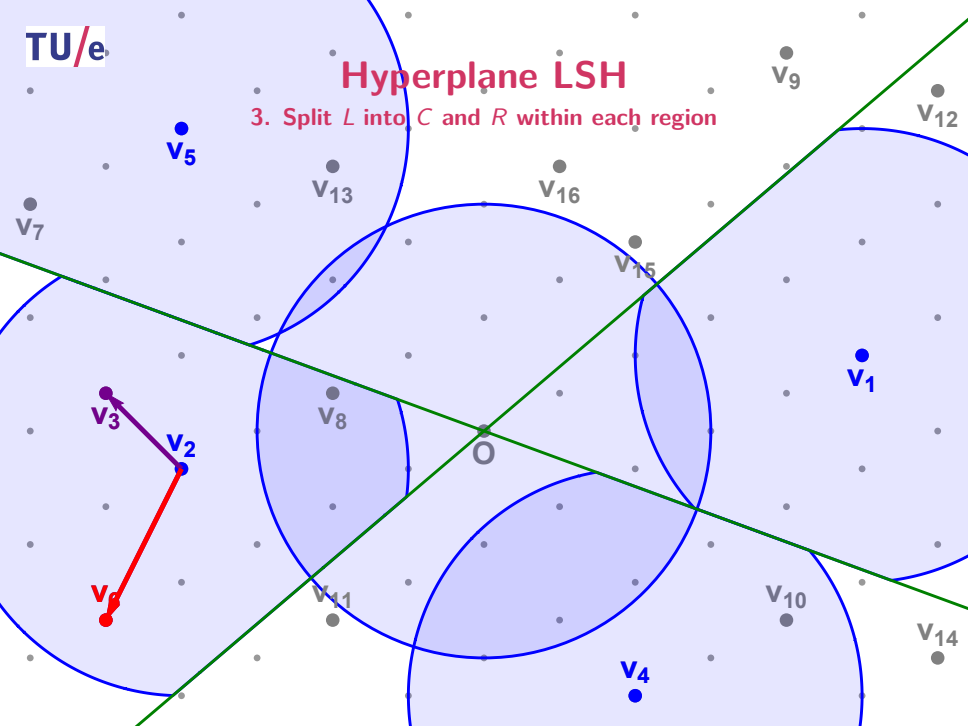
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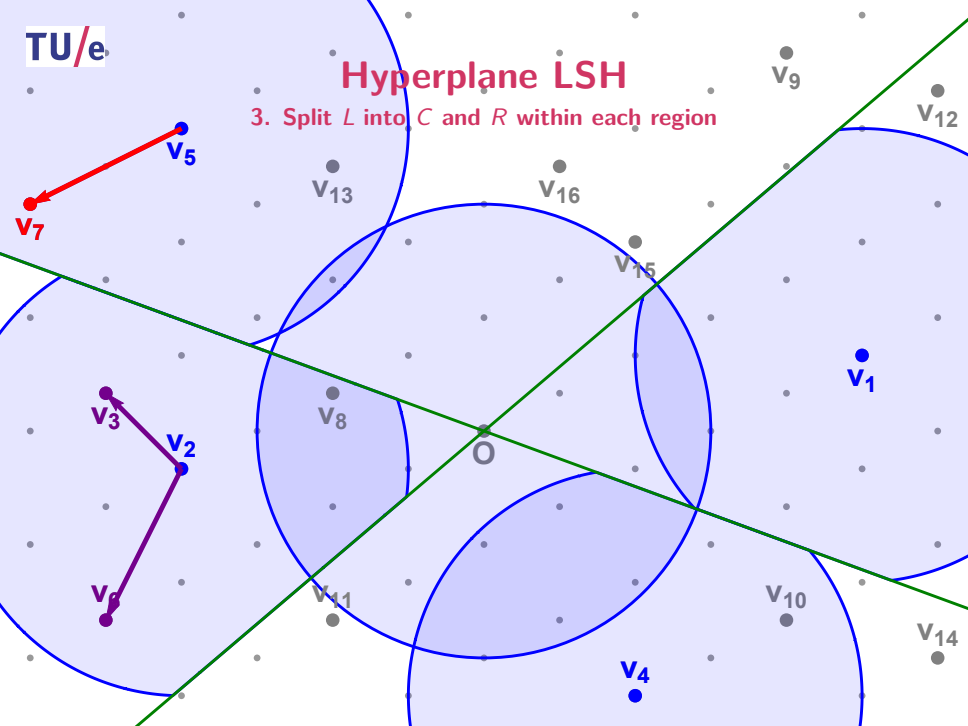
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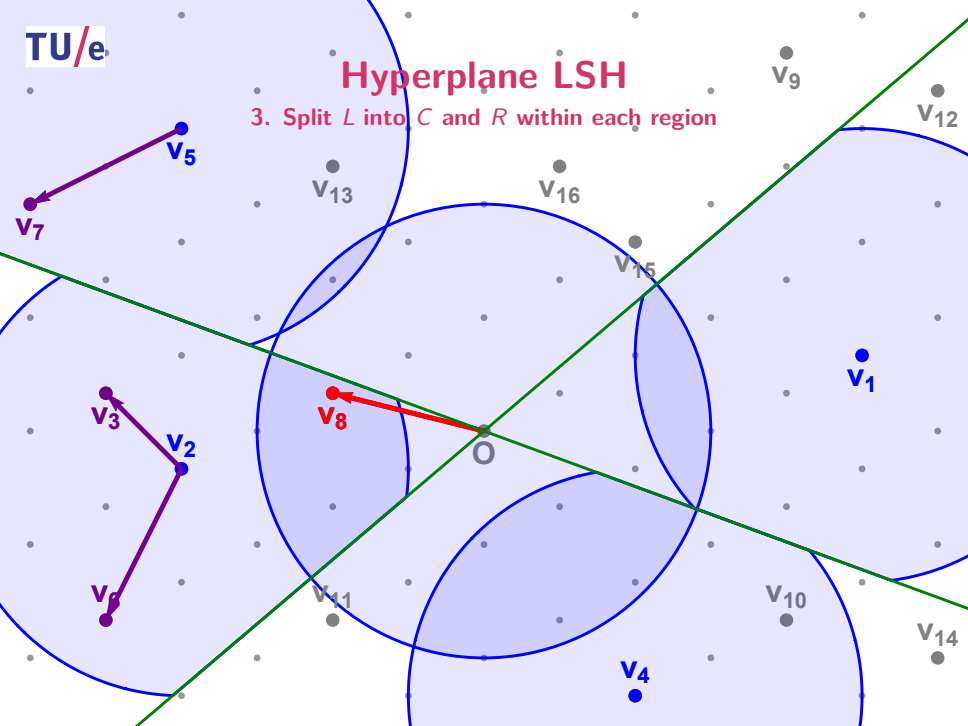
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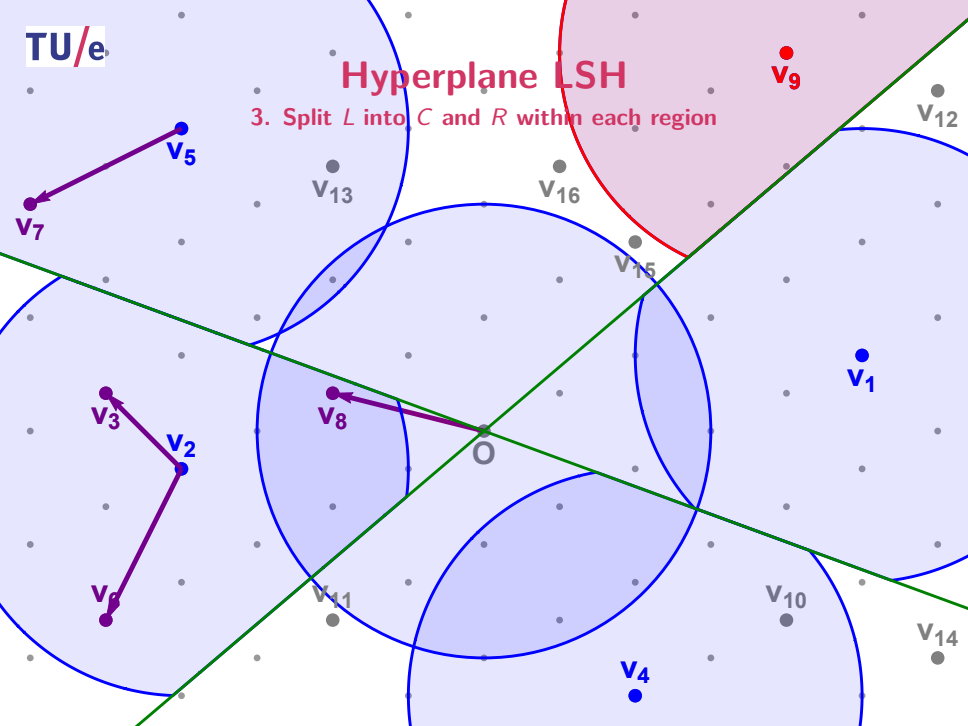
Hyperplane LSH

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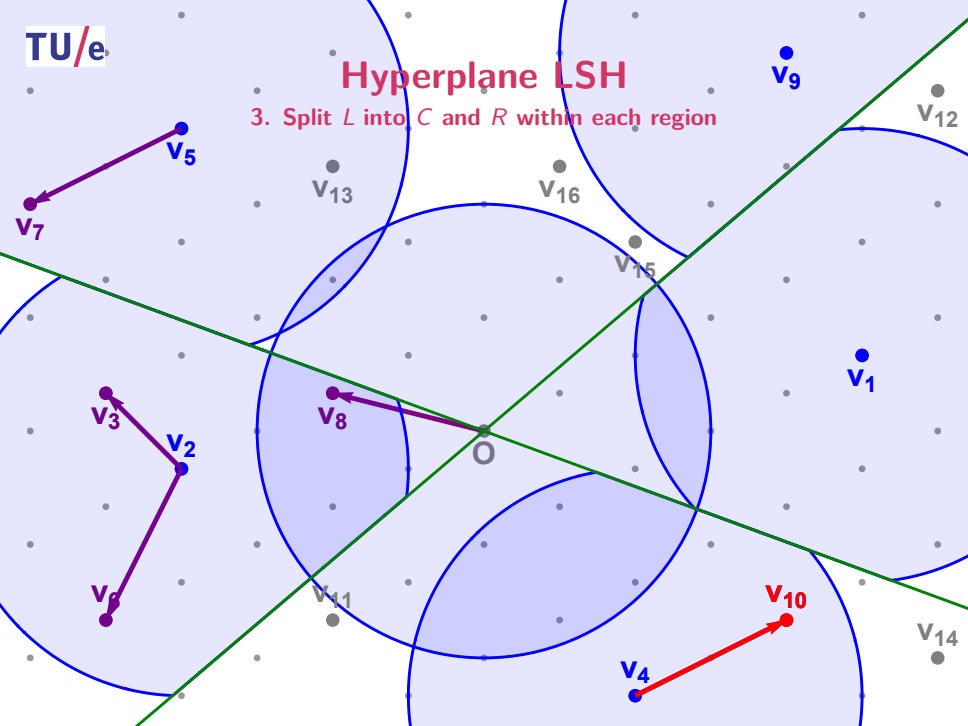
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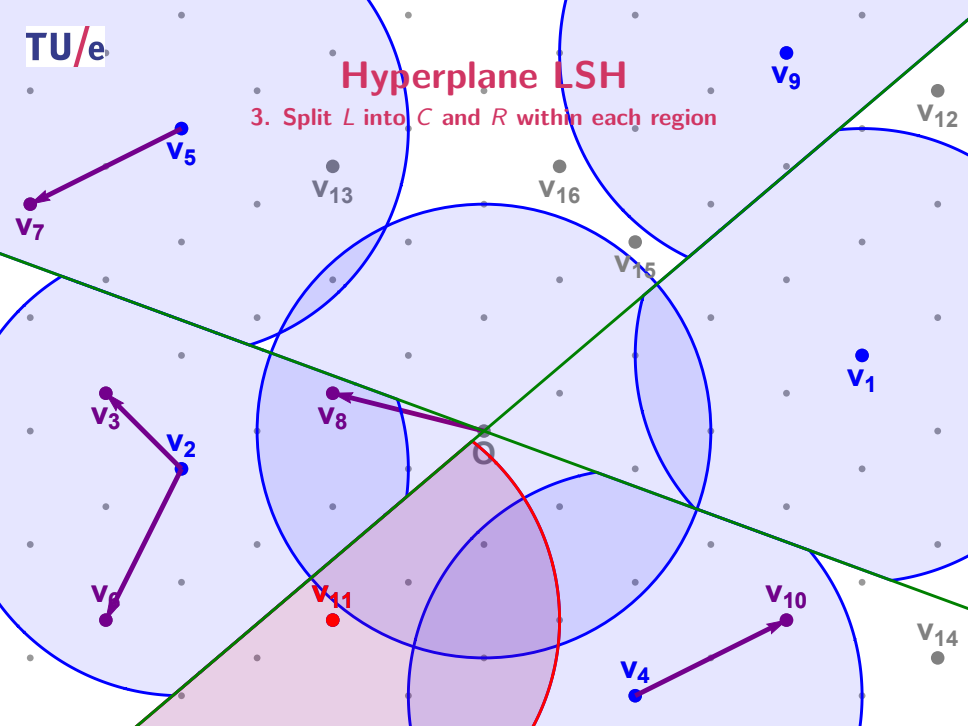
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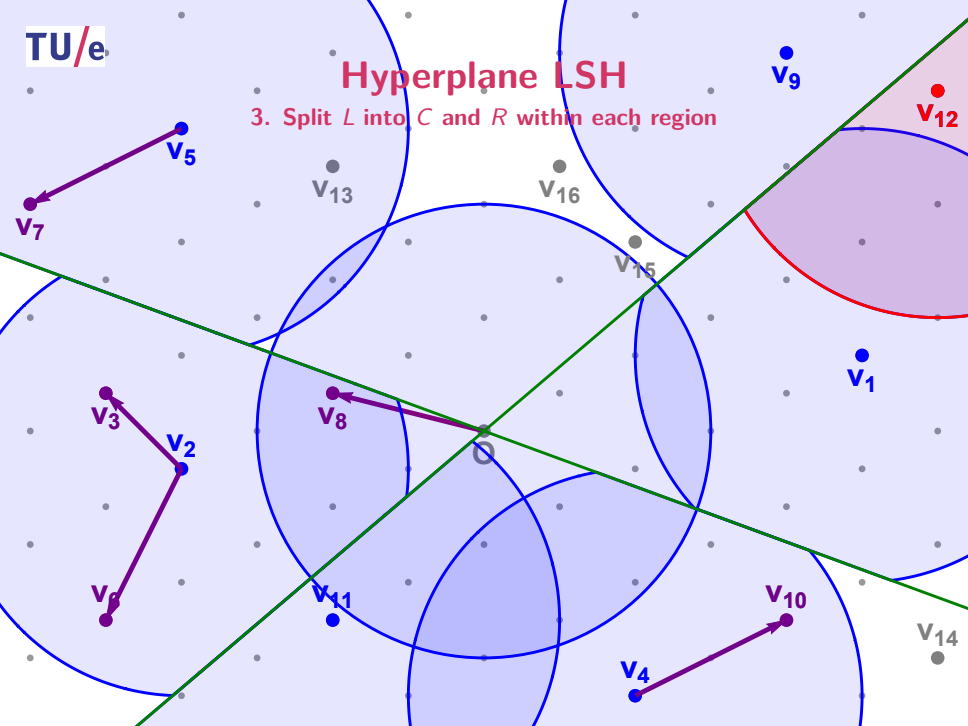
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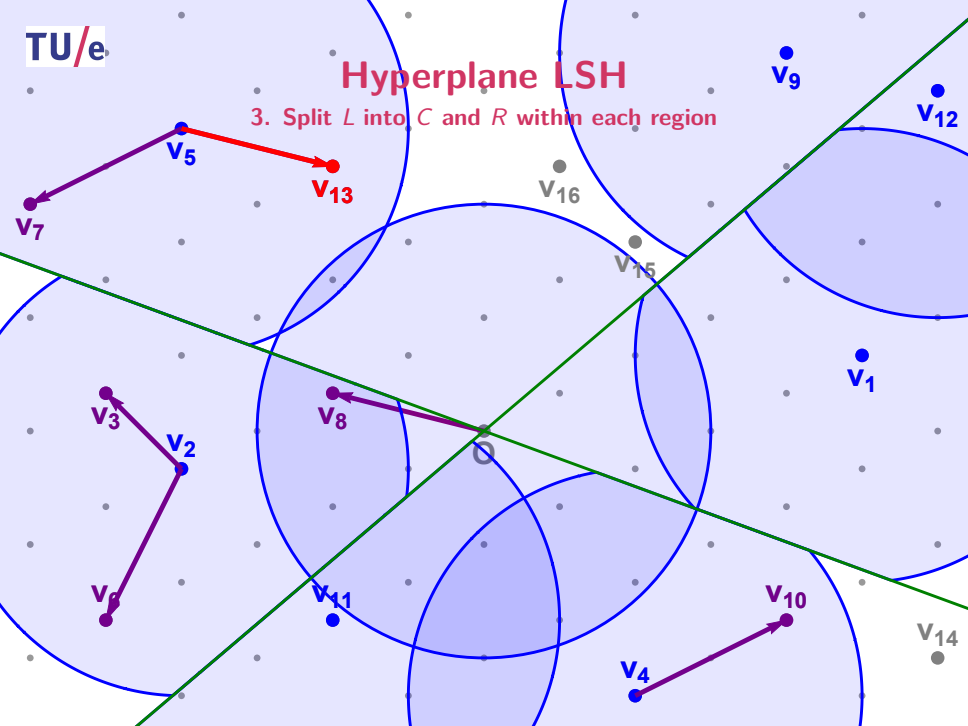
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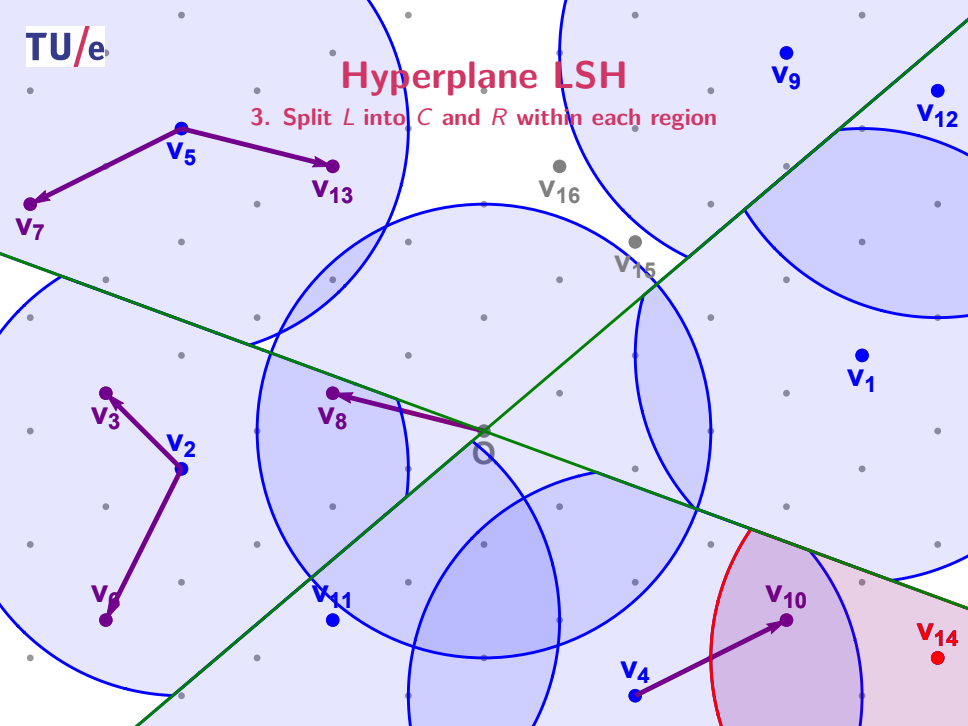
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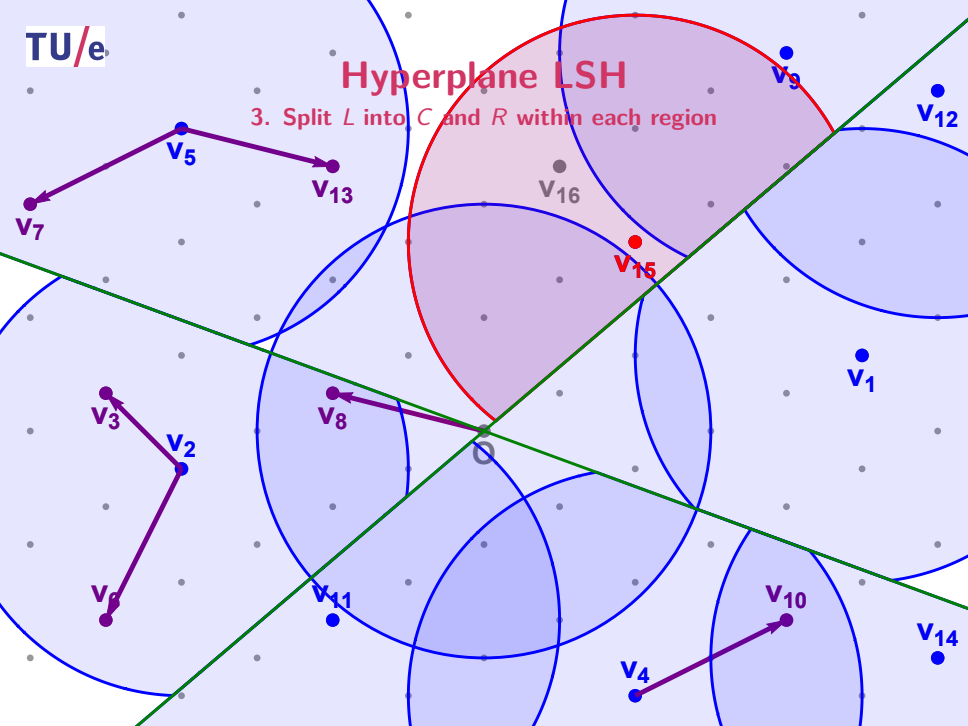


Hyperplane LSH

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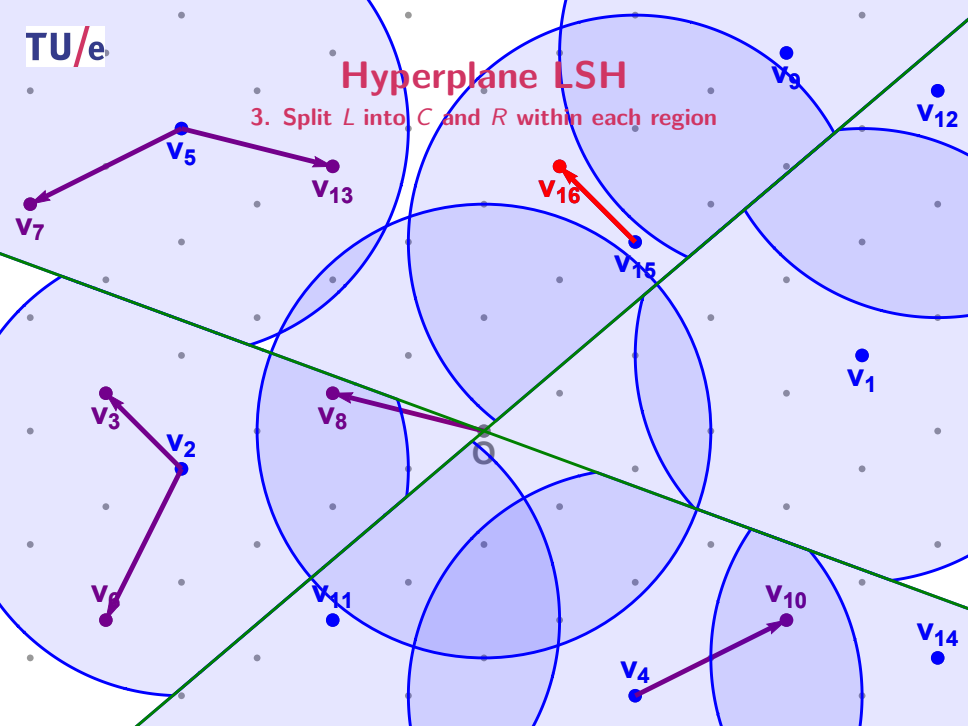


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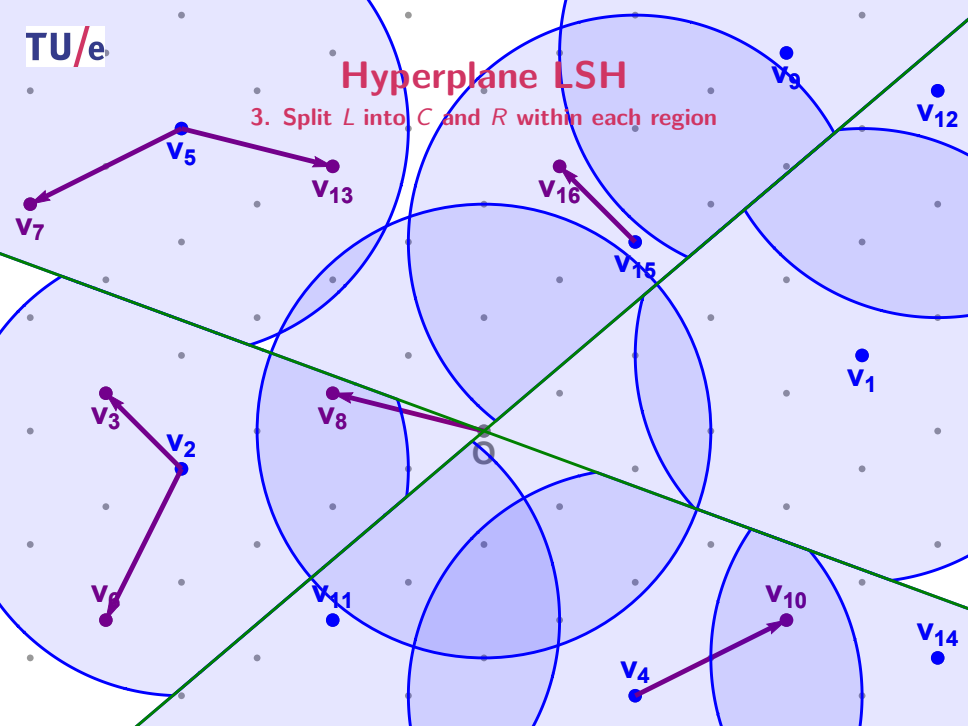
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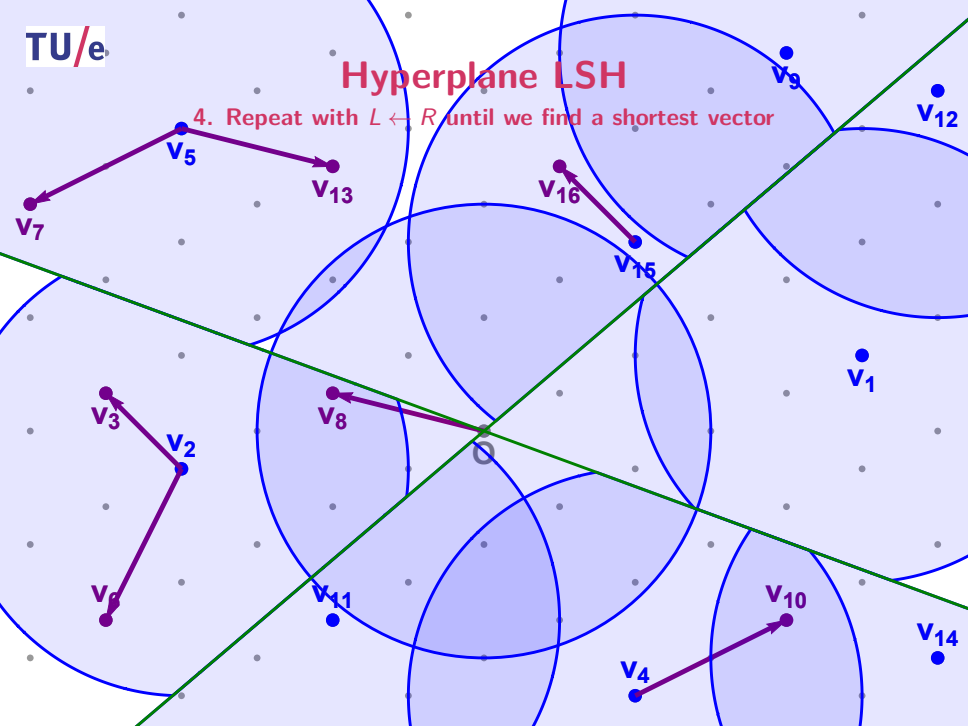
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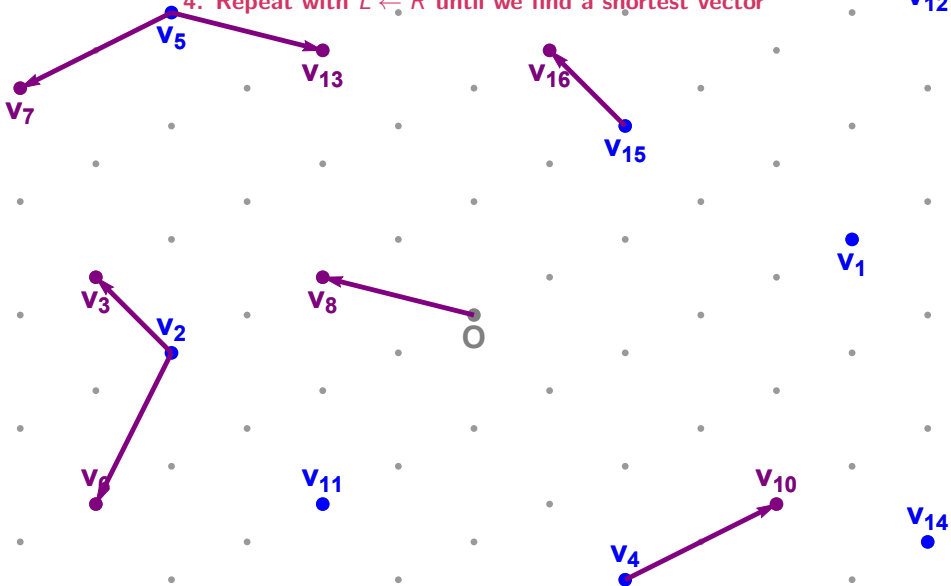
Hyperplane LSH

4. Repeat with $L \leftarrow R$ until we find a shortest vector



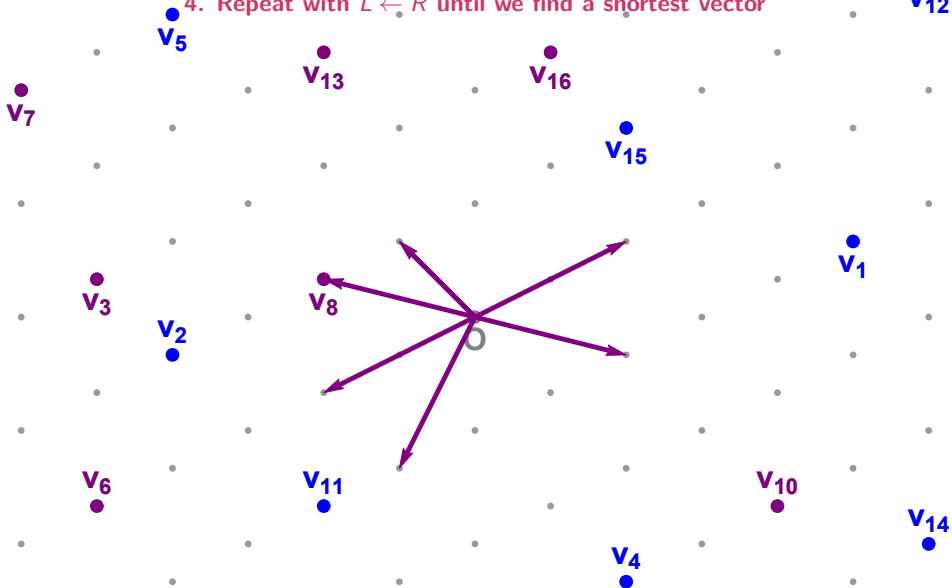
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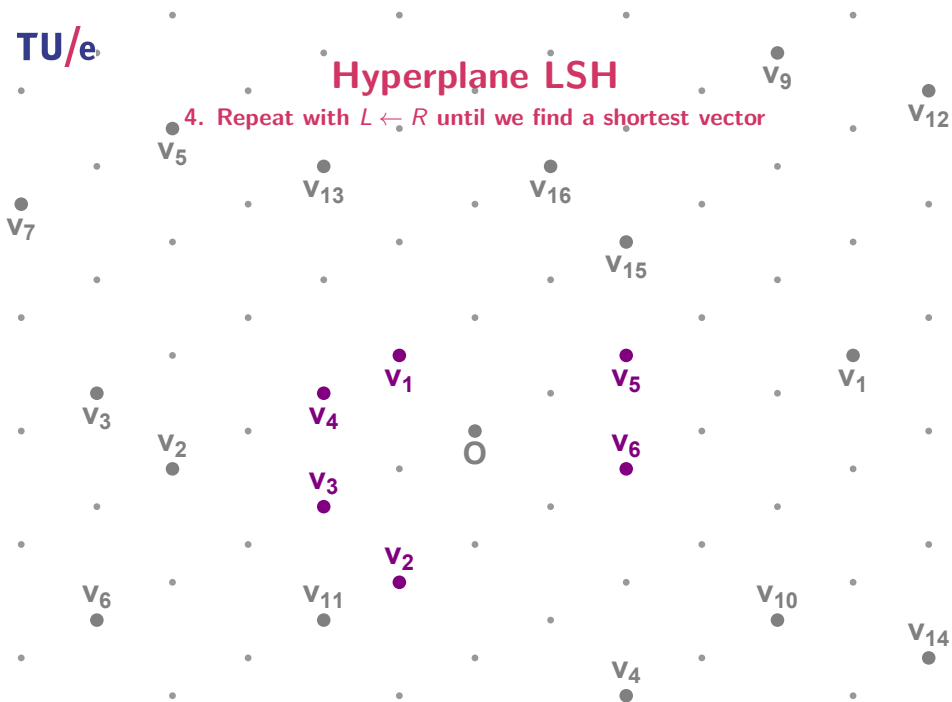
Hyperplane LSH

4. Repeat with $L \leftarrow R$ until we find a shortest vector



Hyperplane LSH

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Hyperplane LSH

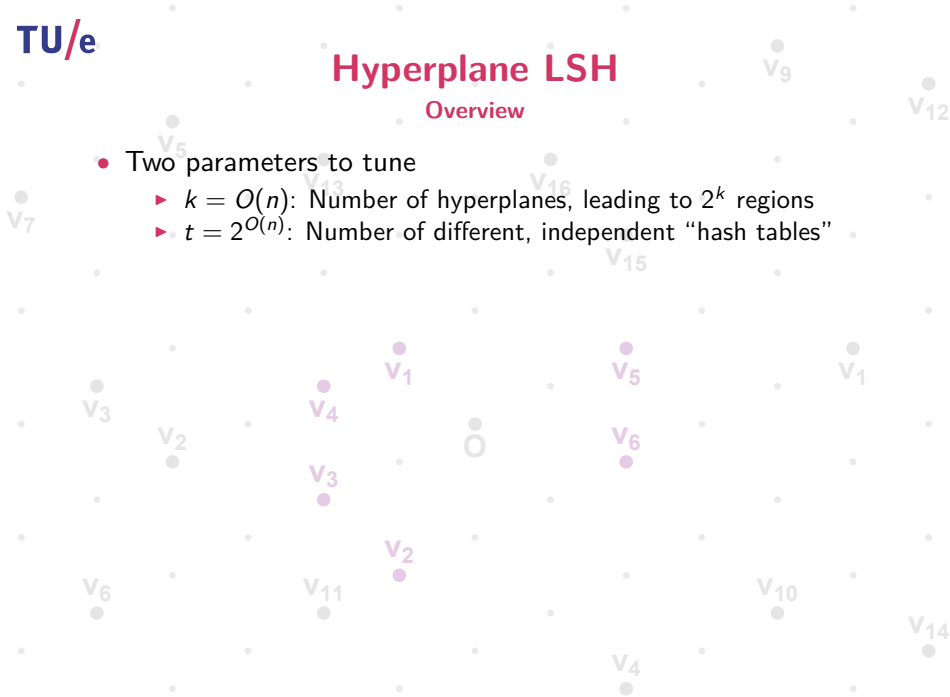
Overview



Hyperplane LSH

Overview

- Two parameters to tune
 - ▶ $k = O(n)$: Number of hyperplanes, leading to 2^k regions
 - ▶ $t = 2^{O(n)}$: Number of different, independent “hash tables”



Hyperplane LSH

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- Space complexity: $2^{0.337n+o(n)}$
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Hyperplane LSH

Overview

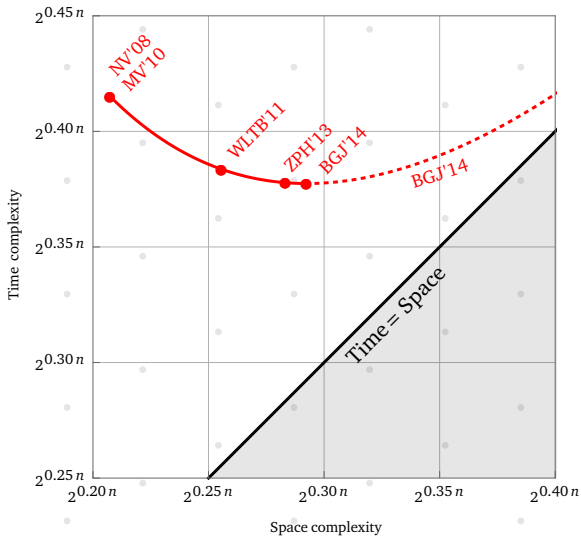
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Theorem

Sieving with hyperplane LSH heuristically solves SVP in time and space $2^{0.337n+o(n)}$.

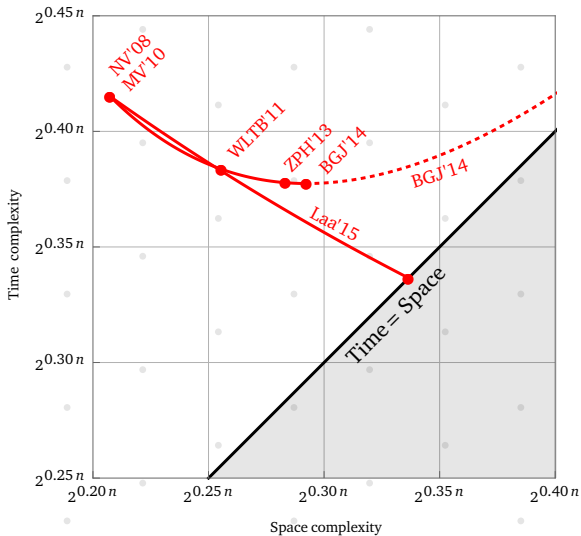
Hyperplane LSH

Space/time trade-off



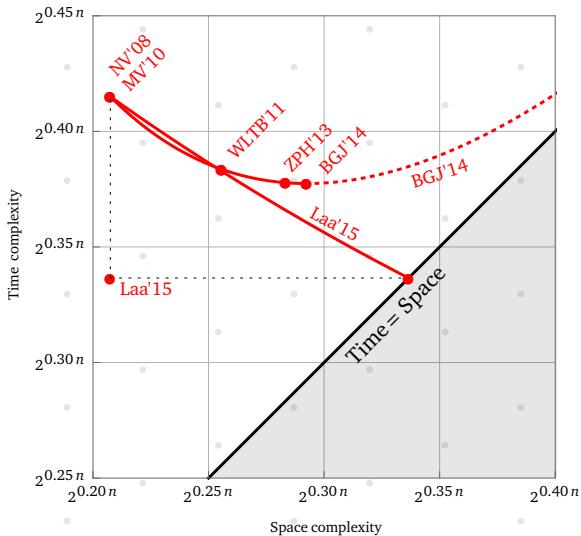
Hyperplane LSH

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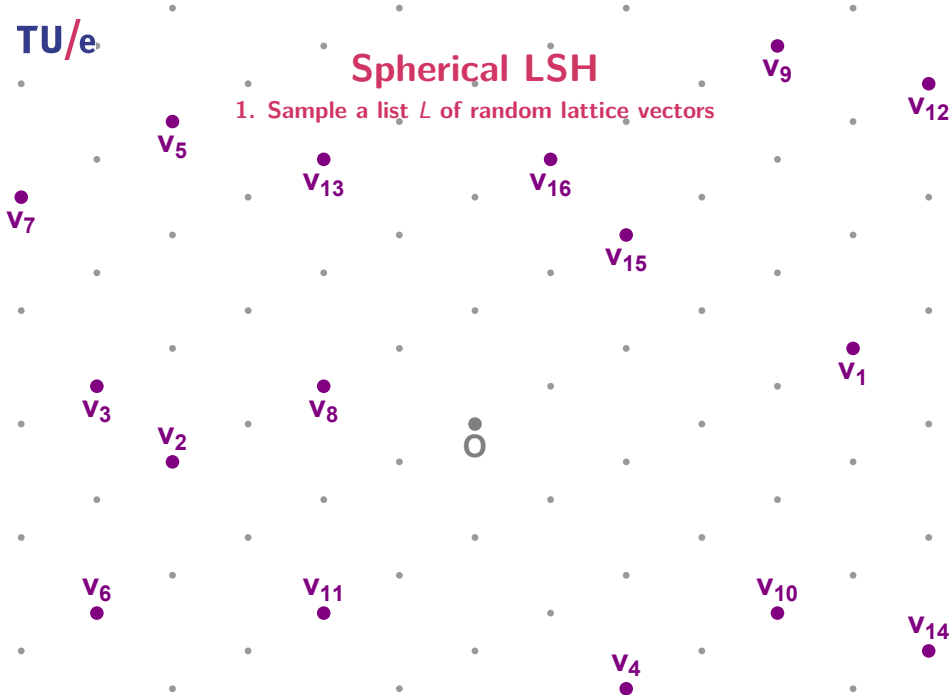
Spherical LSH

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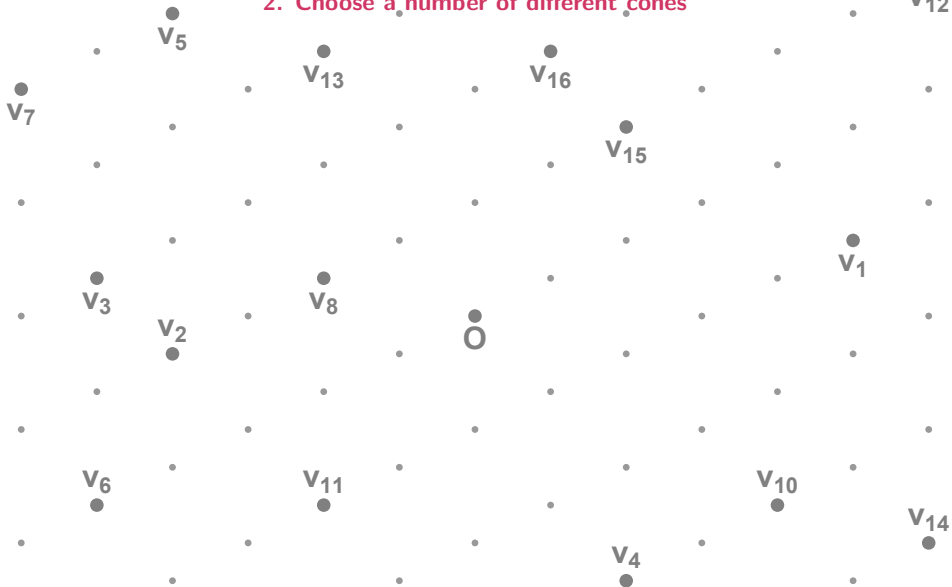
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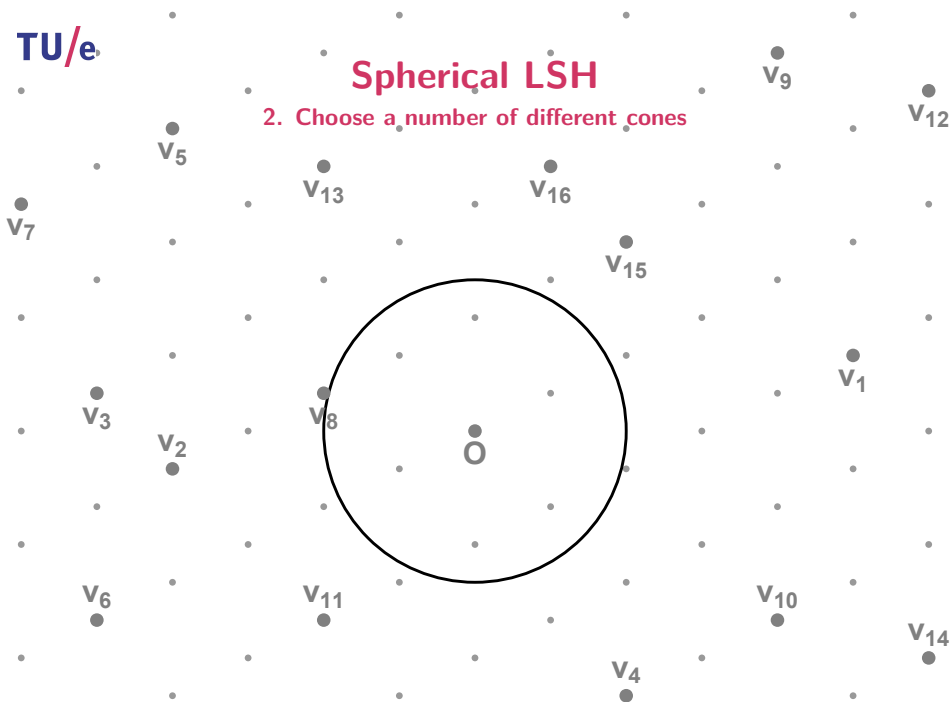
Spherical LSH

2. Choose a number of different cones



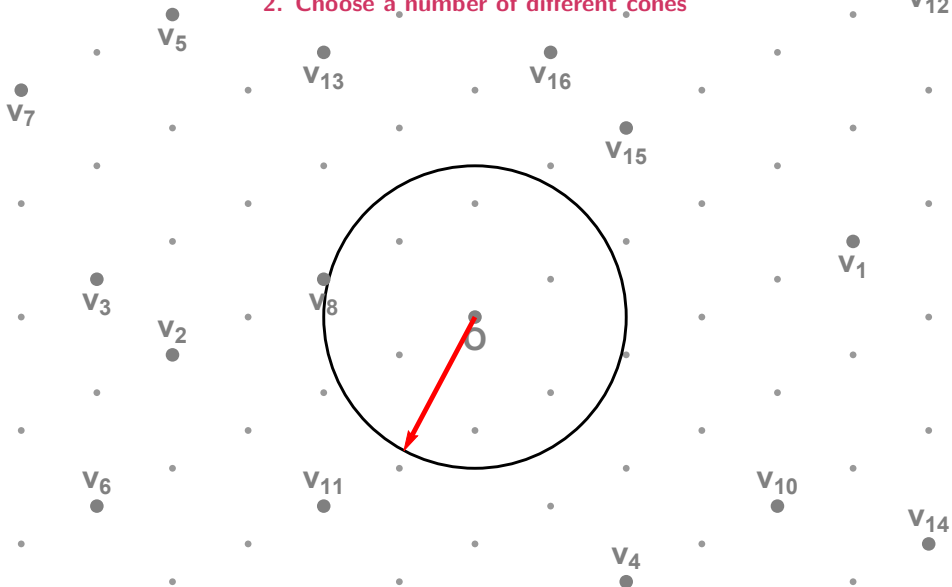
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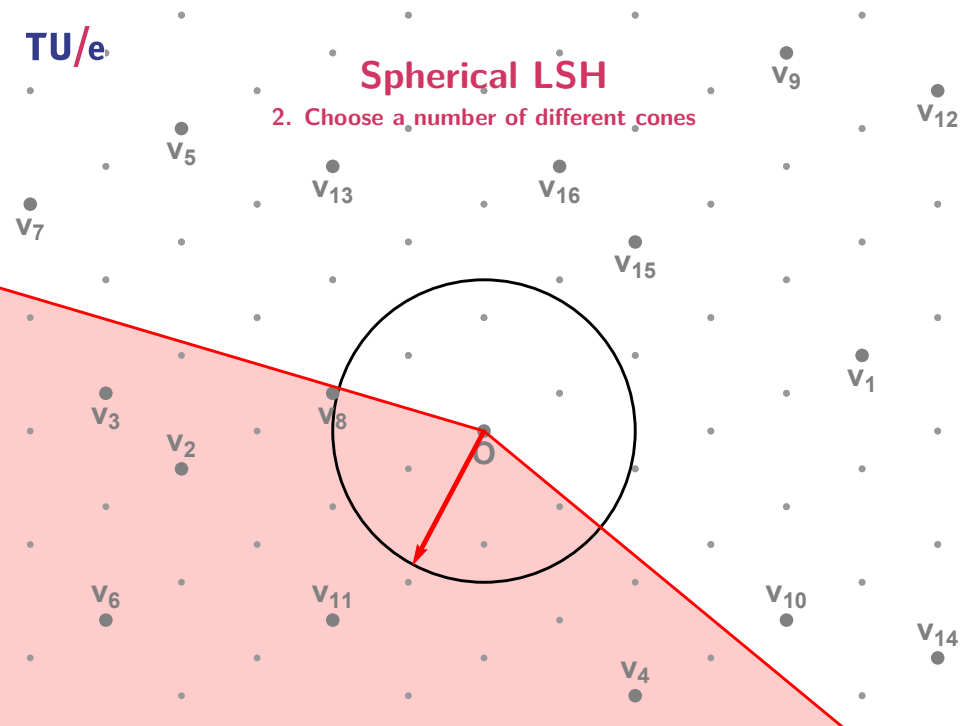
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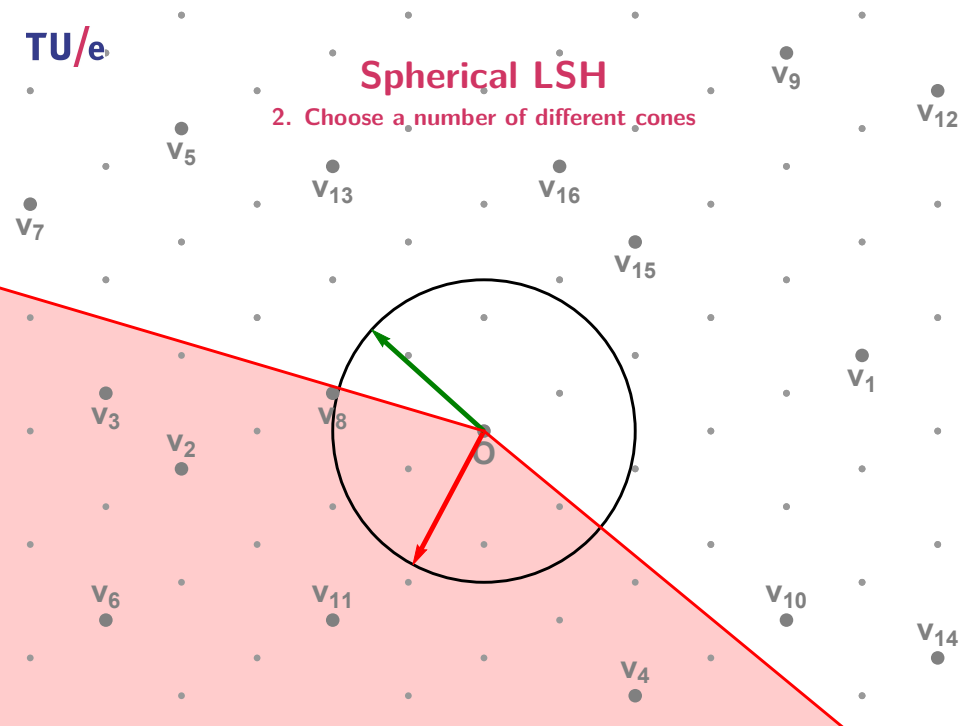
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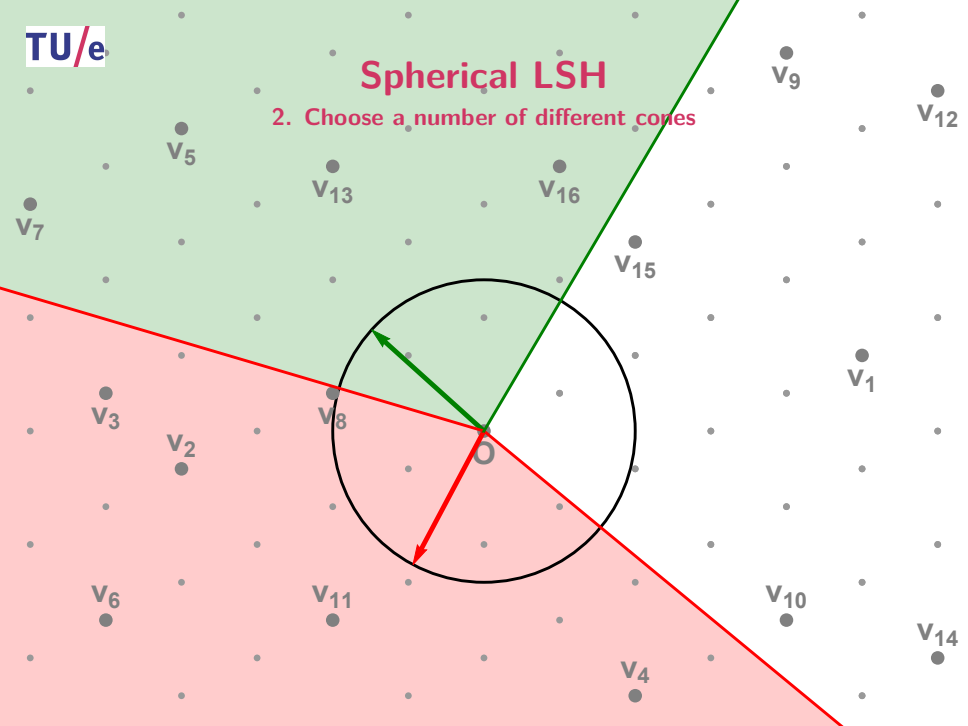
Spherical LSH

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Spherical LSH

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TU/e

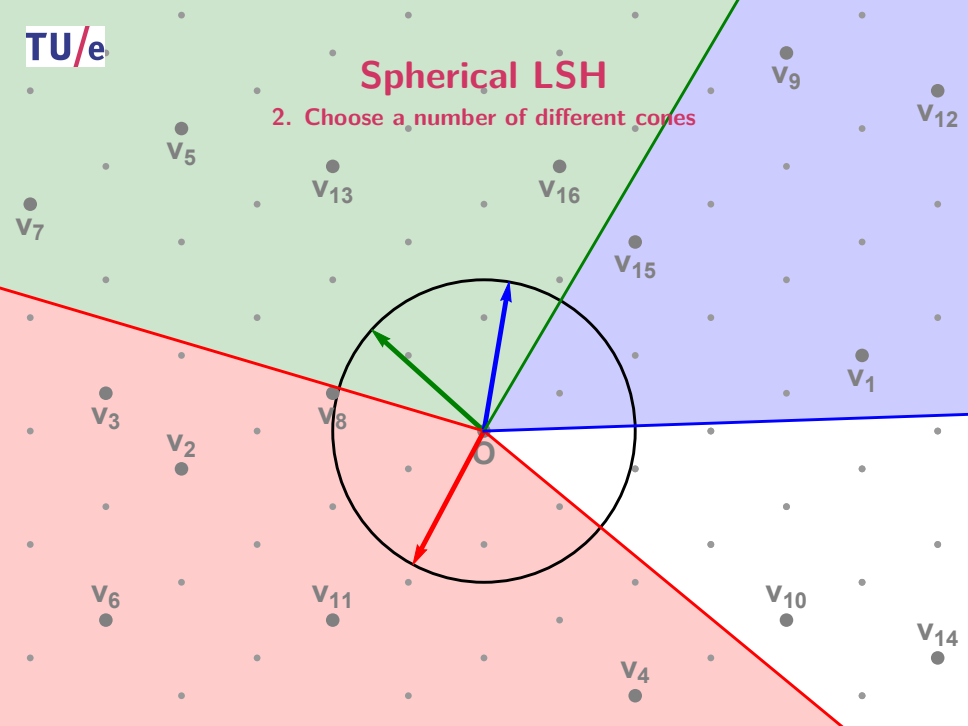
Spherical LSH

2. Choose a number of different cones

The diagram shows a 2D plane with a red line separating a green region (top) and a red region (bottom). A circle is centered at point O. Three vectors originate from O: a blue vector pointing into the green region, and two green vectors pointing into the red region. The text 'Spherical LSH' is written in red at the top, and '2. Choose a number of different cones' is written in red below it. Various points are labeled with v_i , including $v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10}, v_{11}, v_{12}, v_{13}, v_{14}, v_{15},$ and v_{16} .

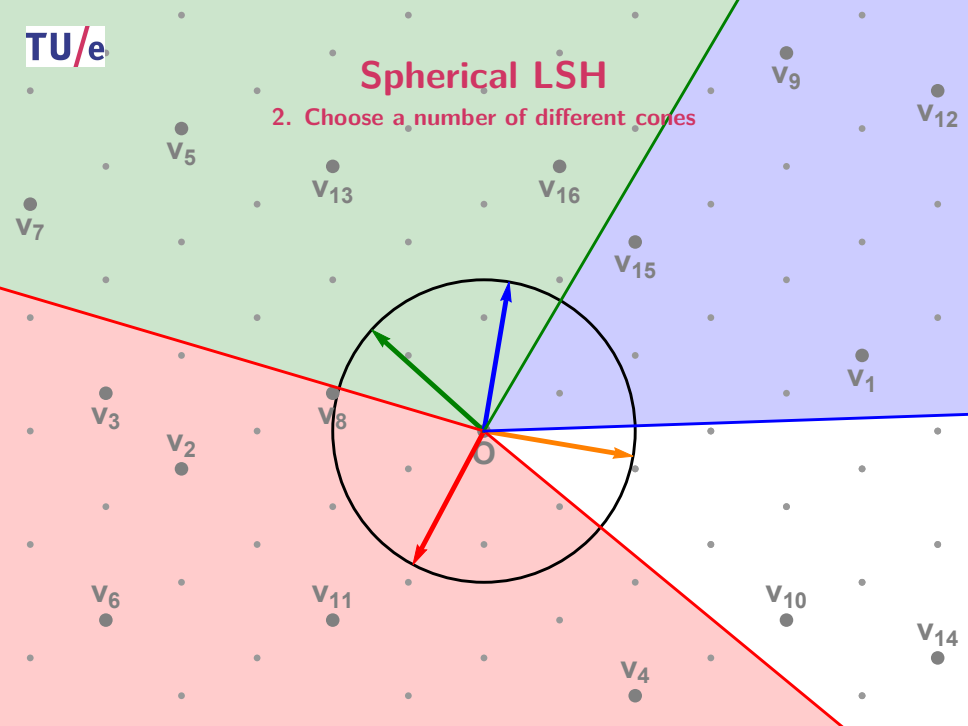
Spherical LSH

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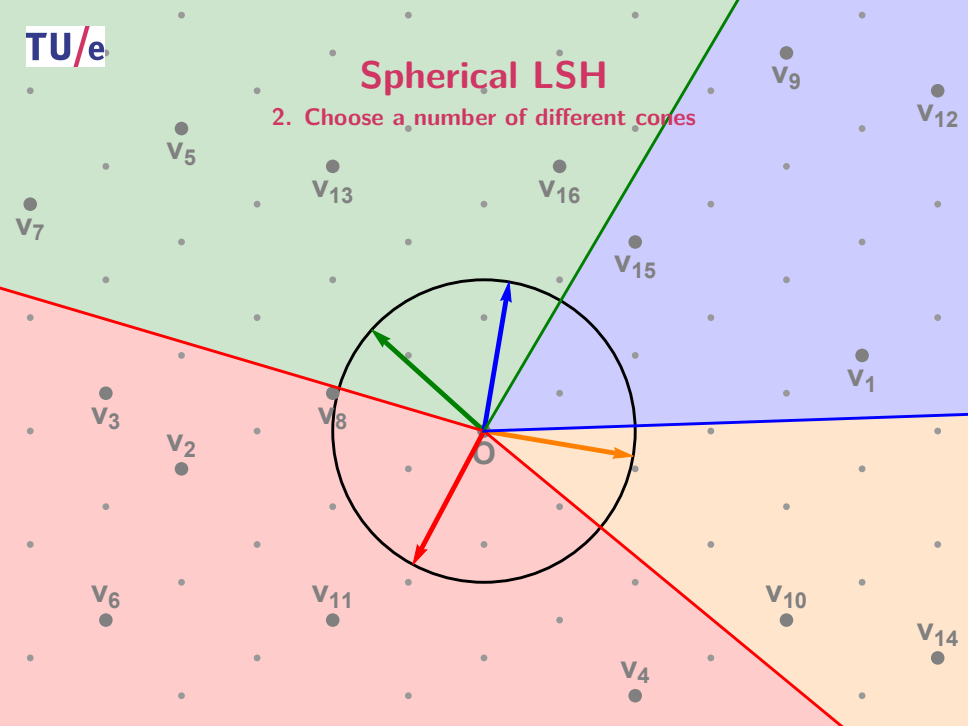
Spherical LSH

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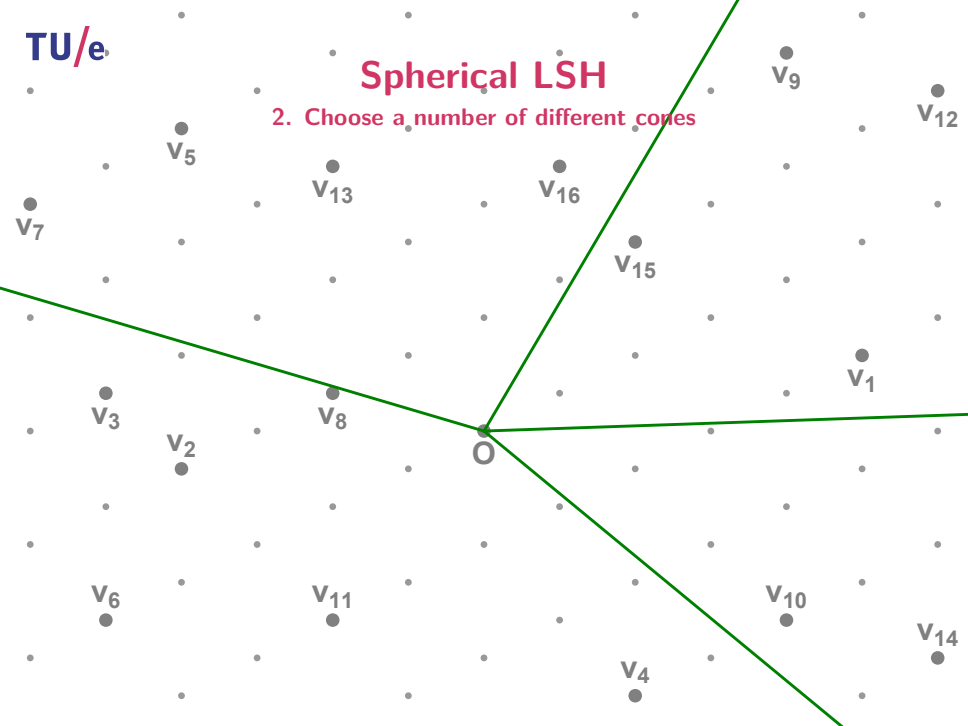
Spherical LSH

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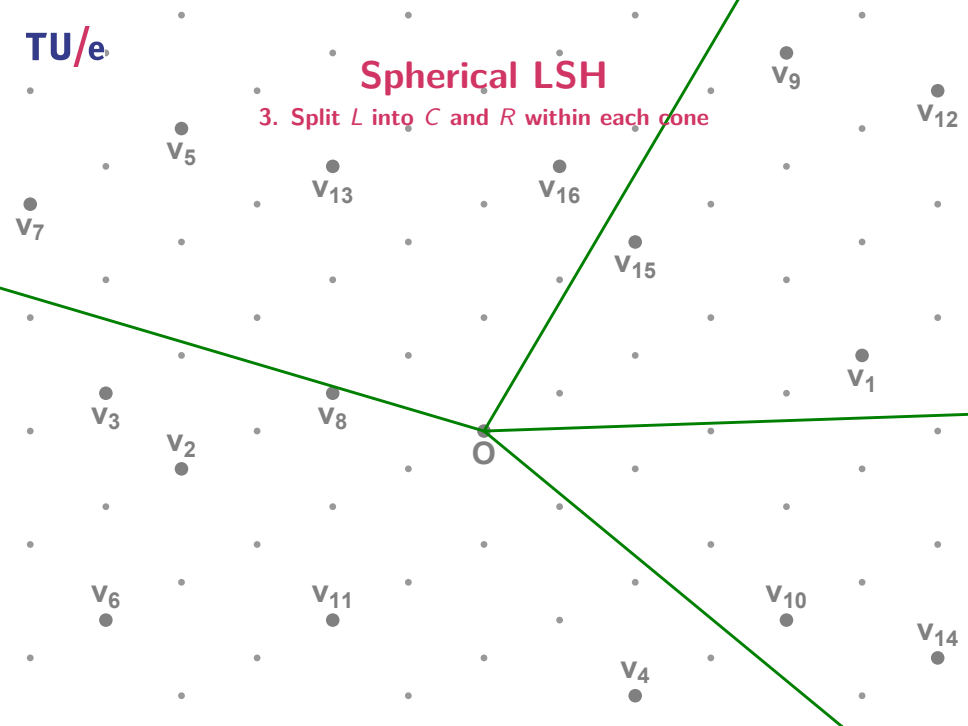
Spherical LSH

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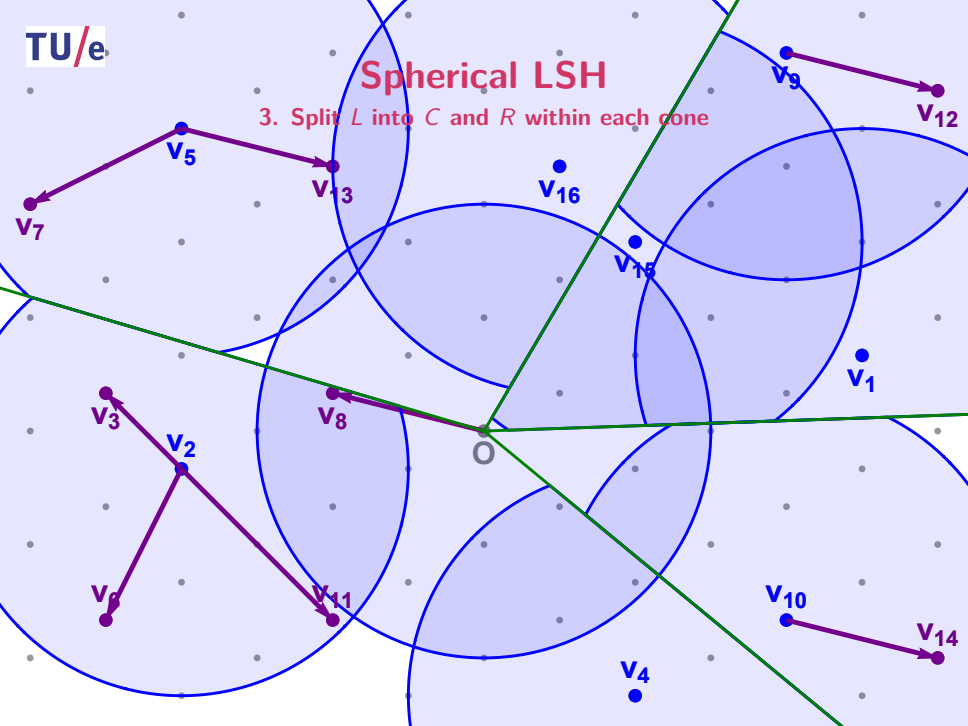
Spherical LSH

3. Split L into C and R within each cone



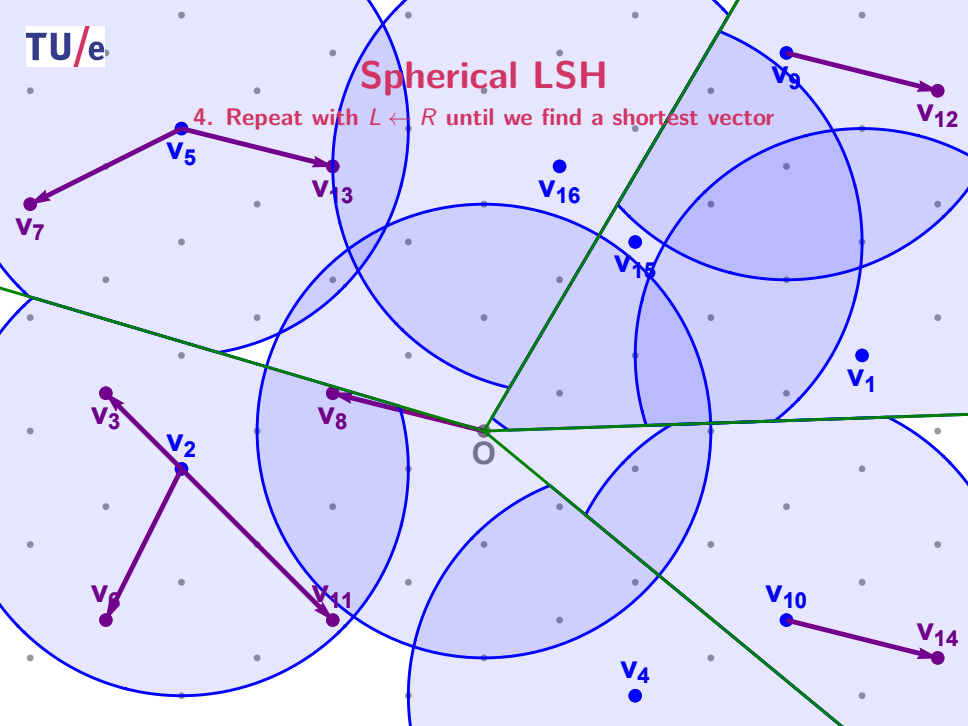
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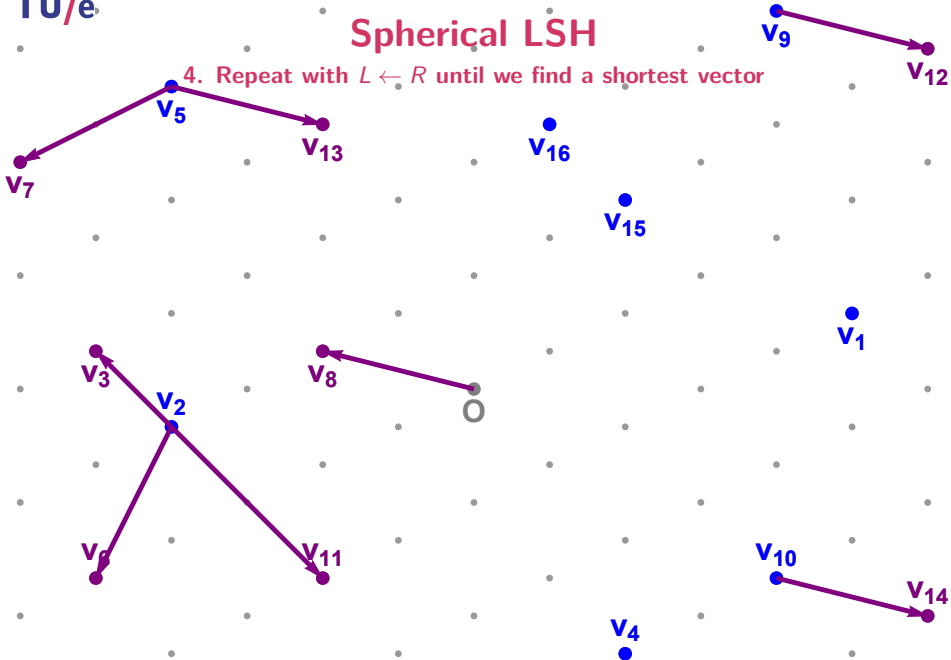
Spherical LSH

4. Repeat with $L \leftarrow R$ until we find a shortest vector



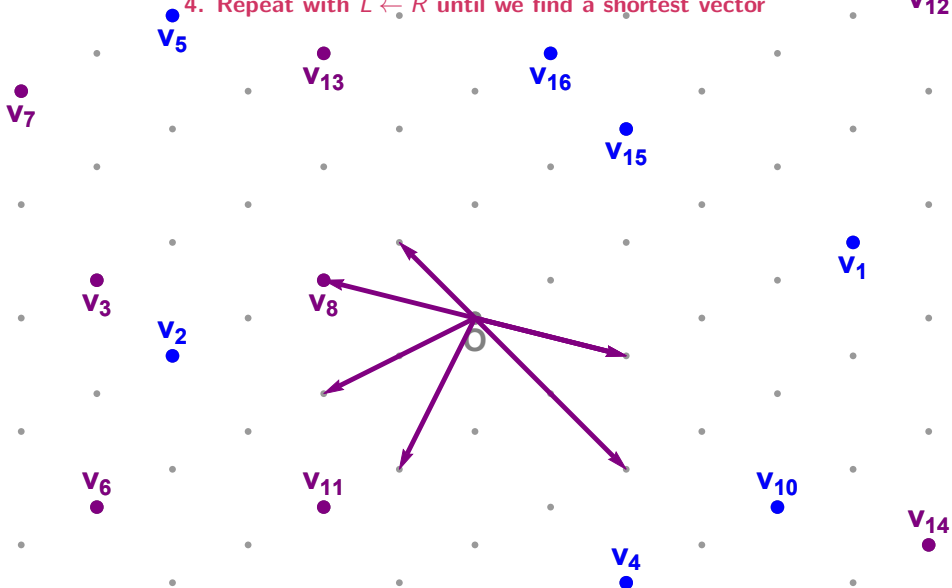
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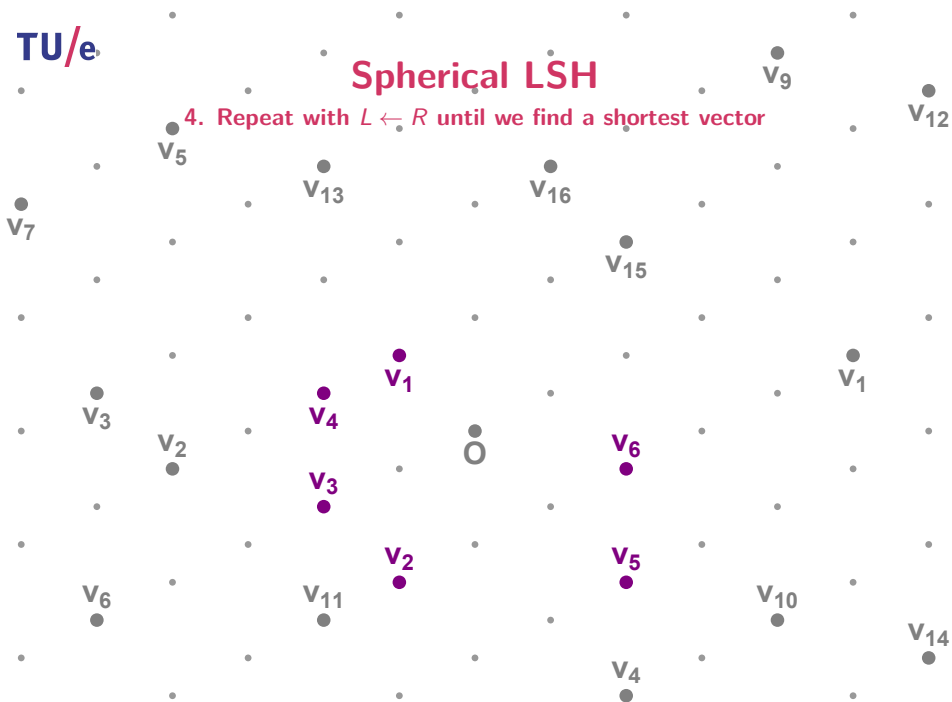
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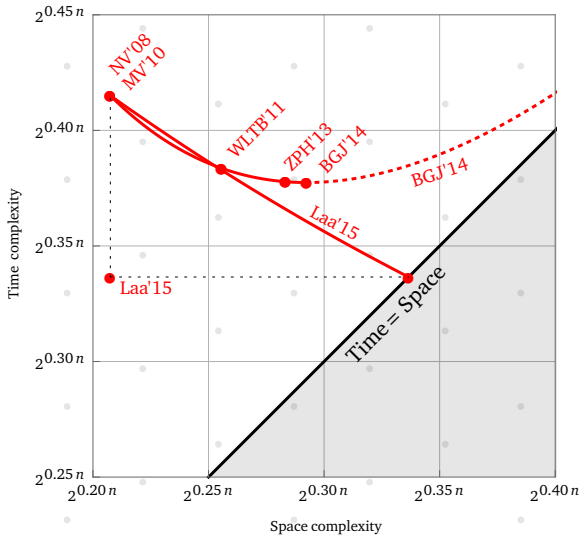
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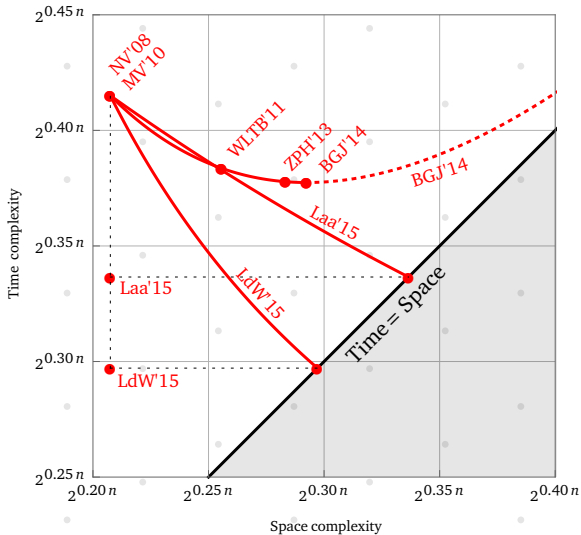
Spherical LSH

Space/time trade-off



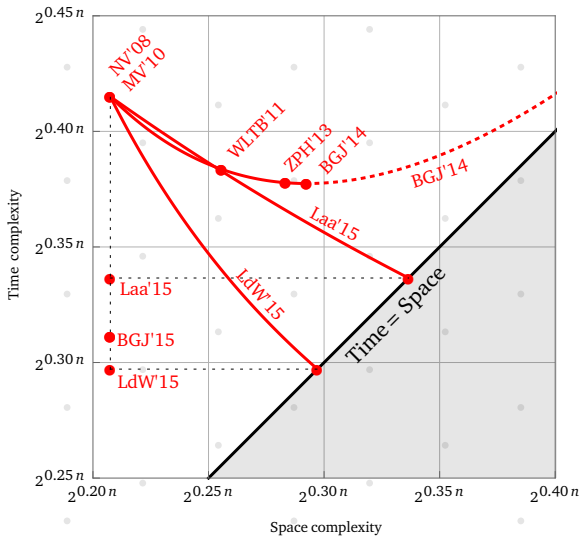
Spherical LSH

Space/time trade-off



May and Ozerov's NNS method

Space/time trade-off



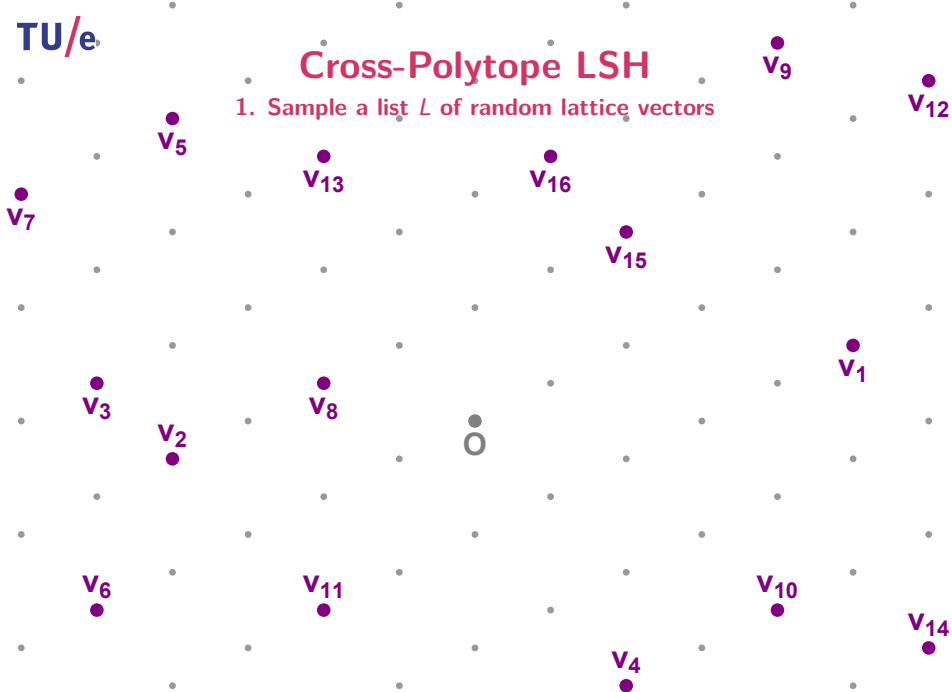
Cross-Polytope LSH

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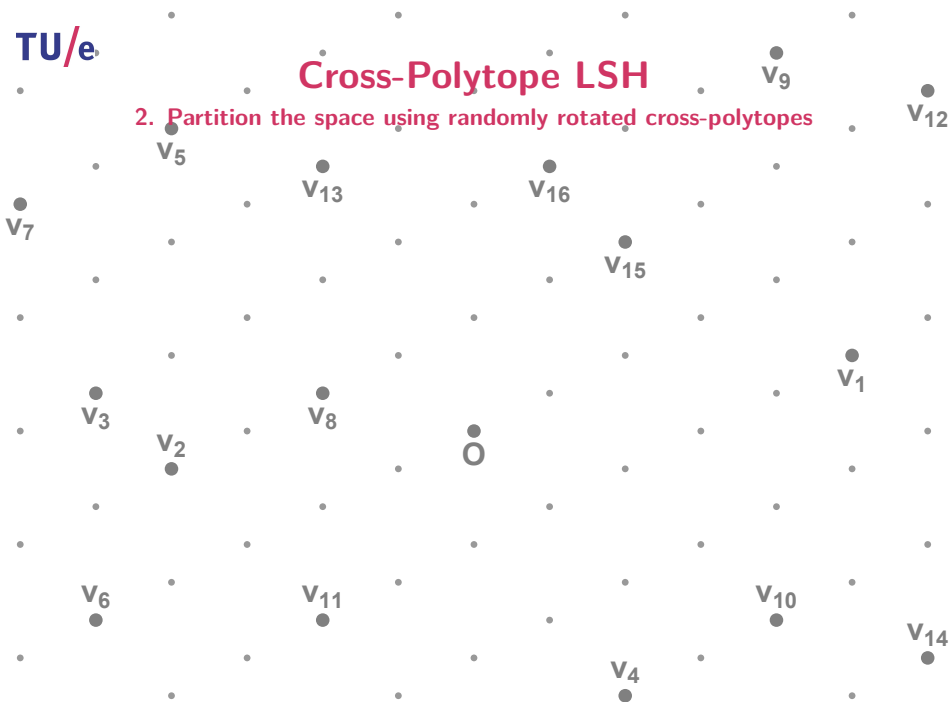
Cross-Polytope LSH

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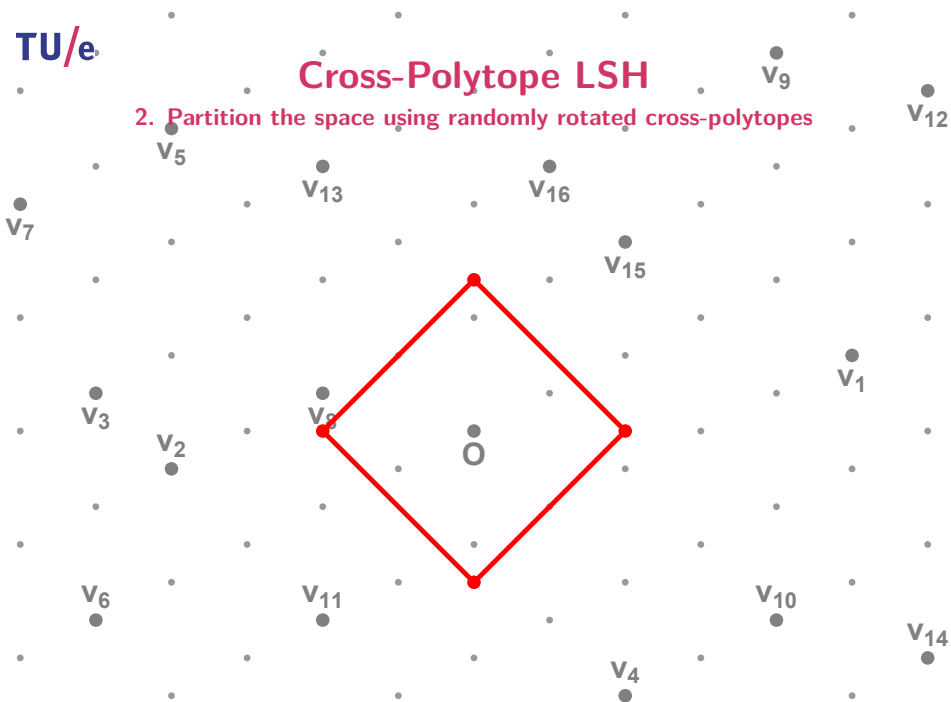
Cross-Polytope LSH

2. Partition the space using randomly rotated cross-polytopes



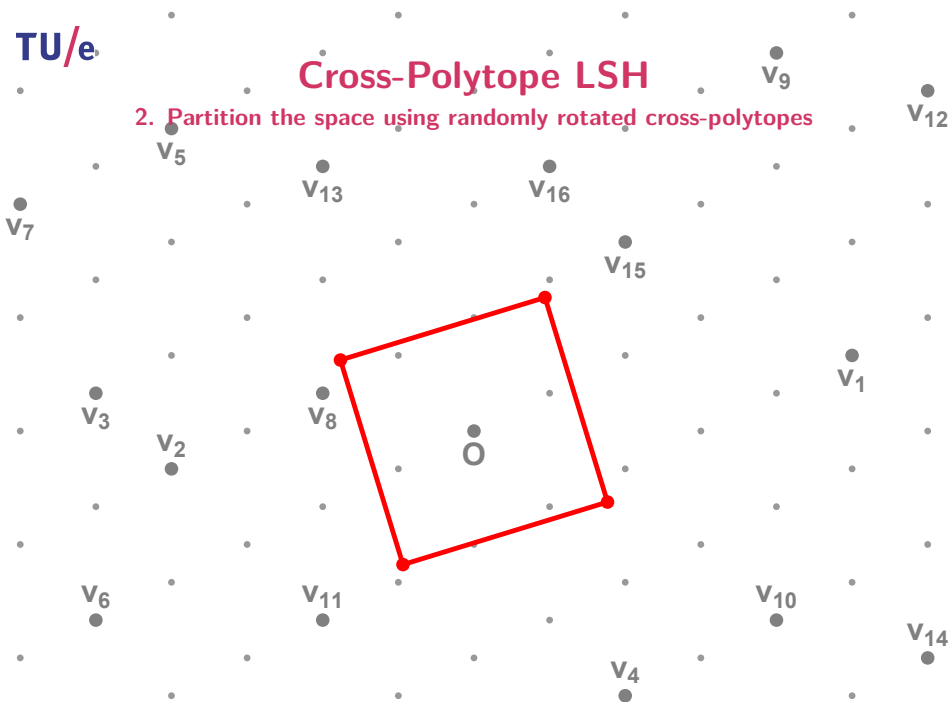
Cross-Polytope LSH

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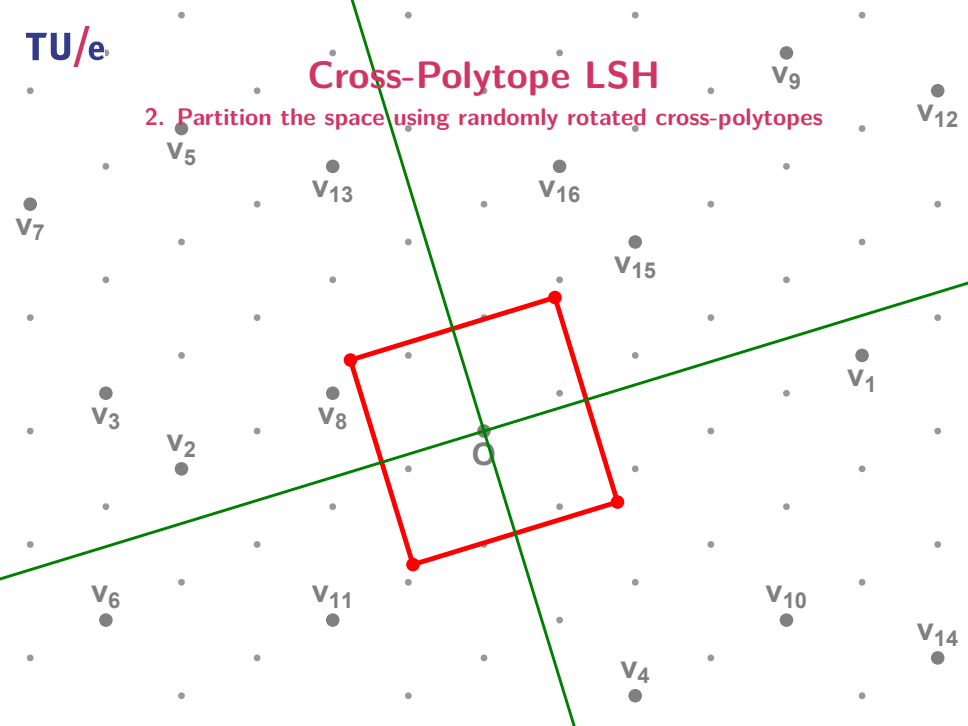
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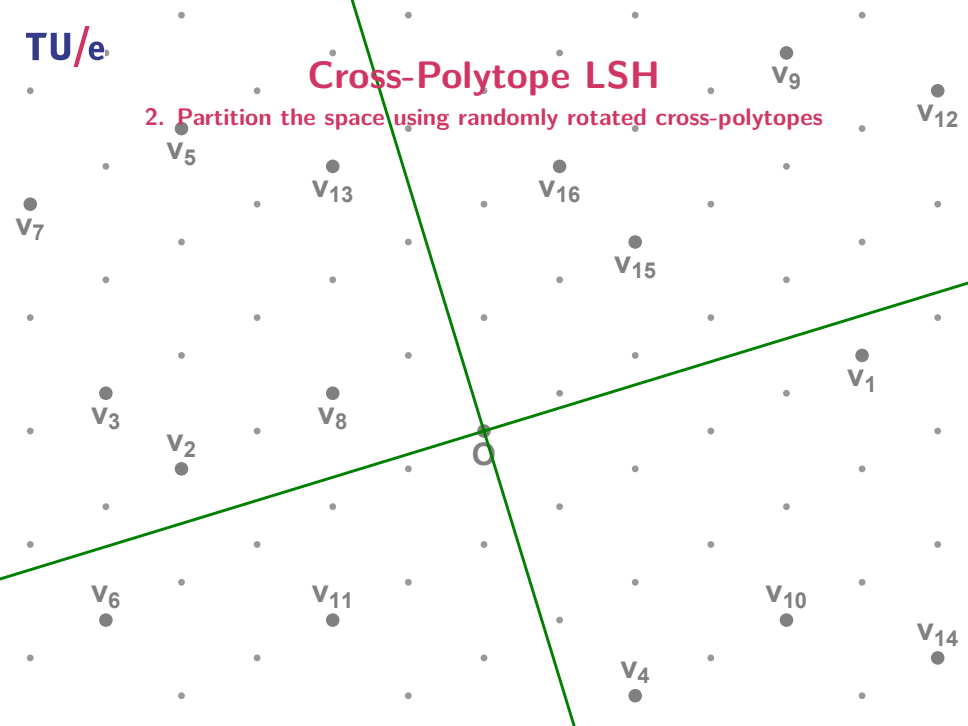
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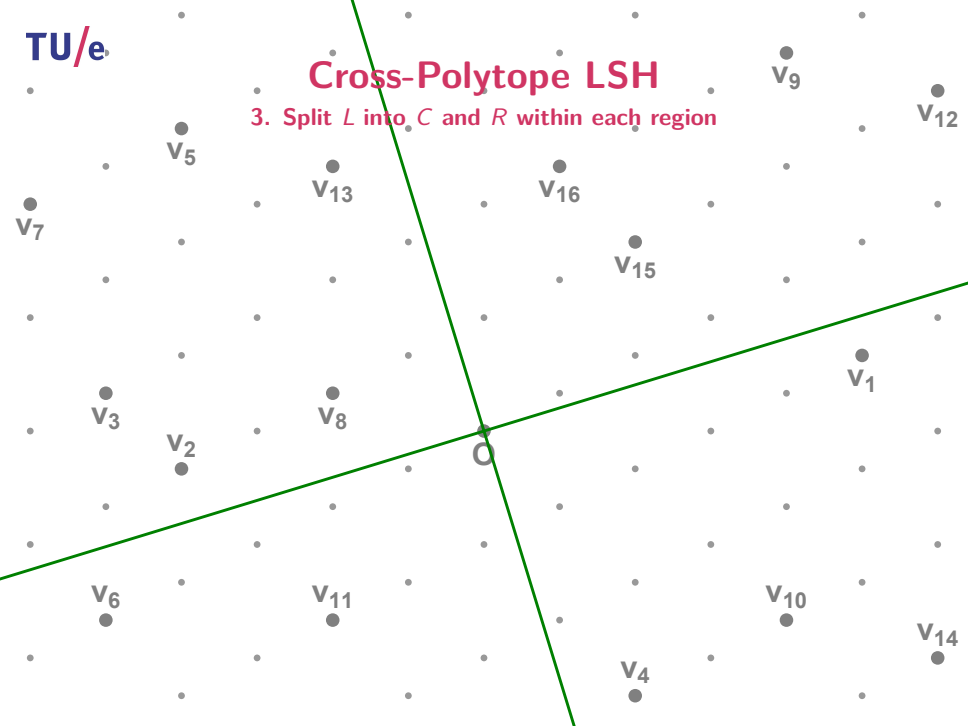
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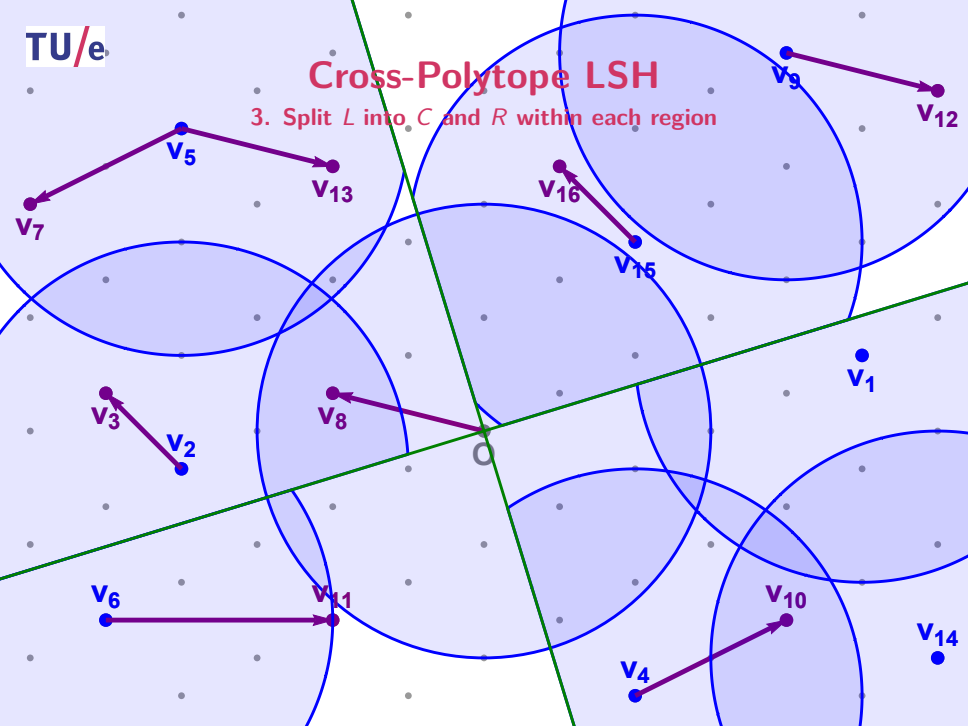
Cross-Polytope LSH

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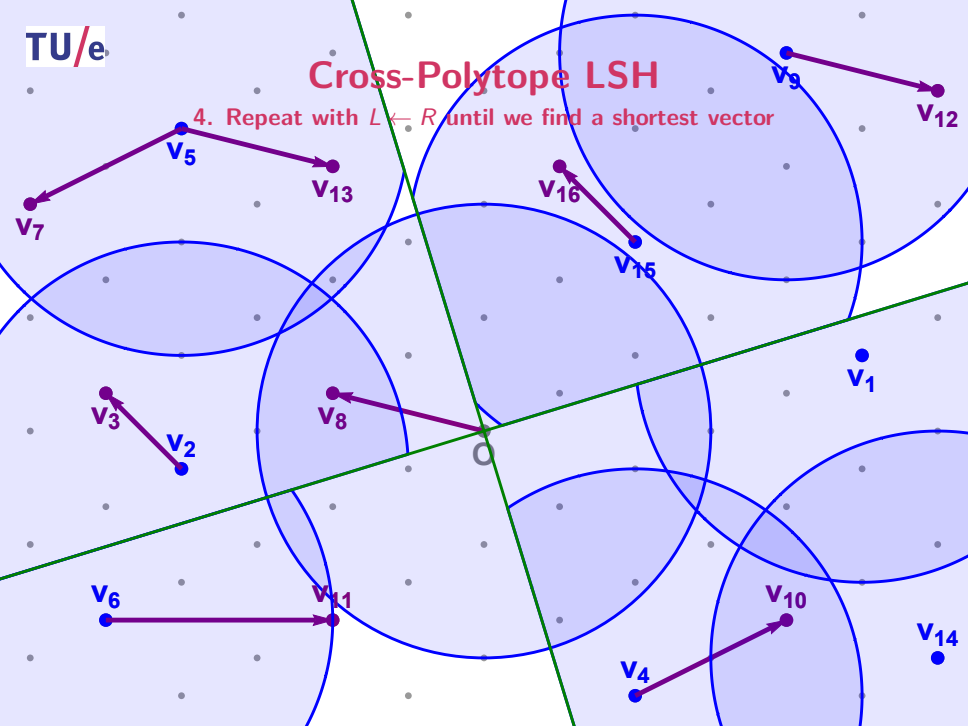
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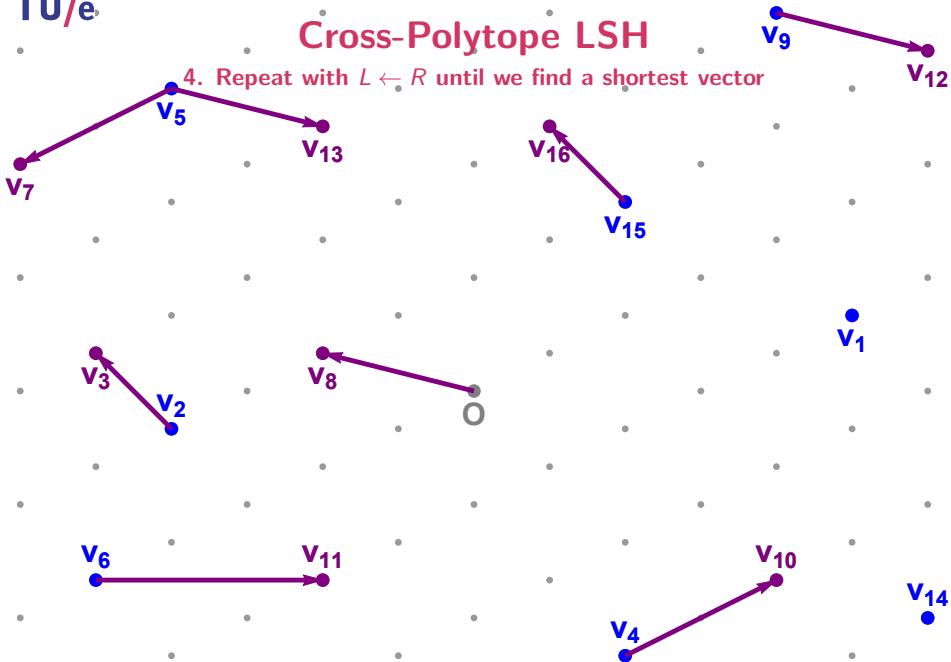
Cross-Polytope LSH

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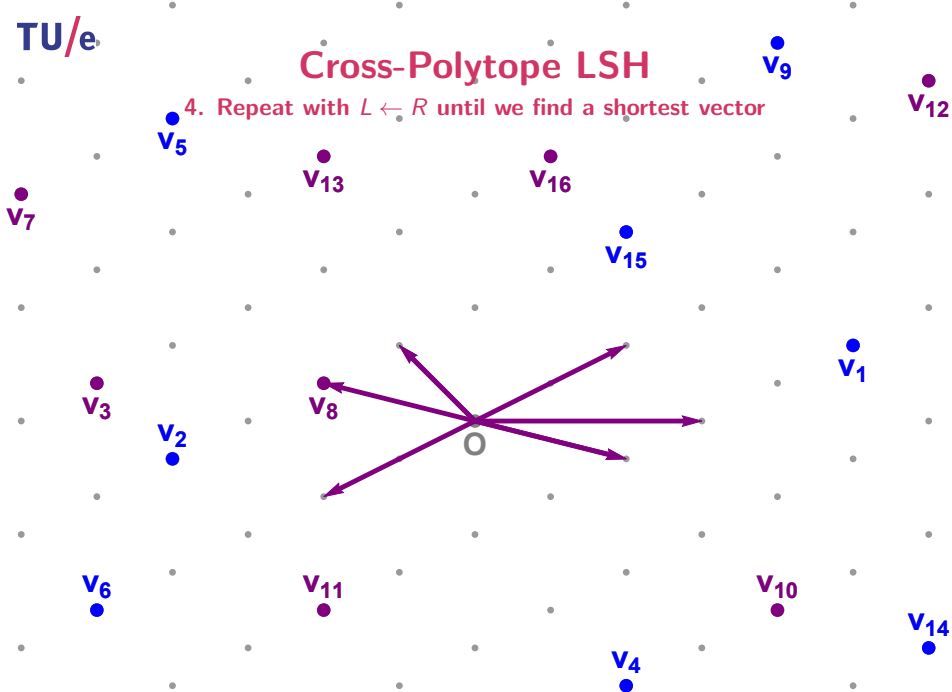
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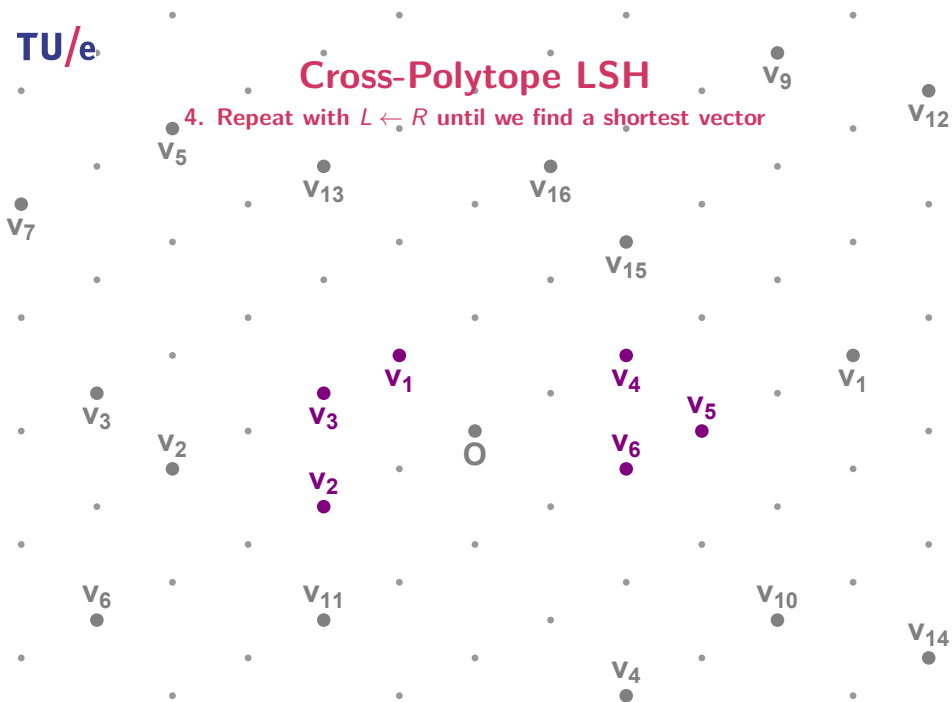
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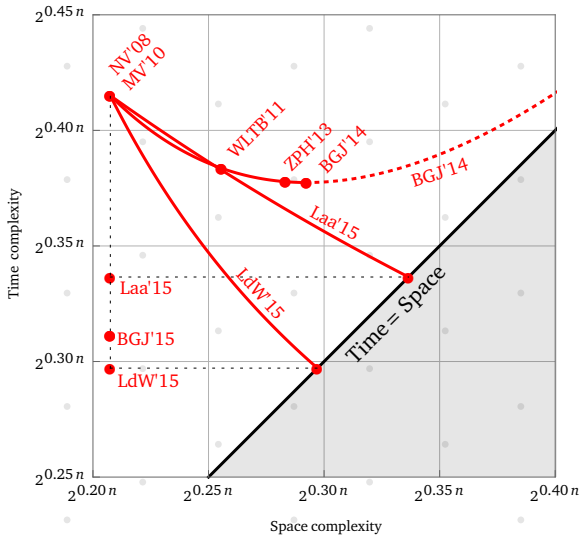
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4. Repeat with $L \leftarrow R$ until we find a shortest vector



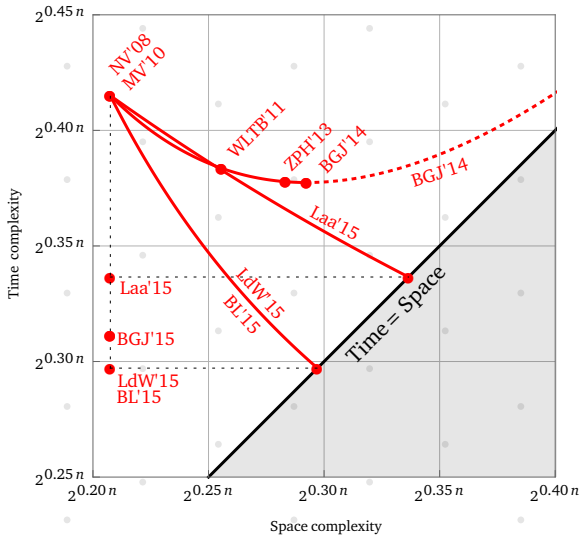
Cross-Polytope LSH

Space/time trade-off



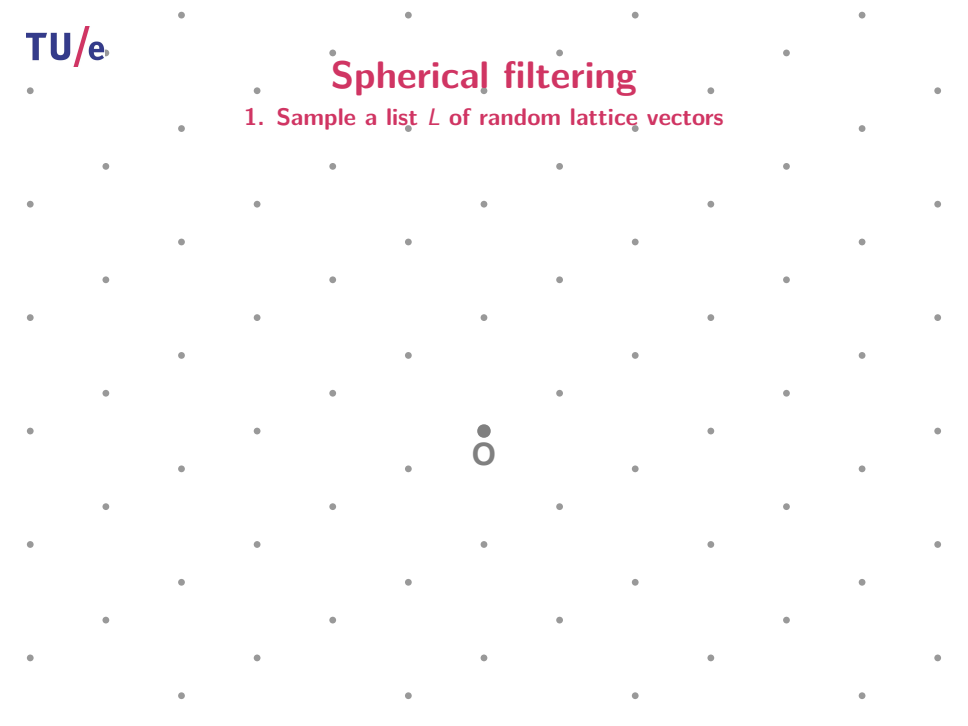
Cross-Polytope LSH

Space/time trade-off



Spherical filtering

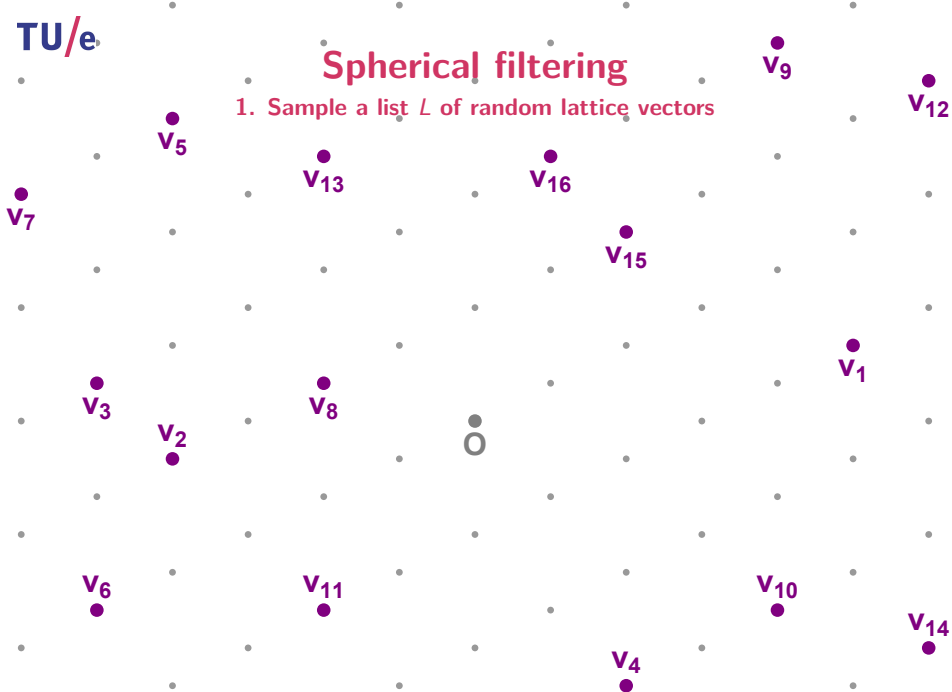
1. Sample a list L of random lattice vectors



O

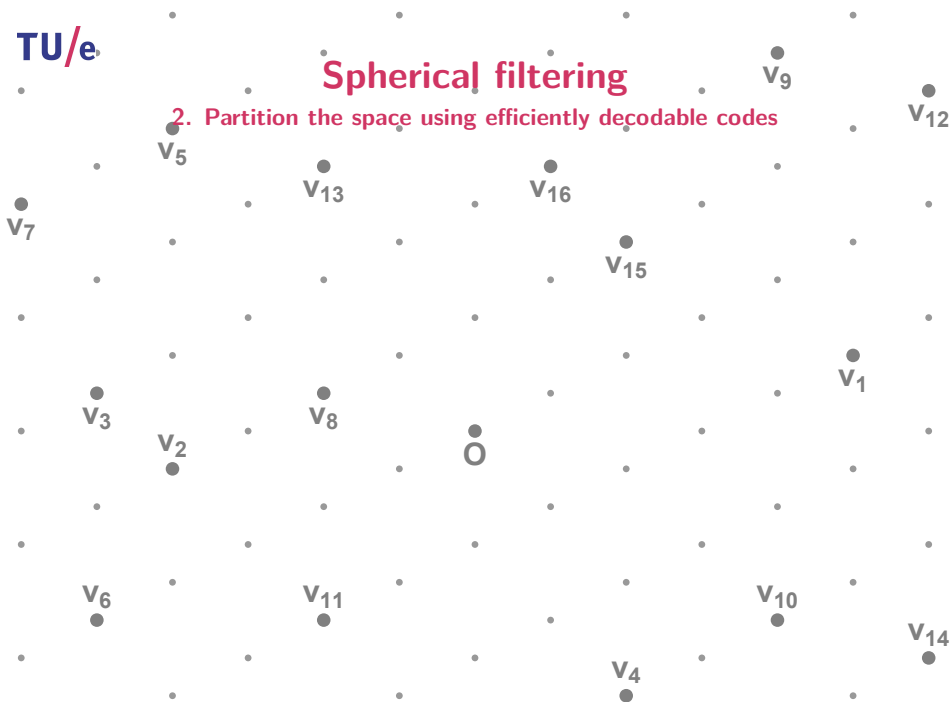
Spherical filtering

1. Sample a list L of random lattice vectors



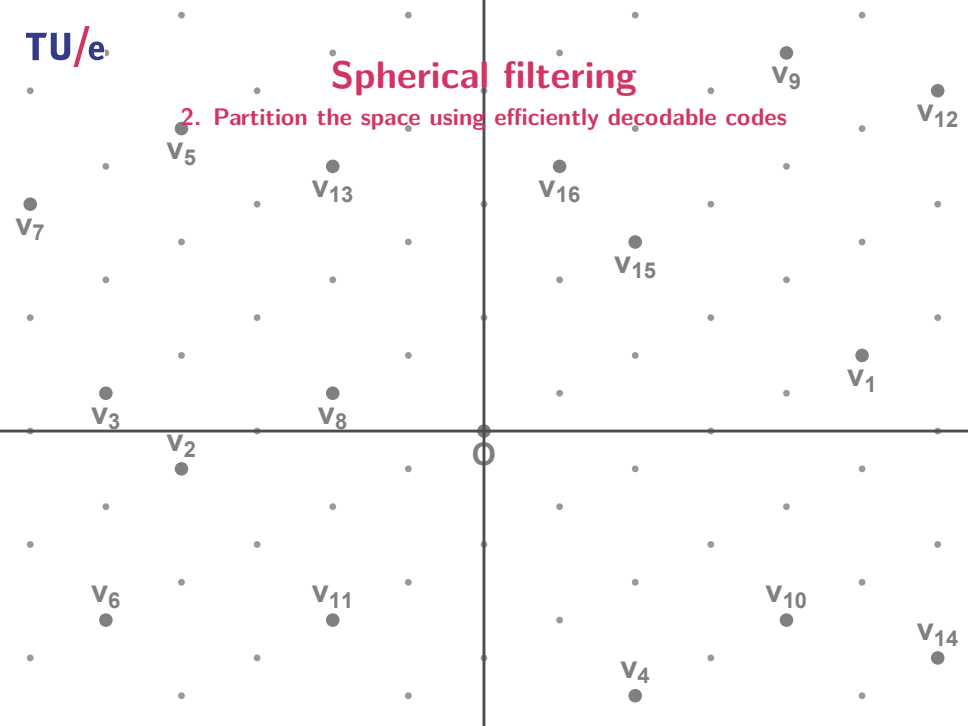
Spherical filtering

2. Partition the space using efficiently decodable codes



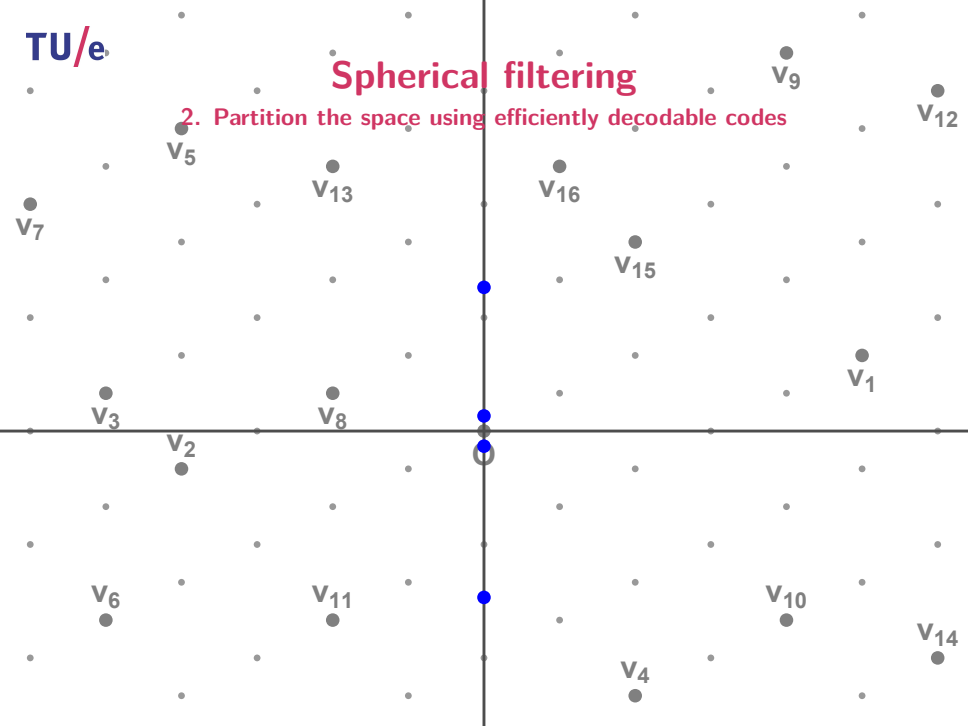
Spherical filtering

2. Partition the space using efficiently decodable codes



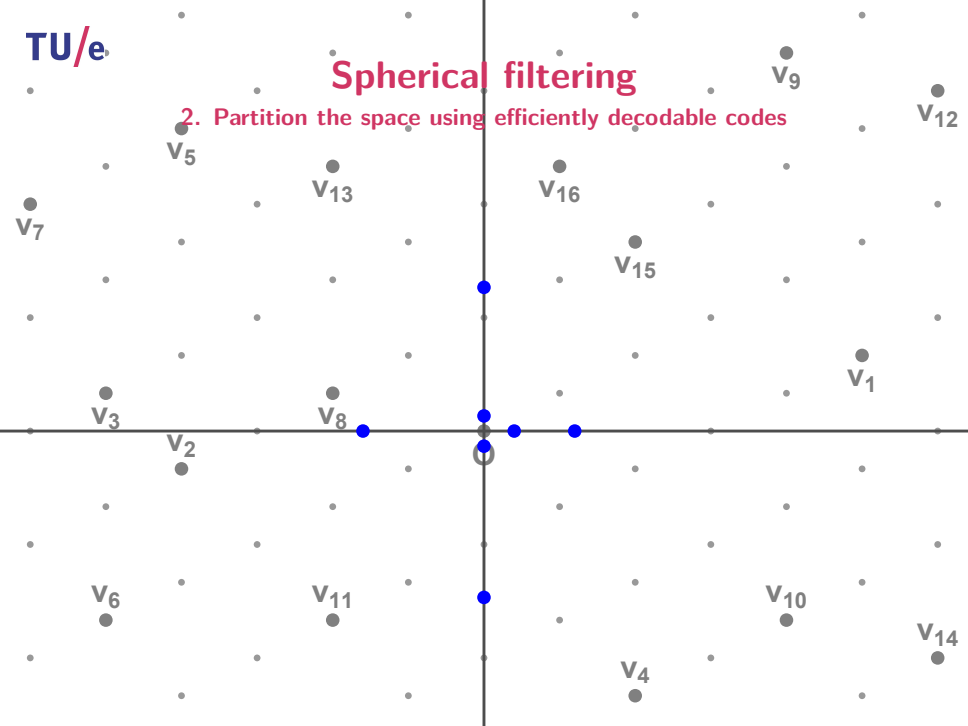
Spherical filtering

2. Partition the space using efficiently decodable codes



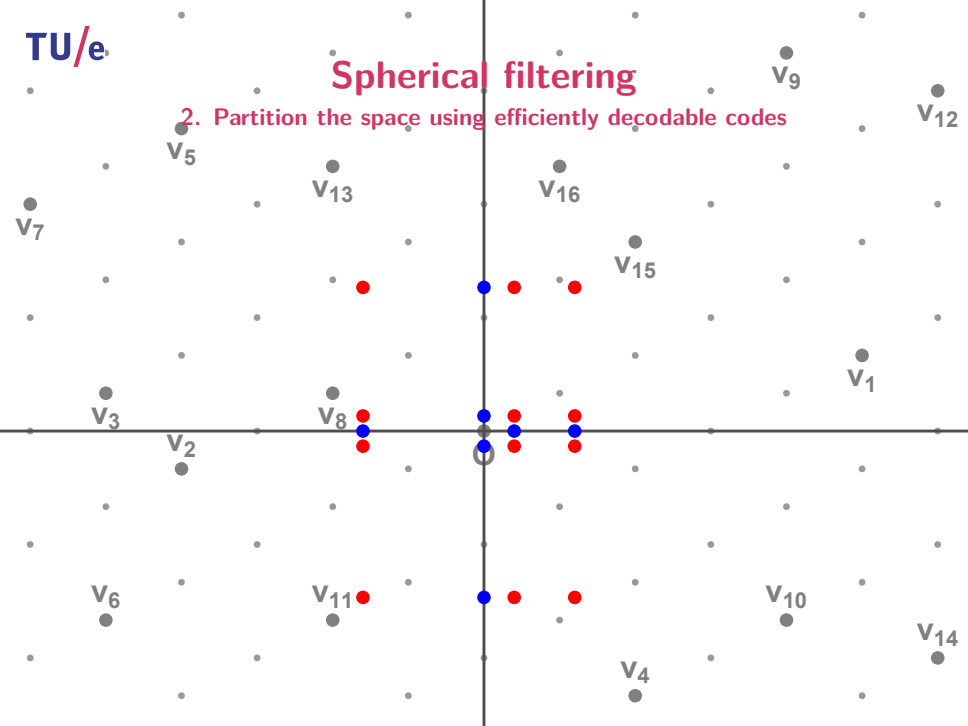
Spherical filtering

2. Partition the space using efficiently decodable codes



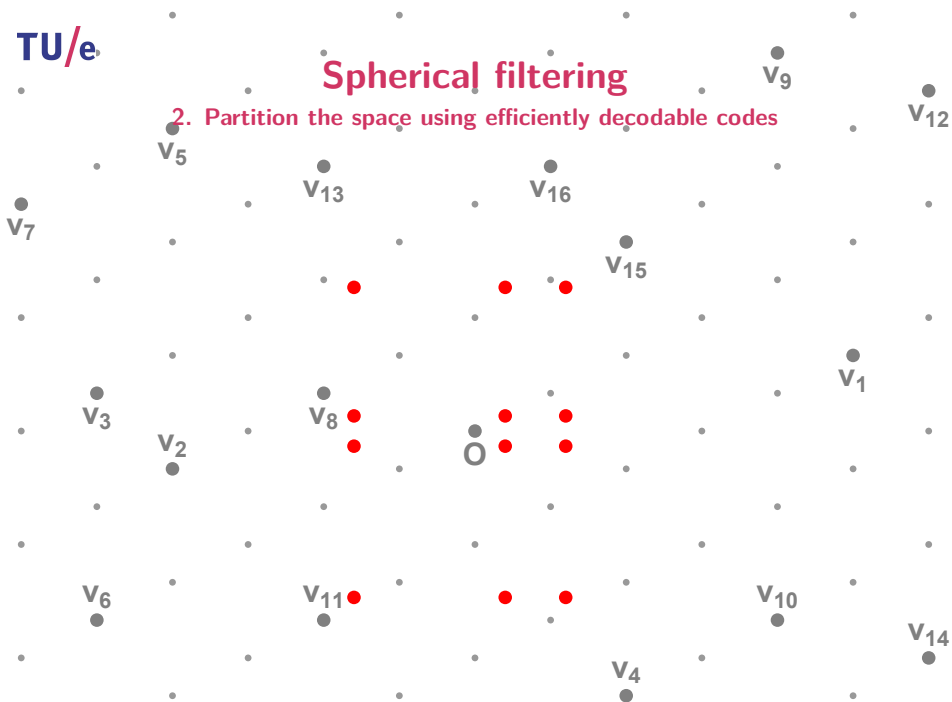
Spherical filtering

2. Partition the space using efficiently decodable codes



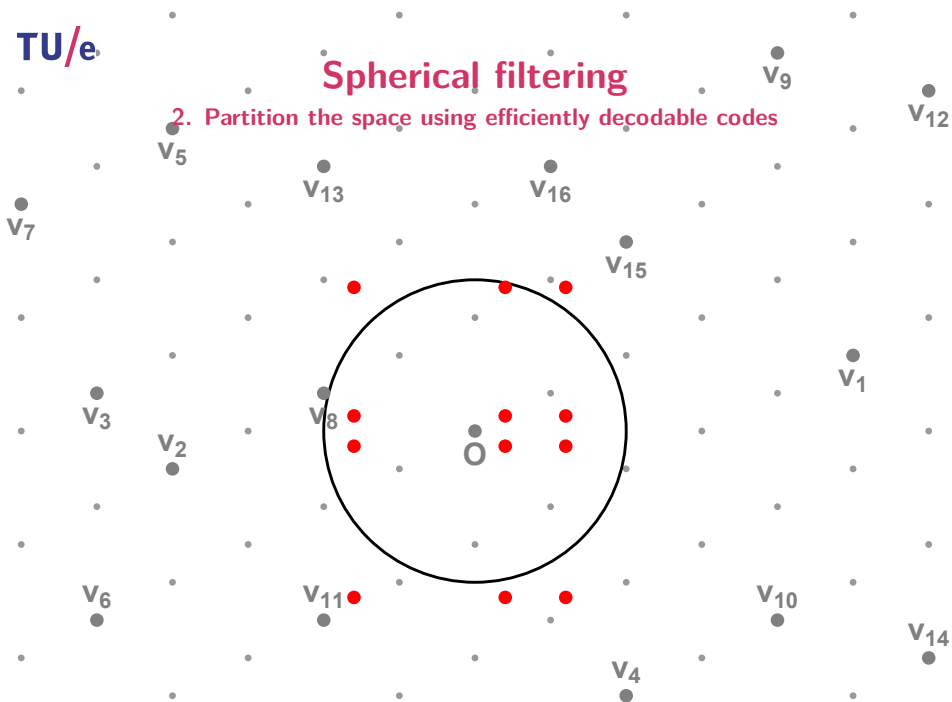
Spherical filtering

2. Partition the space using efficiently decodable codes



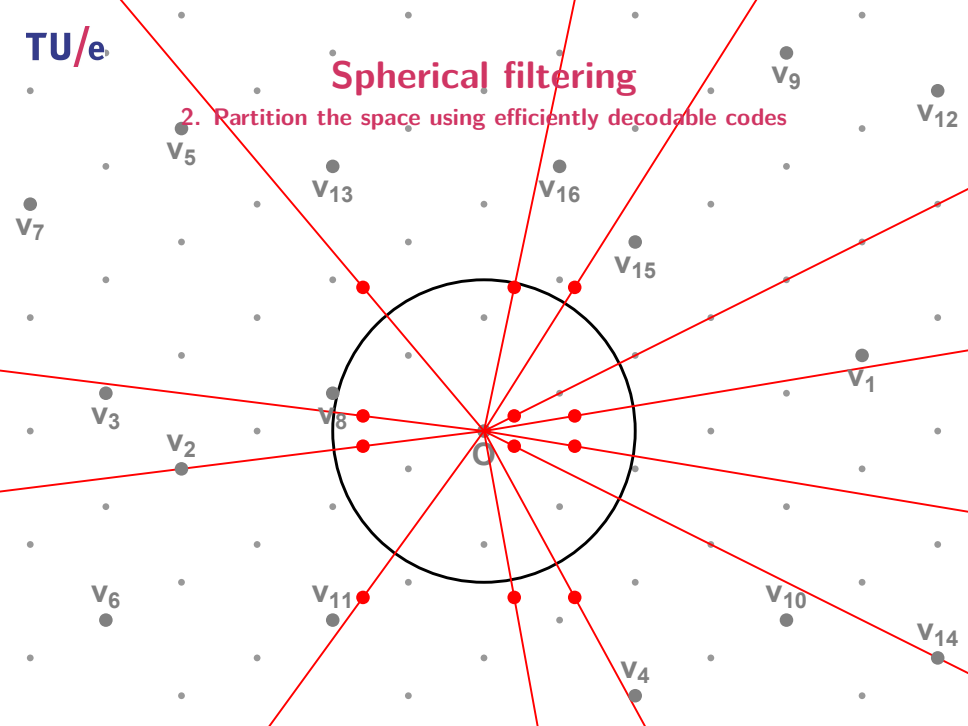
Spherical filtering

2. Partition the space using efficiently decodable codes



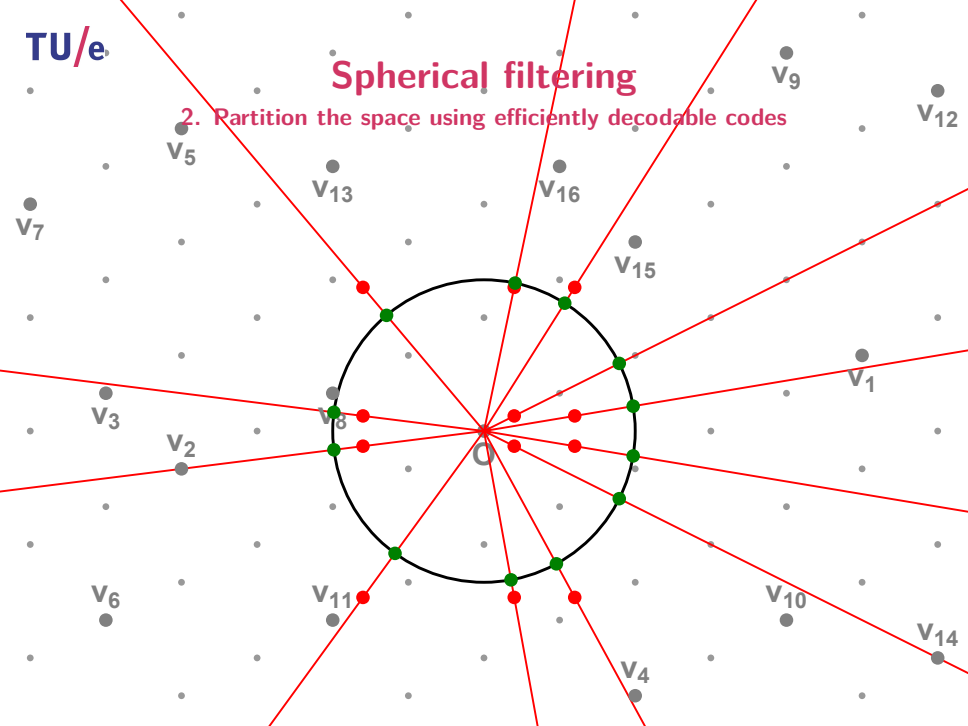
Spherical filtering

2. Partition the space using efficiently decodable codes



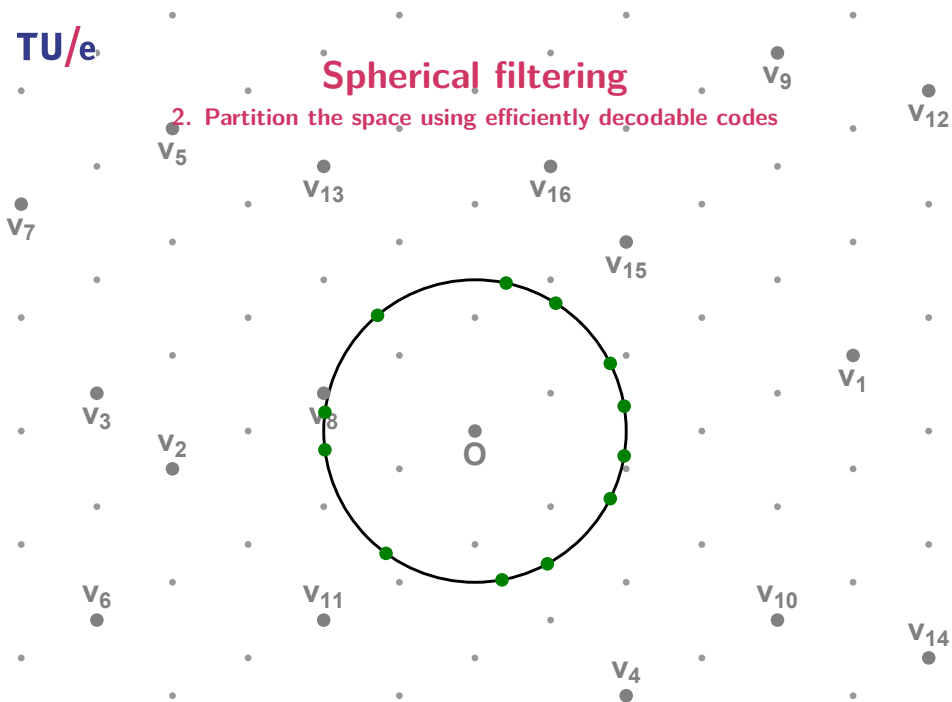
Spherical filtering

2. Partition the space using efficiently decodable codes



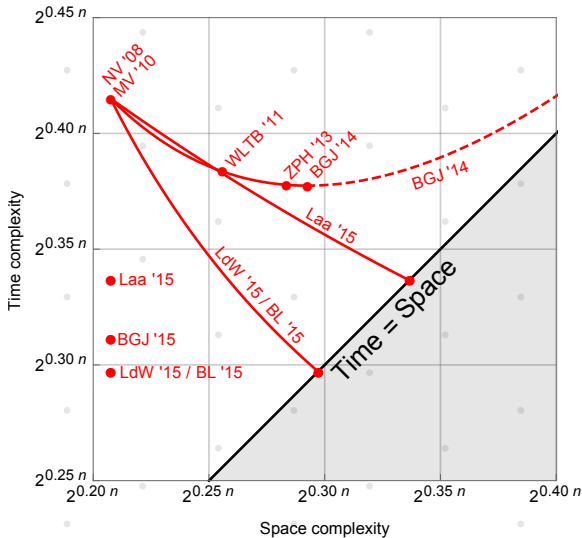
Spherical filtering

2. Partition the space using efficiently decodable codes



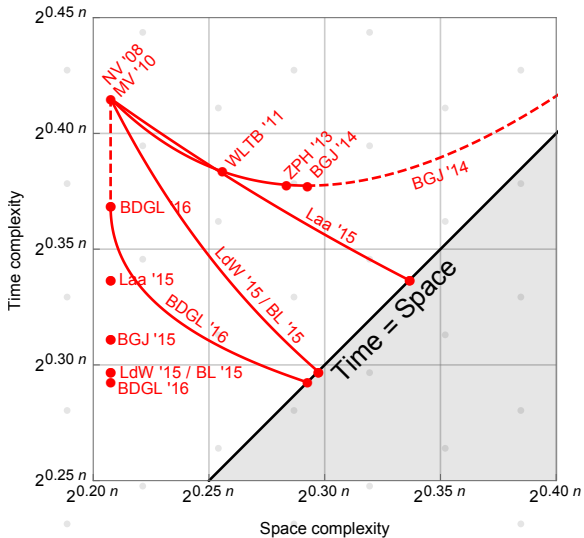
Spherical filtering

Space/time trade-off



Spherical filtering

Space/time trade-off



Questions

[vdP'12]

